ANNUAL REPORT

OF THE

ATHLETIC COUNCIL

FOR

ACADEMIC YEAR 2016-2017

Presented at the
777th Regular Meeting of the Faculty Senate
April 12, 2018

COMMITTEE MEMBERSHIP

Representing the Faculty

Tim Anderson
Samuel Hazen (Co-Chair)
Kirstin Kay
Libby Sharrow
Patricia Vittum (Co-Chair)
Marinos Vouvakis
Nefertiti Walker (Secretary)
Rod Warnick

Representing the Alumni

Diane Barstow
Nancy Buffone
Shelly Perdomo
Ed Ward

Representing the Students (Graduate and Undergraduate)

Hailey Cockrum
Nathaniel Dickstein
Mark Hochberg
Nicholas Neu
Dominic Trento
Lily Wallace

Ex-Officio Members

Ryan Bamford  Voting, Athletics Director of Athletics)
David Morin (non-voting designee for MJ Peterson, Faculty Senate)
JC Schnabl (voting, Alumni Association)
Rebecca Spencer (voting, NCAA Faculty Athletics Representative)
Michelle Borkhuis (voting designee, University Advancement)
I. ATHLETIC COUNCIL OVERVIEW

According to Section 3: paragraph 5-3-1 of the Bylaws of the University of Massachusetts at Amherst’s Faculty Senate, The Athletic Council ...

“shall implement the ‘Statement of Athletic Policy’ adopted by the Board of Trustees on February 14, 1957, and any resolution which the board may adopt thereafter. Consistent with present policies on admissions and academic standards, the Intercollegiate Athletic Program shall be developed to a point where it is representative of the best efforts of the University, with aims and ideals of achievement comparable to those expected of the academic departments. This development shall proceed in accord with policies and regulations of the NCAA, and all athletic conferences in which the University maintains membership. The Intramural Athletic Program shall be staffed and equipped to meet the needs of all students who desire to participate, and the University shall encourage participation through a varied program including sports with carry-over value for life after graduation. All athletic accounts shall be open to review by the President of the Student Government Association or a designee. This council shall submit an annual report both to the Faculty Senate and the Undergraduate Senate, which shall include a detailed breakdown of all athletic program budgets.

A. Introduction. In accordance with its mission, the Athletic Council (hereafter the Council) held eight regularly scheduled meetings during the academic year 2016 – 2017. The six subcommittees (Equity and Diversity, Finance, Facilities, Conduct and Compliance, Academics, and Student-Athlete Health & Welfare) met at least once outside the regularly scheduled meeting times to focus on issues within their purview. Each subcommittee report appears as part of this report. The Vision subcommittee did meet, but did not submit a report as the group felt more detail was needed regarding the direction of the department with the beginning of Ryan Bamford’s tenure as Athletic Director.

The Council’s meetings were organized around an agenda that included such things as (1) brief overviews from representatives of the Athletic department, (2) discussion of items of current interest to the Council, (3) reports from the subcommittees, and (4) reports from invited guests.

Last year’s Athletic department representatives included Athletic Director Ryan Bamford, Senior Associate Director of Athletics Darrice Griffin, Associate Athletic Director for Academics Joan Hopkins, Senior Associate Director of Athletics for Development David Biancamano, Associate Director of Athletics for Compliance Kim Callicoate, Associate Athletic Director of External Relations Tom McElroy, Associate Athletic Director for Student-Athlete Enrichment Services Jeff Smith, and Associate Athletic Director for Facilities and Operations Dan Markowski.

During the 2015-2016 academic year, the Council met with the following head coaches: Ed Matz (Women’s Soccer Head Coach), Greg Carvel (Men’s Ice Hockey Head Coach), Mike Stone (Baseball Head Coach), Sheila Roux (Women’s Basketball Associate Head Coach), Judy Dixon (Women’s Tennis), and Mandy Hixon (Women’s and Men’s Diving). In addition we heard from John Blihar, the Director of the Campus Recreation Center (currently reporting to Student Affairs and University Life Councils).
John Kennedy (Vice Chancellor for University Relations) and Chris Dunn (Executive Director of Public and Constituent Relations) discussed public-private partnerships. John Blihar and Brian Arnold discussed safety protocols for intramural and club sports at another meeting.

In addition to issues that faced the Council on an on-going basis, several topics dominated the agenda over 2015/2016:

1. Athletic department hiring
2. Cost of attendance finances
3. Facilities improvements
4. Strategic plan
5. Concussion treatment and prevention
6. Pro-forma financials and athletic budget reports

B. Council Structure. The membership list of the Council includes eight faculty members (appointed by the Faculty Senate), three members appointed by the Alumni Association, five ex-officio members (Director of Athletics, NCAA Faculty Athletics Representative, Secretary of the Faculty Senate, a designee from the office of the Vice Chancellor for University Advancement, a designee from the Alumni Association, and eight student members (with one student slot reserved for the SGA president or designee, and one student slot reserved for the president of the Graduate Student Senate or a designee). The full roster of the Athletic Council appears on the cover page of this document. Each member of the Athletic Council is also appointed to two or three of seven subcommittees. These appointments are listed at the end of this report.

C. Attendance Records: Attendance records for the 2015-16 year (meetings attended/meetings eligible as member) for each member of the Council, Faculty (F), Alumni (A), and Ex-Officio (X):

- Anderson (F) 6/8
- Bamford (X) 5/8
- Barstow (A) 4/8
- Borkhuis (X) 5/8
- Buffone (A) 5/8
- Hazen (F) 7/8
- Kay (F) 6/8
- Perdomo (A) 0/8
- Peterson/Morin (X) 8/8
- Schnabl (X) Sarrow 3/8
- (F) Spencer (X) 6/8
- Vittum (F) Vouvakis 7/8
- Walker (F) 7/8
- Ward (A) 8/8
- Warnick (F) 5/8
C. **Meetings Schedule – 2015-2016 Academic Year.** Meetings took place on:

**Fall Semester 2016:** September 16, October 14, November 4, December 9

**Spring Semester 2017:** February 3, March 3, March 31, April 14

II. **OVERVIEW OF THE ATHLETIC COUNCIL’S 2015/2016 ACTIVITIES**

The Athletic Council is responsible for making recommendations on policy and providing advice on general operations of the Department of Athletics at the University of Massachusetts Amherst. The Department of Athletics has long been visible regionally and nationally, achieving success both in the classroom and on the playing fields among its 21 athletic teams and nearly 700 student athletes. The University maintains its long tradition of balance between academics and athletics.

**Athletic Department Hiring**

Ryan Bamford was hired as the new Director of Athletics and began his duties at UMass in April 2015. Morale continues to be high, according to coaches and administrators who spoke to the Council throughout the year. Three notable coaches retired at the end of the year – Judy Dixon (Women’s Tennis after 30 years of service), Mandy Hixon (Women’s and Men’s Diving after 16 years of service), and Mike Stone (Baseball after 30 years of service). Another coach left to take another position (Carla Tagliente, Field Hockey). Derek Kellogg was not retained following the conclusion of the Men’s Basketball season. New Head Coaches were named quickly for each of those sports: Matt Reynolds (Baseball), Barb Weinberg (Field Hockey), Juancarlos Nunez (Tennis), and Joshua Arndt (Diving).

There were a few additional changes in the administrative staff, including: XXX (information requested from Kate DiSanto)

**Cost of Attendance**

NCAA passed legislation that permits schools and universities to provide a stipend to student-athletes that reflects “cost of attendance”. Some universities, most notably in the Power 5 Conferences, now provide COA stipends as high as $5,000 per year to their student-athletes. UMass provides a COA of $1,600 per full scholarship student-athlete per year. Disbursement is made four times per year at $400 increments.

The total COA for all our scholarship athletes will be **approximately $400,000** in the 2016-2017 academic year and this will increase as the number of the female scholarship student-athletes increases. The Chancellor’s Office provided $250,000 toward the anticipated cost of $385,000 and the other funds will be reallocated from other areas. It was noted that our Athletic Fee is the lowest of nearly every nearby competing and comparable program.
Facilities Improvements
Several improvements in facilities were made during the reporting period. A video production center was created in the former first floor conference room of the Mullins Center, which enables staff to film, edit, and release athletic events at a previously unattainable level. A lounge was created in Boyden to provide opportunities for athletes to socialize in a non-sport setting. The academic area received upgrades in computer capability, as well as new furniture to enhance the staff-student interaction. Locker room improvements were made for Men’s and Women’s Lacrosse and for Field Hockey. A new center-hung scoreboard was installed in the Mullins Center, greatly enhancing the fan experience.

Strategic Plan
The Department of Athletics has initiated a strategic plan, which includes listening to focus groups, soliciting input from student-athletes, students from the general student body, faculty, staff, and coaches. Dr. Nefertiti Walker (Isenberg School) and Darrice Griffin (Associate Director of Athletics for Internal Operations and Senior Woman Administrator) playing an integral role in that process.

Concussion Treatment and Prevention
Associate Athletic Director for Student-Athlete Enrichment Services Jeff Smith and Dr. Lynn Carlson addressed the Council on the topics of student-athlete health and welfare. The discussion centered on concussions and protocols that are in place for athletes who are suspected of having sustained a concussion. Dr. Lynn Carlson (Board Certified Chiropractic Neurologist, Fellow of the American College of Functional Neurology, and Fellow of the American Board of Brain Injury and Rehabilitation) also addressed the council at the same meeting (March 31, 2017). They have created a “Return To Play” protocol, which is used to formalize the procedure used to determine when a player can participate in an activity at a pre-injury level.

