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Software Disclosure Form

The beginning of a conversation

- This questionnaire is an easy way to let us know you made new software and give us basic information. You don't need to convince us of anything!
- We will contact you very soon after we receive your questionnaire to ask any further questions we may have, and to explain the next steps.
- Answer each question by clicking a check box or by typing into the associated table or fillable box. For tables, please add rows as necessary.
- If you are unsure how to answer a question, just leave it blank and we'll talk to you about it later. Or, email us at tto@umass.edu and we'll help you answer it.
- There are many ways in which software may be protected (e.g. copyright, patent) and disseminated (e.g. Open Source licenses, traditional licenses). We will discuss your goals for the software with you and check in with you on decisions before they are made.
- If we file a patent application, our patent attorney will speak to you directly to learn about the software in more detail.

You can send us the completed and signed form using the TTO email address tto@umass.edu.

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For Internal Use

UMA _____

Date Received _____

Software Disclosure Form

Technology Transfer Office
University of Massachusetts Amherst
Telephone: 413-545-3606
Email: tto@umass.edu
Website: www.umass.edu/tto

Please Provide a Short Title for the Software

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	Inventor Name	Professional Title or Student Type (e.g. graduate undergrad)	Department Affiliation (Note: At time of invention. If not UMA, list employer)	Email Address	Phone Number
1.					
2.					
3.					
4.					
5.					
6.					

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1. General Description.

Please provide a description of the software. **If you have one, please attach a manuscript or other document that describes the software in more detail. If possible, please provide an electronic copy of the source code, or link(s) to such a copy online, along with this disclosure.**

2. Previous Software Disclosure.

Is this Software disclosure related to any earlier invention disclosure here or at another institution?

Yes No

If **Yes**, please identify the UMA disclosure number, if known, or describe. If another institution, please state.

3. Stage of Development of the Software.

For the following, select the option that most closely matches:

Stage of Development

Concept Alpha Beta Commercial Product

Documentation

None Partial Full

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4. Improvements to the Art.

How does the software improve upon what was previously known in the field?

5. Products and Services.

What products or services are made possible by the software?

6. Competing Approaches.

Please describe any competing approaches at any stage of development (e.g. existing commercial products or services, R&D, etc.) that your software may compete with.

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7. Potential Companies and Contacts for Licensing.

Please list any companies you know of that might be interested in this software.

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For the above companies, please list the name and contact information for any relevant contacts you may have that you'd like to share with us.

Company	Name	Contact Information

8. Relevant References.

Please list any publications or patents you know of that might be relevant to this invention. (Note: We will also do patent and literature searches.)

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9. Previous Public Disclosure.

Have you presented or published on the software, or the research that led to it? Has any aspect of this software been published or publicly disclosed? Have you made any distributions of some or all of the software to end users, or made the software available for download (e.g. through your website, GitHub)?

Yes No

Note: Such publication and public disclosure include, but are not limited to, online abstracts or research papers, posters or oral presentations at a scientific conference, discussions with non-UMass individuals, inclusion in a student thesis or dissertation.

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If the answer to the above is **Yes**, please describe the disclosure and include the publication date for each.

If the software was distributed or is currently downloadable, please provide a link(s) to the software, let us know when you made it available, and let us know if it was distributed under a license, such as an Open Source license (e.g. GPL3, MIT, Apache, etc.).

10. Upcoming Public Disclosure.

Do you have any plans to make a public disclosure and/or a distribution of the software in the next 30 days?

Yes No

If so, when and where?

11. Funding for Invention.

Has the research that led to the invention been sponsored or funded by industry, or funded by a grant(s) from a government agency or private foundation?

Yes No

If **Yes**, please provide TTO with information on **all** funding sources for research that resulted in this invention.

Sponsor Information (e.g. Gov't Agency, Company, Foundation)	Grant or Contract #	UMA Kualii #

PLEASE NOTE: UNDER THE BAYH-DOLE ACT, WE ARE REQUIRED TO REPORT ALL INVENTIONS THAT HAVE BEEN FEDERALLY FUNDED TO THOSE FUNDING AGENCIES. THIS INFORMATION IS VERY IMPORTANT AND CAN AFFECT FUTURE FUNDING.

12. Third-party Software.

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Does your software incorporate or rely upon any third party code and/or libraries?

- Yes No

If **Yes**, please identify:

- The owner of the code/library, if known
- Any associated license or grants of permission, if known
- If your software would be considered a derivative work or an independent work

13. Software's Usability

Describe the usability of the software. For example:

- a. Does it need to be customized for each user?
- b. Do you think on-going maintenance and support are necessary?
- c. Does it require unique specifications (e.g. programming language, operating system, application software, hardware configuration)?

14. Continuing Research.

Please describe your planned future research efforts, if any, and describe any funding you have or will receive that will allow you to pursue such efforts.

If you do not currently have funding, are you actively seeking it?

- Yes No

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15. Additional Information.

Is there anything else you think we should know?

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16. Point of Contact.

Who should be our primary point of contact for discussing the software?

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17. Inventors' Signatures.

Please sign below, to indicate (i) you are the original inventors, (ii) you will help us in the patenting process, and (iii) you will sign documents that require your signatures.

	Name	Signature	Date
1.			
2.			
3.			
4.			
5.			
6.			