The NCAA recommended ImPACT testing, which is a computerized neurocognitive test to establish baseline measurements that subsequently help evaluate and manage concussions. Currently, only Freshmen who participate in impact sports are tested. Sufficient resources are not available to test everyone. The Department hopes to find a funding source that will enable the staff to conducted the baseline measurements at the beginning of practice for all student-athletes in “contact” sports. A “Return To Learn” protocol has also been implemented. Creation of this protocol was a collaborative effort between UMass team physician Dr. Rouzier, Psychological and Brain Sciences Professor Becky Ready, Disability Services, and the academic support group in Athletics. Dr. Lynn Carlson monitors and treats our long-term symptomatic athletes. In addition to focused and qualified treatment, Smith is concentrating on concussion prevention and education. For example, special helmets are utilized in football practices and coaches closely monitor contact. Smith communicates with each team’s players, coaches, and trainers to educate them on symptoms and encourage reporting. The perception is that players are less likely to hide a head injury than in the past.
Another topic of discussion was the availability of medical treatment for students participating in intramural or club sports. John Blihar and Brian Arnold explained that, while intramural and club sports take several precautionary steps and have student-trainers at many of their events, there is considerable room for improvement in athletic training services in general. In addition, there is a need to improve education of participants regarding the importance of reporting concussion symptoms to supervisors and of developing “return to play” protocols in club and intramural sports.

The Athletic Department’s Concussion Management Program is attached as an appendix.

**Pro-forma financials and athletic budget reports**

The financial report, presented in a form requested by the Faculty Senate, is provided in Appendix 5.

**The Minutes of the Athletic Council** are all posted online at the Faculty Senate site and may be reviewed for more detailed discussion and review of topics, invited guests and vote outcomes of the Council and its recommendations.
Appendices – Athletic Council Subcommittee Reports Academic Year 2016 – 2017

1. Academics
   Members: Tim Anderson (Chair), Walker, Vouvakis, Sharrow, Hazen
   STAFF: Hopkins (Associate Athletic Director for Academic Support)

2. Conduct & Compliance
   Members: Warnick (Chair), Borkhuis, Barstow, Vittum, Hochberg.
   STAFF: Callicoatte, (Associate AD of Compliance), Ford (Assistant AD of Compliance),
   Green (Compliance Office Assistant)

3. Equity & Diversity
   Members: Sharrow (Chair), Borkhuis, Kay, Vittum, Cockrum, Hochberg
   STAFF: Griffin (Senior Associate Director of Athletics)

4. Facilities
   Members: Ward (Chair), Anderson, Warnick, Buffone, Trento, Neu
   STAFF: Markowski (Associate Athletic Director Facilities and Operations)

5. Finance
   Members: Vouvakis (Chair), Schnabl, Ward, Hazen.
   STAFF: Bamford (Director of Athletics), Peterson (Associate AD for Finance Goodhue
   (Interim Director of Athletics)

6. Vision
   Members: Buffone (Chair), Spencer (FAR), Schnabl, Hazen, Cockrum
   STAFF: Griffin (Senior Associate Director of Athletics)

7. Student-Athlete Health & Welfare
   Members: Walker, (Chair) Spencer (FAR), Vittum, Barstow, Perdomo, Kay.Trento
   STAFF: Smith (Associate Athletic Director for Student-Athlete Enrichment Services)
The following are the reports from the Athletic Council Subcommittees:

The Athletic Council has six subcommittees, with five to eight members appointed (by the Co-Chairs) to each. In addition, at least one member of the Athletic Department is assigned (by the Director of Athletics) to work directly with each subcommittee. Each of these department liaisons has been very cooperative and provided all information that was requested, as well as unsolicited information that could further enhance understanding of the items under discussion. Each subcommittee meets, on average, once per semester and more if needed.

A. Compliance and Faculty Athletic Representative Report
B. Student-Athlete Health & Welfare Subcommittee
C. Facilities Report
D. Academics and Student-Athlete Advisory Committee Report
E. Equity and Diversity
F. Finance Report
Athletic Council Subcommittee on Compliance  
Fall/Spring Semester 2016-2017 Meeting  
Boyden Gym Conference Room  
Friday, February 24 @ 10:05AM to 11:29AM  
Summer 2016 to Fall Semester 2016 Update

**Members:**

*Athletic Department Staff:*
Carol Ford, Assistant AD of Compliance  
Karen Green, Compliance Office Assistant – Excused, Traveling  
Darrice Griffin, Senior Women’s Administrator  

*Faculty and Alumni Representatives from the Athletic Council:*
Rebecca Spencer, Faculty Athletic Representative  
Rod Warnick, Professor, Faculty Representative and Chair  
Diane Barstow, Alumni Representative  
Patricia Vittum, Professor and Faculty Representative and Athletic Council Co-Chair, Excused Traveling  
Michelle Borkhuis, Alumni Representative – Schedule Conflict – Excused

The meeting was called to order at 10:05AM in the Boyden Gym Conference Room and completed by 11:29 AM. Due to back to back meeting for some agenda items were reviewed briefly before all arrived with staff members Carol Ford and Darrice Griffin and FAR Rebecca Spencer. The staffing changes in the prior week required some review and preparation due to reorganization and review of compliance items to be prepared for the Athletic Council Subcommittee meeting.

**Agenda Items**

1. **Department Compliance Update – (Ford)**

**Violation Reports.**
The reporting period for this compliance update was from July 1, 2016 through December 31, 2016. This covers last summer and the fall semester of 2016. The Spring Semester update on violations will be reported at the end of the April or early May when the next Compliance Subcommittee Meeting will be held. The Compliance Office has reviewed three (3) Level III secondary violations during the Fall Semester Reporting Period. This is comparable to the range of about 8-12 such violations in a given year or about 4-6 per semester. There were all Level III secondary violations and mostly involved contacts that were initiated through social media or other sources of contact. The three cases involved men’s ice hockey; women’s basketball and men’s soccer. The men’s ice hockey violation involved a violation in publicity recruiting and announcement on Twitter™. The staff removed the message promptly and the Compliance Staff reviewed social media contact and regulations with the ice hockey staff – all who are new to the Athletic Department. The men’s soccer violation involved official visits and reimbursement for multiple athlete meals. The visit allows for meal reimbursement for one prospect and one student athlete host and in this case, two students athletes were reimbursed – one student athlete was required to make a donation to a charity of his choice and the soccer staff were required to
review official visit guidelines and regulations. The third case involved recruiting calls by the women’s basketball staff where the head coach misunderstood whether a prior recruit had been released from commitment to follow the coach to new school. The coach in this case reached out to the previous institution to offer formal apology and basketball staff were precluded from any written or telephone communications for one week. No further corrective actions were needed as all recruiting activities had ceased. The NCAA indicated that this particular violation could have been classified as a Level II, but was handled properly within the department with proper corrective actions implemented.

Any additional violations will be reported in the Spring Semester 2017 update.

Waiver Update.
Three (3) waivers have been filed during this period and all three were approved. Two involved football and one involved women’s basketball.

Case #1. In football first case, a student athlete who was not active on the roster was granted permission to travel with the team to Hawaii to experience cultural and educational benefits and supports the NCAA's foreign tour legislation of student athletes.

Case #2. In the women’s basketball waiver request, due the number of student athletes who left the women’s program, the women’s basketball program requested a waiver to allow four (4) additional official visits; and the NCAA initially has granted a waiver to women’s basketball to allow four (4) additional official visits from August 1, 2016 through July 31, 2017; however, they must reduce the number of visits on a one-for-one basis of these additional visits from the period of August 1, 2017 to July 31, 2018. This may change as the NCAA is considering a new regulation that would allow 24 rolling official visits over a two-year period that will likely be passed at the NCAA Convention and become effective on August 1, 2017. The NCAA is also likely to change the requirement for posting of an official grade transcript to an unofficial grade report prior to an official visit by a prospective student athlete.

Case #3. The third request for football revolved around moving a scheduled date to an early start date in August for a contest against Hawaii. However, this case was not filed and the scheduling change was allowed under NCAA guidance.

Some discussion also occurred regarding the number of official visits per team per year – basketball – both men’s and women’s is allowed 12 per year, football 56 per year and baseball 25 per year.

The department currently certifies and reviews approximately 748 student athletes and 736 single athletes, including within this count were 295 males and 218 females on scholarship with counting 5th year medical aid student athletes. Some of the athletes are dual counts in such sports as cross country, indoor and outdoor track. This number will be update at the Spring Semester 2017 Compliance Subcommittee Meeting.
Medical Hardship Cases.

Two medical waiver hardship cases were submitted and reported upon. A medical hardship waiver is typically requested when a player is injured and suffers a season ending medical condition and seeks a waiver to recover the playing period or season or year due to the injury.

One was filed for men’s lacrosse and another for football. The men’s lacrosse waiver was not granted as the player had played beyond the 30% of the season for the team. The NCAA granted the injury waiver to the football player; however, he is not on the current roster, or not currently enrolled in school and it is not known if he is actively on a competing team’s roster at the D1 level or other competitive levels.

Additional medical hardship cases may be pending through the remainder of the AY 2016-2017 year and will be updated at the Spring Semester reporting period.

APR Reports.
The 2015-2016 APR Reports by team were presented by Carol Ford and assisted in the preparation by Linda Reed of the Registrar’s Office. The key official number required to be exceeded by the NCAA is a “930 rolling report number” for the four-year period; however, the A10 Conference prefers a 950 rolling report number.

These reports will be officially announced and released in late April for the previous academic period of 2015-2016 and the scores will be reported as a moving four-year average with current year, 2015-2016, noted for eligibility/graduation and retention measures.

Current Four Year Rolling Averages and 2015-2016 Reporting Year for Eligibility/Graduation and Retention.

Six of the ten women’s teams reported scores in excess of 990 for the four-year rolling overall average. Only one team reported a perfect score of 1000 – women’s cross country. This year’s APRs with scores in excess of 990 included: men’s swimming; women’s field hockey; women’s lacrosse; women’s softball; women’s tennis and women’s track. For the reporting period of 2015-2016 only – three men’s teams reported scores of 1000 for eligibility/graduation and retention – they included – cross country, swimming and track. Six of the women’s teams reported scores of 1000 for eligibility/graduation and retention – they included – cross country; rowing; softball; soccer; swimming and tennis.

Five programs are of concern regarding APR – football, men’s soccer; men’s ice hockey and women’s basketball and women’s soccer. Three of these teams have struggled due in some part to coaching changes and student athletes leaving the teams.

Football’s APR is currently 939; but, the program is experiencing 2015-2016 eligibility/graduation number of 911 and retention number of 922. In the previous year, it was noted that football would need to maintain a multi-year rate in the 940s.

In 2015-2016, men’s ice hockey retention number dropped to 909; however, its overall multi-year rate here is 952.

Men’s soccer multi-year retention rate of 929; but its 2015-2016 retention rate of 971 will help the team to recover in future years as long as retention remains strong. Its current four-year rate overall is 941.
Women’s basketball overall multiyear numbers are currently strong, but the 2015-2016 retention rate may cause these numbers to decline in the future. The retention rate for this period is 920.

The smaller roster teams, such as soccer and tennis for example, tend to suffer more with one or two players leave the team for any number of reasons. Fewer roster spots means greater impact on the APR scores – both multi-year overall and for both eligibility/graduation and retention.

Men’s basketball currently is fine in its APRs with current year of 2015-2016 at 971 overall and a 2015-2016 overall of 980 compared 2014-2015 score of 1000 and four-year average of 975. The only area of concern for men’s basketball is the 2015-2016 eligibility/retention number of 920; but the current year retention number is 1000.

Previous Comparative Years. Nine (9) teams reported of 1000 for the 2014-2015 reporting period compared to six (6) in 2013-2014 reporting period for APR ratings. Twelve (12) teams held 1000 scores for eligibility and graduation in 2014-2015 AY. They included: men’s basketball; men’s cross country; men’s swimming; men’s track; women’s basketball; women’s cross country; women’s field hockey; women’s lacrosse; women’s softball; women’s soccer; women’s swimming; and women’s tennis. Men’s football held a varying year APR rate of 949, above the 930 cutoff; however, a few proceeding periods in 2013-2014 (APR=952); 2012-2013 (APR = 928), 2011-2012 (APR = 925) had the team slightly lower, but now above the desired APR rate of 930 with the team at 939 during that reporting year.

Linda Reed and the Registrar’s Office has been working with the coaches to identify former players who were short just a few credits to take their remaining courses in order to graduate. A few basketball players and football players have returned to complete their courses and graduate. A program continues to pick up bonus points for former players who have come back to complete their degrees. Over the past year about 6-7 players have returned via various means to complete their degrees. Linda Reed continues to work in the Registrar’s Office to identify more of these former players who are within just a few credits of graduating. Graduating players from the past helps the program achieve bonus points in the report filing. The full APR report is available from the Compliance Office for detailed review.

Under the Athletic Department administration, an APR Committee has been formed under the guidance of Darrice Griffin, SWA and includes Joan Hopkins; Linda Reed; representative from the Compliance Office and Rebecca Spencer, FAR. The committee has been guided by the NCAA webinars presented by Forward Progress Athletic Consulting that describes best practices and ideas for improving APR ratings. The committee has more aggressively sought to identify “at-risk” incoming student athletes as they can join the MASS program within the Academic Support Services unit. One important change was that the committee changed the “at-risk” GPA from 2.3 to 2.6 and in addition all players in Men’s football and soccer with GPAs in the 2.3 to 2.6 range will also be enrolled in the MASS program and will continue to participate.

Some concern was noted with the pending retirement of Linda Reed that will happen this year. There is hope that a new staff member will be trained to help with the APR and eligibility reporting done by Linda Reed.
The full APR report will be available from the Athletic Department upon its release in April.

Coaches Meeting Agendas.
Compliance monthly coaches’ meetings continued to be held; but no report is available here due to the staffing changes.

New or Newly Implemented NCAA Legislation.
Twenty new NCAA legislative items that were adopted were reviewed. These items included infraction programs – penalties and mitigating factors; investigations of alleged violations; infraction review committees; five-year rule exceptions of study abroad programs, internships/cooperative education; recruiting visits and time demands; amateurism and media activities; retroactive financial aid periods; awards, benefits and expenses in conjunction with away-from-home competition; nutritional supplements; entertainment expenses; expenses for practices and competition; playing and practice seasons – eight hour rest periods; additional days off during academic year; additional days off during the year involving return travel; additional time off for life skill for multiple sports participants; time management planning for students athletes; and playing and practice season days off for preseason and vacation time both in season and outside the championship period playing season or time. A number of these proposed new regulations are also autonomous rules in that they may need to be adopted by the conferences. The A10 in particular is concerned with time demands of the student athletes and will likely carefully review and adopted/consider each of these new NCAA legislative items.

2. FAR Report and Update. Rebecca Spencer (UMass FAR)

Rebecca Spencer provided an overview of the FAR Report at the end of the year regarding her involvement with student athlete and compliance issues. This report will be presented at the Spring Semester 2017 meeting.

3. Exit Interviews – Graduating Senior Student Athletes

Exit interviews are now being handled by the new Committee on Student Athlete Health and Welfare and graduating seniors will be interviewed by this committee. Compliance Subcommittee members will no longer be required to conduct these meetings; however, a few volunteer members will be available if needed and if larger or broader exit interviews or surveys are needed we may be consulted for assistance.

4. Future Issues – Student Athlete Cost of Attendance Issues (Griffin)

Implementation of the cost of attendance for all student athletes receiving scholarship aid has been fully implemented and progressing fine. The amount is approximately $1600 per student athlete and prorated if partial aid is granted. Time management and budgeting seminars are being offered to help students manage and provide guidance to them is now available.
5. Subcommittee Name Change – Compliance to Compliance and Conduct Subcommittee.

This issue was raised as it appeared to be a name change; however, review of documents indicated that the subcommittee was to be named “Compliance and Conduct Subcommittee” and over the years had simply been shorten. The full name has and will be corrected in pending correspondence.

6. Other Old Business and New Business (Warnick)

No other old or new business was brought forward at this time.

Pending issues for the Spring Semester 2017 issues will include certification numbers of the year; compliance department reporting including violations, waivers, medical hardships, release of the APRs and coaches’ meetings and any additional items reflecting changes in A10 compliance rules adoptions/changes.

NOTE – These minutes were reviewed and approved at the Compliance and Student Conduct Subcommittee Meeting on Friday, September 22, 2017.

Respectfully Submitted,

Rod Warnick, Chair of Compliance Subcommittee
Athletic Council Subcommittee on Compliance  
Fall/Spring Semester 2016-2017 Meeting  
Boyden Gym Conference Room  
Friday, September 22 @ 10:05AM to 11:29AM  
Spring 2017 Update

Members:
Athletic Department Staff:
Ariel “AP” Pesante, Associate AD of Compliance  
Carol Ford, Assistant AD of Compliance  
Karen Green, Compliance Office Assistant – Excused, not available to meet.

Faculty and Alumni Representatives from the Athletic Council:
Rebecca Spencer, Faculty Athletic Representative  
Rod Warnick, Professor, Faculty Representative and Chair  
Diane Barstow, Alumni Representative  
Patricia Vittum, Professor and Faculty Representative and Athletic Council Co-Chair, Excused  
Traveling  
Michelle Borkhuis, Alumni Representative – Schedule Conflict – Excused (Note – currently not returning to the AC for the Fall Semester 2017)

The meeting was called to order at 10:01AM in the Boyden Gym Conference Room and completed by at 11:25 AM on Friday, September 22, 2017. With the new Associate AD of Compliance, Aerial “AP” Pesante in attendance representing the Compliance Office, we reviewed and updated the agenda prior to the meeting regarding the Spring Semester 2017 and the conduct of meetings with guidance and assistance from the Compliance Office. Associate AD Pesante was not present in his new position for a significant portion of this reporting period and Carol Ford, Assistant AD of Compliance did update and fill in where necessary on the agenda and items discussed.

Agenda Items

The previous report period, Summer 2016 and Fall 2016 Compliance Update, was reviewed and a few minor edits were completed and the report will be forwarded to the Department after this meeting for final review before being filed with the Athletic Council (AC) as part of the AC Annual Report.

1. Department Compliance Update – (Pesante and Ford)

Violation Reports.
The reporting period for this compliance update was from January 1, 2017 through June 30, 2017. This covers the spring semester of 2017 and a few months into the summer (May and June). The Compliance Office has reviewed one minor violation (level III secondary violation) during this period with the Women’s Rowing Team. This involved meeting a prospect during the dead recruiting period and involved a visit at random by prospective student athlete who visited the coaching staff during an Open House period on campus. The violation was reported and the staff was updated on the recruiting calendar and permissible contact periods.
There was some limited discussion about students who were enrolled part-time and seeking degree and degree requirements and enrollment at this level affecting student athlete Pell Grants. A few other discussion items also were presented regarding redshirting, transfers and push forward eligibility for those students as degree requirements are completed. Other discussion items centered around students who transfer into the University as graduate students who still have 1-2 years of eligibility remaining.

Linda Reed, has now officially retired, and is being replaced by a staff member in her department who will handle the eligibility and degree audit information. Linda Reed will be missed by the Athletic Department as she was great in reviewing and updating the Compliance Office and coaches on student eligibility matters.

No additional violations will be reported at this meeting regarding the Summer of 2017.

**Waiver Update.**
One waiver has been filed during this period and two are likely pending. Two involved football and one involved women’s basketball.

**Case #1.** In the football case, a student athlete is seeking a waiver regarding approved courses and it has been partially approved pending final approval.

**Pending Cases.** Two (2) pending cases will likely be filed in the near future regarding a baseball player and eligibility for a repeated course and another regarding a medical case for a player to remain eligible into a 7th year in football due to medical reasons.

Additional discussions on waivers; reviewed transfer cases and exceptions when and if players would be allowed to practice especially if the expectation was that they would eventually be allowed to play.

**Medical Hardship Cases.** No medical hardship cases were filed during this period.

**APR Reports.** The 2016-2017 APR Reports will not be available until certified. There will likely be some concerns with teams that have had coaching changes where student athletes have left their respective programs.

The full APR report will be available from the Athletic Department upon its release for this past year – likely sometime in late fall or during the Spring Semester 2018.

**Coaches Meeting Agendas**

One coaches’ compliance monthly meetings was held in March; and regular meetings will be commencing again this Fall Semester. The Coaches’ Compliance Meeting was held on March 21, 2017 and covered issues on pending autonomous legislation to potentially be adopted in August 2017. The intent of this meeting was to familiarize the coaches with the direction that compliance issues and NCAA legislation is headed. Those topics included: 1) student athlete
time demands that includes time demand plans, annual reviews and also last minute schedule changes; 2) notice of SA time demand expectations to be proposed by the NCAA executive council; 3) playing & practice season additional time off – including prohibition practices between 9PM and 6AM including time and days off after in-season and post-season game completion – a 7 day period after last contest; and an additional 14 day off during and outside the playing season within the regular academic year; 4) required days off during the preseason and vacation periods; and 5) time off during vacation periods outside the championship season and off campus practice that is unrelated to away from home contests. Additional topics include awards and benefits including media activities; meals away from home contests; protein supplements; entertainment; financial aid; and other issues related to academic and athletic eligibility and recruiting.

New or Newly Implemented NCAA Legislation.

Topics covered under the discussion here included.....

1. Rules Calendar for all sports, football, academics and other special topics.
2. New Passed Legislation for the Power 5 Conferences. This included topics on time management, A10 adoption of P5 legislation and proposals; missed classes; student athlete involvement on the boards; rules calendar issues; role of external booster clubs; and legal day for coaches.
3. The Athletic Department will be holding a “legal day” to continue to help coaches and staff be appraised of current and pending legislation. These discussions will also bring in the Chief of Police and the University’s Legal Counsel for discussions and involvement. Other topics of discussion included team rules and expectations and how they may be looked at or reviewed more proactively and included topics such as dating and penalty clauses or rule infractions within the team environment.

Rebecca Spencer talked briefly about the Coaches Compliance Exams.

The P5 Conferences also adopted new legislation and has been called autonomous legislation and this legislation is optional for non-autonomous conferences such as the A10 and other sport conferences that our teams are associated with currently. The common adopted legislation surrounded the student athlete welfare and time demands. The common theme around the council/governance legislation was early recruitment and unnecessary legislation. This legislation was adopted on August 1, 2017. The themes that were adopted were much like the notification that was stated in the March Coaches meeting, including: 1) student welfare and time demand management; 2) student athlete opportunities to study abroad; and 3) council/governances issues that were mandatory.

A few items were particularly noted in this meeting as points of discussion – competing in preseason or exhibition contests before the season that would not count as competition in season of competition; the slowing of the recruiting process in lacrosse specifically that has resulted in stopping all recruitment in this sport until the SA’s junior year (currently recruitment was beginning as early as freshmen high school year).
Three specific pieces of legislation were noted in the discussions

2016-63 – definition and clarification of pre-enrollment academic misconduct activities, the
individuals to whom such activities apply and the violations of such activities;

2016-76 – the reduction from 6 to 3 the number of credit hours in which a prospective SA is
required to enroll in order to receive athletically related financial aid to attend an institution’s
summer term prior to full time enrollment at the certifying institution

2017-7 – the elimination of Level 4 violation classification that will now be handle and
processed as Level 3 violation. Level 3 are largely process violations while Level 2 that include
significant conduct violations and Level 1 that constitute major infractions and lack of
institutional control

Finally, there was some discussion of the certification of compliance that is now not required;
however, UMass Athletics will continue to certify compliance. The full PPT overview of all of
the new legislation was also shared by the Compliance Office and will be the source of updating
to the coaches and athletic staff in the coming meetings.

2. FAR Report and Update. Rebecca Spencer (UMass FAR)

Rebecca Spencer provided an overview of the FAR Report at the end of the year regarding her
involvement with student athlete and compliance issues and it will be filed separately. This
report will be presented and included within the AC Annual Report.

3. Exit Interviews – Graduating Senior Student Athletes (Pesante and Spencer)

Exit interviews are now being handled by the new Committee on Student Athlete Health and
Welfare and graduating seniors will be interviewed by this committee. Compliance
Subcommittee members will no longer be required to conduct these meetings; however, a few
volunteer members may be needed and available and if larger or broader exit interviews or
surveys are conducted; then, the need may exist and the Compliance Members may be involved.
Some members of Compliance Committee also serve on the Student Athlete Health and Welfare
Committee and have served in this capacity.

4. Future Issues – Student Athlete Cost of Attendance Issues (Pesante and Ford)

Implementation of the cost of attendance for all student athletes receiving scholarship aid has
been fully implemented and still appears to be progressing fine. The amount is approximately
$1600 per student athlete and prorated if partial aid is granted. Time management and
budgeting seminars are being offered to help students manage and provide guidance to them is
now available. A new topic up for training and discussion included “training in financial
literacy” for all student athletes.
5. Subcommittee Name Change – Compliance to Compliance and Conduct Subcommittee.

This issue was raised as it appeared to be a name change; however, review of documents indicated that the subcommittee was to be named “Compliance and Conduct Subcommittee” and over the years had simply been shorten. The full name has and will be corrected in pending correspondence.

6. Other Old Business and New Business (Warnick)

No other old or new business was brought forward at this time. Pending issues for the Fall Semester 2017 issues will include compliance department reporting including violations, waivers, medical hardships, release of the APRs either late fall semester or spring semester 2018 and coaches meetings and any additional items reflecting changes in A10 compliance rules adoptions/changes regarding the new adopted legislation by the P5 Conferences.

NOTE – post meeting as noted, Associate AP Pesante, shared with the committee the UMass Sexual Harrassment Policy and Janet Judge’s Presentation on September 18th, 2017 – Legal Issues in ICA and this will be further reported upon in the Fall 2017 Compliance and Student Conduct Subcommittee Report.

NOTE – These minutes were reviewed by the members and UMass Compliance Office staff presence at the Compliance and Student Conduct Subcommittee Meeting on Friday, September 22, 2017.

Respectfully Submitted,

Rod Warnick, Chair of Compliance and Student Conduct Subcommittee
Athletics Council Subcommittee on Academic Services 2016 – 17 Academic Year

**Charge:** The Academic sub-committee of the Athletic Council is charged with overseeing the academic performance of student-athletics. This includes team graduation rates, team GPA, and academic progress. The sub-committee also addresses issues pertinent to the academic performance and support services (e.g., tutoring) that is provided to student athletes.

**Members** of the sub-committee: Tim Anderson, Nefertiti Walker, Marinos Vouvakis, Libby Sharrow, and Sam Hazen.

Tim Anderson met with Joan Hopkins (Associate AD for Academic Services and Liaison to the Athletic Department) on April 11, 2017

**ACADEMIC HIGHLIGHTS** (data only reflects Fall 2016. Data for Spring 2017 has not been received yet)

- The Fall 2016 Grade Point Average (GPA) for all sports teams was 3.061.
- The cumulative GPA at the end of Fall 2017 for all sports teams was **3.102.**  **This GPA is the highest ever recorded for sports teams at UMass.** The previous high was 3.095 in 2015/16.
  - The women’s teams also recorded their highest Fall Semester, Fall Cumulative, and Semester GPAs: **3.338 Fall Semester; 3.290 Cumulative.**
  - All sports teams had their highest Fall Semester and Fall Cumulative GPAs:  
    **3.061 Fall Semester; 3.102 Cumulative**
- 201 student-athletes earned Dean’s List recognition in Fall Semester 2016. Dean’s List is defined at 3.5 GPA or above.
- 28 student-athletes earned a perfect 4.0 GPA during Fall Semester 2016.
- 51 student-athletes were members of the Commonwealth Honors College
- 368 student-athletes had a GPA of 3.0 or above, and were name to the Maroon Scholars List.

At this time, there was only academic information available for Fall 2016. No information was available for Spring 2017.
Attachments – FAR Report filed by Rebecca Spencer.

Faculty Athletics Representative
Annual Report
2016-2017

Provided by Faculty Athletics Representative
Rebecca Spencer

Additional Reports Including Official APR Reports, Coaching Meetings and Waiver Reports are available through the Athletic Department Compliance Office.
Inquiries may be made through Kim Callicoatte, Associate AD for Compliance.
Student-Athlete Health & Welfare Subcommittee

2016-2017 Report

Membership:
Nefertiti Walker (Subcommittee chair; Isenberg), Rebecca Spencer (FAR; Psychological & Brain Sciences), Jeff Smith (representative from Athletics), Pat Vittum (chair AC; Stockbridge), Diane Barstow (Alum Relations), Kirsten Kay (Library)

Defined scope:
The role of the subcommittee is to support the Athletic Department in issues of student-athlete health and welfare and to report to campus as a whole, via the Faculty Senate, of the process and progress in this area. This scope shall include integration of student-athletes on campus, educational programming (e.g., life skills), and personal development. Additionally, the subcommittee will review approaches to health and wellness including topics such as mental health and concussions.

Meeting dates: 2/15/2017

Activities:

1. **Review and implementation of student-athlete exit interview procedures** (with Darrice Griffin). Athletics will continue to carry out an online survey of all exiting student athletes. These are implemented by senior sports administrators. The Student-Athlete Health & Welfare subcommittee will conduct in-person, one-on-one exit interviews (formerly conducted by Compliance subcommittee members).
   - For 2016-2017: End of the year exit interviews are scheduled for April 10-21. We estimate that approximately 25-40 student-athletes will be scheduled for interviews.

2. **Concussion report** (presented by Jeff Smith). We reviewed the concussion incidence and response.
   - Concussion numbers: 24 concussions, 3.7% of student athlete population, 22 were athletically related (other 2 were accidents that happened to student-athletes outside of their sport but were nonetheless treated in Sports Medicine). Football had 14 (12.7% of the team). Women’s Soccer had 4 (14.8% of the team).
The Facilities Subcommittee met on April 12, 2017 to discuss issues regarding athletic and recreational facilities on the UMass Amherst campus. Dan Markowski Associate Athletic Director for facilities and operations provided an update on facility improvements that were completed or underway between June 2016 and May 2017. After several years of major projects, this year consisted of smaller improvements and maintenance of existing facilities.

Projects Completed

• Gladchuk Field had bathrooms added in April for the field hockey facility. This completes the Gladchuk Field improvements. The complex now consists of field hockey, football, and soccer fields with watering systems for all fields.
• The softball and soccer fields received new vinyl inserts for their scoreboards. Inserts return faded areas to their original colors.
• New windscreens with UMass branding were added to softball, soccer, and tennis facilities.
• Mullins Center basketball locker and video rooms now used by hockey.
• Hockey locker room received new carpeting with UMass branding.
• Mullins Ice Rink Zamboni received new hot water heaters to improve ice quality.
• Mullins Center basketball assistant’s offices were converted into a TV production facility with fiber optic HD capability.
• Curry Hicks Cage women's track and field locker room was renovated at a cost of $35,000.
• Leak in showers at football performance center was repaired.

Projects Underway

• New scoreboards / videoboards for Mullins Center. Both center ice and rink ends will have boards with HD broadcast capabilities. Cost approximately $2.2M.
• Fundraising is underway for a new scoreboard with video replay for Garber Field. Project will also include upgraded landscaping and Umass branding. Cost between $500,000-$600,000.
Planning Stages

- Field turf for Rudd Field
- Ongoing work on recreational and club fields with field turf under consideration.
- Indoor athletic facility by football stadium. Facility would be used by both athletics and recreation.
- Squash courts in Mullins Center to be converted to hockey weight room.
- JFK Champions Center will receive new Umass branding and completion of legacy hall.
- Resurfacing of Mullins Center tennis courts.

Other issues

- Football student tailgating moved to West end of lot 11.
- Student barbeque moved to section 10 of stadium.
- Parking in and around stadium to be reconfigured.
- During the year facilities hosted the A-10 track and field championship, MIAA championships, three football games, soccer, lacrosse, and basketball camps. Revenue for camps approximately $150,000.
- Issue with Totman Pool regarding under pinning issues was addressed.
- Staffing remains tight but adequate. Increased use of all facilities by athletics, recreation, and clubs has created additional work for facilities.
Athletic Council Subcommittee on Academic Services - 2016-17 Academic Year

The Academic sub-committee of the Athletic Council is charged with overseeing the academic performance of student-athletes. This includes team graduation rates, team GPA, and academic progress. The sub-committee also addresses issues pertinent to the academic performance and support services (e.g., tutoring) that is provided to student-athletes.

Members of the sub-committee: Tim Anderson, Nefertiti Walker, Marinos Vouvakis, Libby Sharrow, and Sam Hazen.

Tim Anderson met with Joan Hopkins (Associate AD for Academic Services and Liaison to the Athletic Department) on April 11, 2017.

ACADEMIC HIGHLIGHTS

- Academic Year 16/17 student-athlete GPA: 3.077 (highest recorded)
- Cumulative student-athlete GPA: 3.127 (highest recorded)
- Perfect GPA - 4.00
  - Fall: 27 student-athletes
  - Spring: 30 student-athletes
- Dean’s list - 3.50 and above
  - Fall: 192 student-athletes
  - Spring: 152 student-athletes
- Atlantic 10 Honor roll - 3.50 and above
  - Fall: 165 student-athletes
  - Spring: 156 student-athletes
- Maroon Scholars - 3.00 and above: 328 student-athletes
- Commonwealth Honors College Membership: 51 student-athletes

REDEFINING ACADEMIC SUCCESS

In the Spring of 2017, Athletic Department Administrators, based on information obtained through student-athlete exit surveys and a strategic report directed by the faculty of the McCormack Department of Sport Management, directed resources to increase student-athlete success and satisfaction. As a result, the following goals have been identified:

- Lead a collaborative effort to establish a unified strategy for student success within Athletics in order to achieve applicable NCAA benchmarks for success by being both student focused and APR driven.
- Design, implement, and streamline advising processes by creating “best practices” for advisors to increase opportunities for student support/satisfaction.
- Explore and integrate early alert opportunities to create intervention strategies for students who are in need of assistance/counseling.
• Develop a comprehensive strategy for APR benchmarks with Governance and Compliance that provides for seamless integration within the department.
• Continue making connections/partnerships between the Athletic Department, faculty, and campus advisors in the areas of student retention, early intervention, and advising.
• Actively (using intrusive advising model) engage and develop emerging (at-risk) student-athletes while simultaneously encouraging moderate to high achieving student-athletes.
• Drive student satisfaction; Coaches have made students feel “wanted” during recruitment to campus. Support staff works to make student-athletes feel “appreciated” during their time here and “satisfied” after they finish their degree.
• Simplify internal processes to maximize increased quality and quantity of advisor to student-athlete interaction.
  o Hiring of all full-time staff to provide consistent year to year advising.
  o Availability of students to schedule individual appointments online.
  o Upcoming space renovations and enhancements.
• Help celebrate student-athlete accomplishment to boost engagement and morale amongst our entire student-athlete population.

In addition, the creation of a Student Development Office assists student-athletes by providing skills pertinent to success throughout their college careers as well as counsel student-athletes in establishing attainable goals regarding post-graduation plans. Working with campus partners, Student Development coordinates efforts for career planning and preparation.

TUTORING/EDUCATIONAL ASSISTANCE

Tutoring assistance continues to have a positive impact on the GPAs of student-athletes. Academic Success provides unlimited tutoring for the highest “at-risk” student athletes, and every student-athlete may request one tutor for the duration of the entire semester. Additional tutoring is available for any student-athlete on a case-by-case basis. Academic Counselors also maintain lists of resources available on campus and often refer student-athletes accordingly.

ADVISING

Academic Success works with advising peers on campus within each college/school/department. Each semester all student-athletes are required to follow a “Advising & Registration” process initiated by Academic Success. All students must clear their registration holds by a specific date, meet with an Advisor in Academic Success to brainstorm scheduling plans, meet with their specific college/school/department Advisor to finalize scheduling plans, and return a form signed by the college/school/department Advisor to Academic Success.
Registration Appointment Modification (RAM) is a campus initiative that allows students to register at the beginning of their class appointment times each semester. Approved for RAM by the Registrar’s Office, 87% of all student-athletes were able to register within 4 hours of their specific appointment time. Student-athletes are appreciative of the opportunity and have identified it as an important part in their overall experience.

STUDY HALL/OFFICE

Currently there is limited space available for student-athletes to study from 4:00 PM to 10:00 PM on Sunday, 9:00 AM to 10:00 PM Monday through Thursday, and 9:00 AM to 5:00 PM on Friday. The Athletic Department has identified the study space as an area of improvement and is currently in the design phase of a renovation expected to begin in the Summer of 2018. This project will include an increase in space, furniture acquisition, and new technology inclusion.

Also, slated for renovation this summer is a new Academic Success office suite. An area of need identified by student-athletes, the renovation will include more private offices to conduct confidential conversations. In addition, all Academic Success staff will be housed within this specific suite instead of in separate spaces.

PROGRESS REPORTS

Academic Success sends progress reports to Faculty and Teaching Assistants (if they have been appropriately assigned in SPIRE) through the University’s EAB CAMPUS platform throughout the academic year. There are two requests for progress during the Fall and Spring semesters, and one request in Winter and Summer terms. The current rate of return is about 30%. Those reports are invaluable to Academic Success in helping student-athletes to be successful academically. Academic Success is in the process of working to both increase the rate of return and gather the information in other ways.

TOP FIVE MAJORS OF STUDENT ATHLETES 2016-2017

BBA Isenberg majors combined
Kinesiology
Sport Management
Communication
Sociology

CHAMPS CUP

The CHAMPS Cup was awarded to Field Hockey at the end of 2015. The Cup includes competition points for all teams in 5 areas: Academics, Athletics, Community Service, Student Development, and SAAC participation.
The Student-Athlete Advisory Committee (SAAC) is comprised of at least two representatives from each team and is led by a six-student executive board. Representatives are chosen through a SurveyMonkey selection process that asks for leadership background, offering of an innovative idea, and coach approval. This year’s SAAC boasts a 3.3 career cumulative GPA and covers 20 different majors. Six individuals are double majors, and three others are in the Commonwealth Honors College. Four have earned all-conference honors and one has been a two-time All-American.

The organization meets 10 times during the school year, five times each semester. There was an average of 35-40 representatives at each meeting. They raised over $1,200 for their budget by conducting the Zamboni ride marketing contest prior to each home ice hockey game. Some community service events they participated in were: the Shriners Hospital toy drive, Crocker Farm Elementary breakfast buddy program, Wildwood Elementary’s Walk to School Day, and Special Olympics basketball event. They are currently working on a second Special Olympics event and a food drive for the Amherst Survival Center.

This academic year has been highlighted by delivering hundreds of toys to Shriners Hospital for Children in Springfield, having student-athletes from nearly a dozen different teams contribute to the Special Olympics basketball event, participating in a leadership workshop led by Capt. Brian Berling of the Marine Corps, furthering the discussion on mental health needs, and launching a SAAC e-newsletter. Representatives have taken the DiSC workplace profile assessment, which will culminate in a facilitation workshop later this semester.
Equity and Diversity Subcommittee Report of the Athletic Council

2016-17 Report covering 2015-16 Academic Year

The role of the Equity and Diversity Subcommittee is to monitor and report on Title IX compliance, gender, and racial and ethnic minority participation within the Athletic Department.

Members of Subcommittee (2016-17): Libby Sharrow (Chair), Michelle Borkhuis, Kristen Kay, Pat Vittum, Hailey Cockrum, Mark Hochberg

Athletic Department staff liaison: Darrice Griffin (Senior Associate Athletic Director)

Participation

Information provided by the University of Massachusetts Office of Institutional Research and stated on the Equity in Athletics Disclosure Act (EADA) report (FY16) indicated that there were 10,809 (51.8%) men and 10,053 (48.2%) women full-time, baccalaureate, degree-seeking undergraduate students enrolled at the University of Massachusetts for Fall of 2015. The numbers for fall 2016 were: 11,102 (52.0%) males and 10,238 (48.0%) females, virtually staying the same from one year to the next.

Based on the following EADA participation guidelines a participant is a student-athlete who, as of the day of a varsity team’s first scheduled contest in the traditional season:

- is listed as a team member;
- practices with the varsity team and receives coaching from one or more varsity coaches; or
- receives athletically –related student aid.

Any student who satisfies one or more of the criteria above is a participant, including a student on a team the institution designates or defines as junior varsity, freshman, or novice, or a student who does not play in a scheduled contest, whether for medical reasons or to preserve eligibility (i.e., a redshirt). Student-athletes who participate in more than more sport should be counted in each sport. Male practice players are NOT included as participants.

Using the EADA guidelines, the duplicated participation for all sports for the 2015-16 academic year were 400 men (51.8%) and 374 women (48.2%). The unduplicated participation for all sports for the 2015-16 academic year was 296 men (55.6%) and 236 women (44.4%).

<table>
<thead>
<tr>
<th></th>
<th>Participation</th>
<th>Enrollment</th>
<th>Title IX Analysis</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Number</td>
<td>Percentage</td>
<td>Number</td>
</tr>
<tr>
<td>Women</td>
<td>236</td>
<td>44.4%</td>
<td>10,238</td>
</tr>
</tbody>
</table>
### Student-Athlete Racial and Ethnic Minority Opportunity

The Department of Athletics provides the NCAA with information on ethnicity of student-athletes through submission of the annual Sports Sponsorship Report. The data for 2015-16 show that the racial and ethnic minority participation percentage among men student athletes was 36.9% (115) and 32.3% (68) among women.

### Athletic Scholarships

The amount of scholarship dollars provided to student-athletes for the 2015-16 academic year is as follows:

<table>
<thead>
<tr>
<th></th>
<th>Participation Percentage</th>
<th>Scholarship Percentage</th>
<th>Difference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Women</td>
<td>44.4%</td>
<td>44.2%</td>
<td>-0.2%</td>
</tr>
<tr>
<td>Men</td>
<td>55.6%</td>
<td>55.8%</td>
<td>+0.2%</td>
</tr>
</tbody>
</table>

These figures include all scholarships, winter session aid, summer session aid, and books.

The Athletic Department aims to arrive back at proportionality between women and men in 2019.

### Athletic Department Staffing

As of March 31, 2017, the department consisted of:

- 120 full-time and part-time employees
  - 76 male and 44 female (36.7%)
  - 13 racial and ethnic minority employees (10.8%)
The average salary for the head coach for the men’s teams was $302,053, while that of the head coach for women’s teams is $121,890. The discrepancy is at least in part accounted for by the much higher salaries received by the Football and Men’s Basketball Head Coaches. (The salaries used for computation are for “coaching duties only.”)

All of the assistant coaches who are assigned to men’s teams on a full-time basis are men (18 men, 0 women). Similarly, all of the assistant coaches who are assigned to women’s teams on a full-time basis are women (14 women, 0 men).

**Recruiting budgets**

The recruiting expenditures for the reporting period:

<table>
<thead>
<tr>
<th>Sports</th>
<th>Amount</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Men’s sports</td>
<td>$517,380</td>
<td>69.6%</td>
</tr>
<tr>
<td>Women’s sports</td>
<td>$223,219</td>
<td>30.1%</td>
</tr>
<tr>
<td>Total</td>
<td>$740,599</td>
<td></td>
</tr>
</tbody>
</table>
Athletic Council
Finance Subcommittee Report

Membership: Sean Quinn (Athletic Department, Associate Athletic Director of Finance & Administration), Nancy Bufonne, Nathaniel Dickstein, Sam Hazen, Gaelle Francesca Rigaud, David Morin, Marinos Vouvakis (Chair) and Ed Ward

The charge of this Subcommittee is to review the distribution of revenues (including state and university funds, trust funds, student fees, ticket revenues, advancement funds) and expenses (including compensation and severance, scholarships and various operation funds) at the Athletic Department. The overall obligation of this Subcommittee is to correlate programs and financing and to provide advice or recommendations as appropriate.

This year the Subcommittee met once and had several email correspondences regarding the timing of submission and content of the report, and meeting arrangements of the Finance Subcommittee.

Associate Athletic Director (AD) Quinn submitted the Athletic Department finance report to the Athletic Council Finance Subcommittee chair on February 8th, 2018, and was distributed to the Finance Subcommittee for review shortly thereafter. The report was formatted according to Faculty Senate’s guidelines and can be found in the Appendix at the end of this section.

The Finance Subcommittee met once during academic year 2017-18, in February 15th, 2018. The meeting was attended by Athletic Director (AD) Ryan Bamford, Associate AD Sean Quinn, Nancy Bufonne, Sam Hazen, David Morin, Marinos Vouvakis and Ed Ward. After introductions AD Bamford summarized the report and explained almost every line-item in the spread-sheets, and provided rational for FY18 budget projections. The committee continued engaging AD Bamford with questions on the projected/actual FY17 finances and asked questions as well as offered suggestions for FY18 projections. The subcommittee agreed that the FY17 budget was in line with the FY16 budget, and that any deviations were explained and sufficiently justified by AD Bamford. The FY18 projections were found to be sound.
## FY17 UMass Athletics Budget Summary

### Revenues

<table>
<thead>
<tr>
<th></th>
<th>FY17 Posted Budget</th>
<th>% of Overall Budget</th>
<th>FY17 Actuals</th>
<th>% of Overall Budget</th>
<th>FY18 Projected Budget</th>
<th>% of Overall Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>State and University Subsidy (base)</td>
<td>$17,670,209</td>
<td>49.8%</td>
<td>$17,735,312</td>
<td>48%</td>
<td>$18,097,945</td>
<td>49.2%</td>
</tr>
<tr>
<td>State and University Subsidy (non-base)</td>
<td>$300,000</td>
<td>0.8%</td>
<td>$2,053,419</td>
<td>6%</td>
<td>$609,237</td>
<td>1.7%</td>
</tr>
<tr>
<td>Campus base budget reduction</td>
<td>$235,892</td>
<td>-0.7%</td>
<td>$235,892</td>
<td>-1%</td>
<td>$203,915</td>
<td>-0.6%</td>
</tr>
<tr>
<td><strong>TOTAL BASE BUDGET</strong></td>
<td>$17,734,317</td>
<td>50.0%</td>
<td>$19,552,839</td>
<td>52%</td>
<td>$18,503,267</td>
<td>50.3%</td>
</tr>
<tr>
<td>Waivers</td>
<td>$1,378,000</td>
<td>3.9%</td>
<td>$1,378,000</td>
<td>4%</td>
<td>$1,378,000</td>
<td>3.7%</td>
</tr>
<tr>
<td>Student Fees</td>
<td>$9,023,910</td>
<td>25.4%</td>
<td>$9,171,594</td>
<td>25%</td>
<td>$9,487,370</td>
<td>25.8%</td>
</tr>
<tr>
<td>Ticket and Guarantee Revenue (Football)</td>
<td>$3,452,483</td>
<td>9.7%</td>
<td>$3,480,677</td>
<td>9.3%</td>
<td>$2,769,521</td>
<td>7.5%</td>
</tr>
<tr>
<td>Ticket and Guarantee Revenue (Men's Basketball)</td>
<td>$710,414</td>
<td>2.0%</td>
<td>$650,233</td>
<td>1.7%</td>
<td>$525,579</td>
<td>1.4%</td>
</tr>
<tr>
<td>Ticket and Guarantee Revenue (Women's Basketball)</td>
<td>$27,100</td>
<td>0.1%</td>
<td>$35,843</td>
<td>0.1%</td>
<td>$14,600</td>
<td>0.0%</td>
</tr>
<tr>
<td>Ticket and Guarantee Revenue (Hockey)</td>
<td>$250,666</td>
<td>0.7%</td>
<td>$213,433</td>
<td>0.6%</td>
<td>$227,596</td>
<td>0.6%</td>
</tr>
<tr>
<td>Ticket and Guarantee Revenue (Men's Lacrosse)</td>
<td>$13,000</td>
<td>0.0%</td>
<td>$6,682</td>
<td>0.0%</td>
<td>$11,636</td>
<td>0.0%</td>
</tr>
<tr>
<td>Ticket and Guarantee Revenue (Softball)</td>
<td>$6,300</td>
<td>0.0%</td>
<td>-</td>
<td>0.0%</td>
<td>-</td>
<td>0.0%</td>
</tr>
<tr>
<td>Ticket and Guarantee Revenue (Other sports)</td>
<td>$5,000</td>
<td>0.0%</td>
<td>$5,000</td>
<td>0.0%</td>
<td>$7,500</td>
<td>0.0%</td>
</tr>
<tr>
<td>Marketing, Corporate, TV, Royalties and Radio Sponsorship</td>
<td>$315,000</td>
<td>0.9%</td>
<td>$138,653</td>
<td>0.4%</td>
<td>$649,553</td>
<td>1.8%</td>
</tr>
<tr>
<td>NCAA and Conference Revenues</td>
<td>$1,926,845</td>
<td>5.4%</td>
<td>$2,094,020</td>
<td>5.6%</td>
<td>$2,630,317</td>
<td>7.1%</td>
</tr>
<tr>
<td>Facility Rental Income and Miscellaneous</td>
<td>$300,000</td>
<td>0.8%</td>
<td>$148,463</td>
<td>0.4%</td>
<td>$225,000</td>
<td>0.6%</td>
</tr>
<tr>
<td>Post season</td>
<td>$140,000</td>
<td>0.4%</td>
<td>$214,898</td>
<td>0.6%</td>
<td>$200,000</td>
<td>0.5%</td>
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<tr>
<td>Camps</td>
<td>$10,000</td>
<td>0.0%</td>
<td>$11,383</td>
<td>0.0%</td>
<td>$10,000</td>
<td>0.0%</td>
</tr>
<tr>
<td>Department Generated Revenues</td>
<td>$7,156,808</td>
<td>20.2%</td>
<td>$6,999,285</td>
<td>18.8%</td>
<td>$7,271,302</td>
<td>19.8%</td>
</tr>
<tr>
<td>UMAA Foundation Annual Drive towards scholarship</td>
<td>$123,000</td>
<td>0.35%</td>
<td>$123,237</td>
<td>0.3%</td>
<td>$125,000</td>
<td>0.34%</td>
</tr>
<tr>
<td>UMAA Foundation Other</td>
<td>$50,000</td>
<td>0.14%</td>
<td>-</td>
<td>0.0%</td>
<td>-</td>
<td>0.00%</td>
</tr>
<tr>
<td>Football Enhancement toward compensation and operating</td>
<td>-</td>
<td>0.00%</td>
<td>-</td>
<td>0.0%</td>
<td>-</td>
<td>0.00%</td>
</tr>
<tr>
<td>Court Club toward compensation and operating</td>
<td>$30,000</td>
<td>0.08%</td>
<td>$30,000</td>
<td>0.1%</td>
<td>$30,000</td>
<td>0.08%</td>
</tr>
<tr>
<td>UMAA Foundation - MBB, FB compensation and operating</td>
<td>-</td>
<td>0.00%</td>
<td>-</td>
<td>0.0%</td>
<td>-</td>
<td>0.00%</td>
</tr>
<tr>
<td>Foundation Total Revenue</td>
<td>$203,000</td>
<td>0.6%</td>
<td>$153,237</td>
<td>0.4%</td>
<td>$155,000</td>
<td>0.4%</td>
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<tr>
<td><strong>TOTAL REVENUE</strong></td>
<td>$35,496,035</td>
<td>-</td>
<td>$37,254,955</td>
<td>-</td>
<td>$36,794,939</td>
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</table>

### Expenses

<table>
<thead>
<tr>
<th></th>
<th>FY17 Posted Budget</th>
<th>% of Overall Budget</th>
<th>FY17 Actuals</th>
<th>% of Overall Budget</th>
<th>FY18 Projected Budget</th>
<th>% of Overall Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>Football Guarantees</td>
<td>$1,145,000</td>
<td>3.2%</td>
<td>$1,145,000</td>
<td>3%</td>
<td>$950,000</td>
<td>2.6%</td>
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<tr>
<td>Basketball Guarantees</td>
<td>$400,000</td>
<td>1.1%</td>
<td>$403,000</td>
<td>1%</td>
<td>$376,500</td>
<td>1.0%</td>
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<tr>
<td>Compensation and Severance</td>
<td>$11,786,240</td>
<td>33.2%</td>
<td>$12,840,395</td>
<td>34%</td>
<td>$11,525,925</td>
<td>31.3%</td>
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<tr>
<td>Scholarships</td>
<td>$10,932,541</td>
<td>30.8%</td>
<td>$11,422,191</td>
<td>30%</td>
<td>$11,883,512</td>
<td>32.3%</td>
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<tr>
<td>Sport Program Operations</td>
<td>$6,471,880</td>
<td>18.2%</td>
<td>$6,639,158</td>
<td>17%</td>
<td>$6,555,257</td>
<td>17.8%</td>
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<tr>
<td>Post Season Operations</td>
<td>$329,561</td>
<td>0.9%</td>
<td>$545,834</td>
<td>1%</td>
<td>$440,000</td>
<td>1.2%</td>
</tr>
<tr>
<td>Facilities and Game Operations</td>
<td>$1,530,364</td>
<td>4.3%</td>
<td>$1,370,632</td>
<td>4%</td>
<td>$1,923,387</td>
<td>5.2%</td>
</tr>
<tr>
<td>Administrative Operations</td>
<td>$2,389,602</td>
<td>6.7%</td>
<td>$3,132,288</td>
<td>8%</td>
<td>$2,384,277</td>
<td>6.5%</td>
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<tr>
<td>Debt Retirement</td>
<td>$517,782</td>
<td>1.5%</td>
<td>$517,782</td>
<td>1%</td>
<td>$761,325</td>
<td>2.1%</td>
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<tr>
<td><strong>TOTAL EXPENSES</strong></td>
<td>$35,502,970</td>
<td>100%</td>
<td>$38,016,280</td>
<td>98.6%</td>
<td>$36,800,453</td>
<td>100%</td>
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</tbody>
</table>

<p>|                                | (6,930)            | $ (761,325)         | $ (5,514)    | $ (6,930)           | $ (761,325)          | $ (5,514)           |</p>
<table>
<thead>
<tr>
<th>SPORTS</th>
<th>FY17 ACTUAL COMPENSATION</th>
<th>FY17 ACTUAL SCHOLARSHIPS</th>
<th>FY17 ACTUAL OPERATIONS</th>
<th>FY17 TOTAL ACTUAL</th>
<th>FY18 TOTAL PROJECTED BUDGET</th>
</tr>
</thead>
<tbody>
<tr>
<td>Baseball</td>
<td>$191,453</td>
<td>$87,374</td>
<td>$192,558</td>
<td>$471,386</td>
<td>$456,963</td>
</tr>
<tr>
<td>Basketball (M)</td>
<td>$1,937,232</td>
<td>$589,658</td>
<td>$893,463</td>
<td>$3,420,353</td>
<td>$2,636,839</td>
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<tr>
<td>Basketball (W)</td>
<td>$659,256</td>
<td>$424,692</td>
<td>$716,484</td>
<td>$1,800,432</td>
<td>$1,898,892</td>
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<tr>
<td>Field Hockey</td>
<td>$165,918</td>
<td>$537,558</td>
<td>$172,002</td>
<td>$875,479</td>
<td>$951,626</td>
</tr>
<tr>
<td>Football</td>
<td>$1,744,313</td>
<td>$3,532,802</td>
<td>$2,620,465</td>
<td>$7,897,580</td>
<td>$7,862,205</td>
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<tr>
<td>Ice Hockey</td>
<td>$574,651</td>
<td>$835,258</td>
<td>$430,684</td>
<td>$1,840,593</td>
<td>$1,865,376</td>
</tr>
<tr>
<td>Lacrosse (M)</td>
<td>$286,852</td>
<td>$397,500</td>
<td>$128,883</td>
<td>$813,234</td>
<td>$816,011</td>
</tr>
<tr>
<td>Lacrosse (W)</td>
<td>$220,865</td>
<td>$457,013</td>
<td>$183,724</td>
<td>$861,602</td>
<td>$874,749</td>
</tr>
<tr>
<td>Rowing (W)</td>
<td>$242,591</td>
<td>$604,584</td>
<td>$172,281</td>
<td>$1,019,456</td>
<td>$1,076,650</td>
</tr>
<tr>
<td>Soccer (M)</td>
<td>$158,878</td>
<td>$86,555</td>
<td>$161,564</td>
<td>$406,997</td>
<td>$442,415</td>
</tr>
<tr>
<td>Soccer (W)</td>
<td>$195,541</td>
<td>$587,653</td>
<td>$204,965</td>
<td>$988,159</td>
<td>$925,451</td>
</tr>
<tr>
<td>Softball</td>
<td>$189,387</td>
<td>$508,598</td>
<td>$200,101</td>
<td>$898,087</td>
<td>$895,792</td>
</tr>
<tr>
<td>Swimming (M)</td>
<td>$167,626</td>
<td>$91,413</td>
<td>$99,121</td>
<td>$358,160</td>
<td>$391,365</td>
</tr>
<tr>
<td>Swimming (W)</td>
<td>$148,082</td>
<td>$482,847</td>
<td>$110,279</td>
<td>$741,209</td>
<td>$753,866</td>
</tr>
<tr>
<td>Tennis (W)</td>
<td>$138,124</td>
<td>$300,741</td>
<td>$90,342</td>
<td>$529,207</td>
<td>$539,186</td>
</tr>
<tr>
<td>Track/Cross Country (M)</td>
<td>$172,551</td>
<td>$118,296</td>
<td>$107,136</td>
<td>$397,983</td>
<td>$399,954</td>
</tr>
<tr>
<td>Track/Cross Country (W)</td>
<td>$140,358</td>
<td>$575,216</td>
<td>$155,105</td>
<td>$870,679</td>
<td>$973,534</td>
</tr>
<tr>
<td>Cost of Attendance</td>
<td>$-</td>
<td>$386,340</td>
<td>$-</td>
<td>$386,340</td>
<td>$375,000</td>
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<td>Medical</td>
<td>$-</td>
<td>$361,971</td>
<td>$-</td>
<td>$361,971</td>
<td>$346,542</td>
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<tr>
<td>Summer Aid (football)</td>
<td>$-</td>
<td>$263,136</td>
<td>$-</td>
<td>$263,136</td>
<td>$375,000</td>
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<tr>
<td>Summer Aid (others)</td>
<td>$-</td>
<td>$95,713</td>
<td>$-</td>
<td>$95,713</td>
<td>$152,500</td>
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<tr>
<td>Winter Aid</td>
<td>$-</td>
<td>$23,835</td>
<td>$-</td>
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<td>$20,000</td>
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<tr>
<td>Severance Payments</td>
<td>$619,837</td>
<td>$-</td>
<td>$-</td>
<td>$619,837</td>
<td>$356,020</td>
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<tr>
<td>Books</td>
<td>$-</td>
<td>$73,437</td>
<td>$-</td>
<td>$73,437</td>
<td>$90,000</td>
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<tr>
<td>Sport Programs Subtotal</td>
<td>$7,953,515</td>
<td>$11,422,191</td>
<td>$6,639,158</td>
<td>$26,014,864</td>
<td>$25,475,936</td>
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</tbody>
</table>
## ADMIN - ACTUAL EXPENSES

<table>
<thead>
<tr>
<th>Department/Known Costs</th>
<th>FY17 ACTUAL COMPENSATION</th>
<th>FY17 ACTUAL OPERATIONS</th>
<th>FY17 TOTAL ACTUALS</th>
<th>FY18 PROJECTED BUDGET</th>
</tr>
</thead>
<tbody>
<tr>
<td>Facilities and Game Op</td>
<td>$860,027</td>
<td>$232,061</td>
<td>$1,092,088</td>
<td>$958,154</td>
</tr>
<tr>
<td>Sports (M) / Events and Game Operations</td>
<td>-</td>
<td>$888,526</td>
<td>$888,526</td>
<td>$1,307,734</td>
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<tr>
<td>Sports (W) / Events and Game Operations</td>
<td>-</td>
<td>$195,811</td>
<td>$195,811</td>
<td>$259,830</td>
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<tr>
<td>Non-sport specific event support</td>
<td>-</td>
<td>$34,136</td>
<td>$34,136</td>
<td>$35,000</td>
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<tr>
<td>Stadium</td>
<td>-</td>
<td>$20,098</td>
<td>$20,098</td>
<td>$44,226</td>
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<tr>
<td><strong>Facilities/Game Ops Total</strong></td>
<td>$860,027</td>
<td>$1,370,632</td>
<td>$2,230,659</td>
<td>$2,604,944</td>
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<tr>
<td>Academics</td>
<td>$487,274</td>
<td>$140,344</td>
<td>$627,618</td>
<td>$476,744</td>
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<tr>
<td>Administration</td>
<td>$574,145</td>
<td>-</td>
<td>$2,239,471</td>
<td>$1,857,687</td>
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<tr>
<td>Business Office</td>
<td>$434,594</td>
<td>-</td>
<td>$434,594</td>
<td>$410,191</td>
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<tr>
<td>Summer camps</td>
<td>-</td>
<td>$18,927</td>
<td>$18,927</td>
<td>$25,000</td>
</tr>
<tr>
<td>Band/Cheer</td>
<td>$20,856</td>
<td>$27,624</td>
<td>$48,480</td>
<td>$32,869</td>
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<tr>
<td>Compliance</td>
<td>$226,383</td>
<td>-</td>
<td>$226,383</td>
<td>$224,056</td>
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<tr>
<td>Communications / Creative Services</td>
<td>$346,826</td>
<td>$167,073</td>
<td>$513,899</td>
<td>$487,477</td>
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<tr>
<td>Development</td>
<td>$119,980</td>
<td>-</td>
<td>$119,980</td>
<td>$124,183</td>
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<tr>
<td>Equipment Room</td>
<td>$348,791</td>
<td>$66,382</td>
<td>$415,173</td>
<td>$374,493</td>
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<tr>
<td>Gurantees (Payable)</td>
<td>-</td>
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<td>$1,548,000</td>
<td>$1,331,000</td>
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<tr>
<td>Marketing/Sales</td>
<td>$320,536</td>
<td>$537,110</td>
<td>$857,646</td>
<td>$553,807</td>
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<tr>
<td>Sports Medicine /Student Svcs.</td>
<td>$560,854</td>
<td>$421,301</td>
<td>$982,155</td>
<td>$1,001,773</td>
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<tr>
<td>Strength and Conditioning</td>
<td>$385,574</td>
<td>$40,669</td>
<td>$426,243</td>
<td>$461,399</td>
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<tr>
<td>Ticket Operations</td>
<td>$133,547</td>
<td>$47,531</td>
<td>$181,078</td>
<td>$157,569</td>
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<tr>
<td>Part-time (Student) Payroll</td>
<td>$67,493</td>
<td>-</td>
<td>$67,493</td>
<td>-</td>
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<tr>
<td><strong>Administration Total</strong></td>
<td>$4,026,853</td>
<td>$4,680,288</td>
<td>$8,707,140</td>
<td>$7,518,248</td>
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<tr>
<td>Post season</td>
<td>-</td>
<td>$545,834</td>
<td>$545,834</td>
<td>$440,000</td>
</tr>
<tr>
<td><strong>Post season total</strong></td>
<td>-</td>
<td>$545,834</td>
<td>$545,834</td>
<td>$440,000</td>
</tr>
<tr>
<td><strong>Administration Subtotal</strong></td>
<td>$4,886,880</td>
<td>$6,596,754</td>
<td>$11,483,634</td>
<td>$10,563,192</td>
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<tr>
<td>FOOTBALL REVENUES</td>
<td>FY17 POSTED BUDGET</td>
<td>FY17 ACTUALS</td>
<td>FY18 POSTED BUDGET</td>
<td>Notes</td>
</tr>
<tr>
<td>------------------</td>
<td>--------------------</td>
<td>--------------</td>
<td>--------------------</td>
<td>-------</td>
</tr>
<tr>
<td>General Operating Funds</td>
<td>$2,150,000</td>
<td>$1,995,000</td>
<td>$2,075,000</td>
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<tr>
<td>Tuition Waivers (From Mass Bd. Of Higher Education)</td>
<td>$600,000</td>
<td>$640,000</td>
<td>$620,000</td>
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<tr>
<td>Student Fees</td>
<td>$2,470,000</td>
<td>$2,275,000</td>
<td>$2,420,000</td>
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<tr>
<td>Ticket sales</td>
<td>$552,483</td>
<td>$568,232</td>
<td>$512,000</td>
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<tr>
<td>Endowments</td>
<td>$15,000</td>
<td>$16,714</td>
<td>$17,364</td>
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</tr>
<tr>
<td>Direct Contributions (Gridiron Club, Enhancement, Alumni)</td>
<td>$144,893</td>
<td>$182,548</td>
<td>$185,000</td>
<td></td>
</tr>
<tr>
<td>Conference Distribution</td>
<td>$310,000</td>
<td>$310,469</td>
<td>$315,000</td>
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<tr>
<td>NCAA disbursement</td>
<td>$250,000</td>
<td>$254,274</td>
<td>$260,000</td>
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</tr>
<tr>
<td>Game guarantees received</td>
<td>$2,900,000</td>
<td>$2,900,000</td>
<td>$2,225,000</td>
<td></td>
</tr>
<tr>
<td>Marketing, Corporate, TV, Royalties and Radio Sponsorship</td>
<td>$ -</td>
<td>$ -</td>
<td>$225,000</td>
<td></td>
</tr>
<tr>
<td>Other Misc. Revenue</td>
<td>$15,000</td>
<td>$532</td>
<td>$10,000</td>
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</tr>
<tr>
<td>TOTAL FOOTBALL REVENUE</td>
<td>$9,407,376</td>
<td>$9,142,769</td>
<td>$8,864,364</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>FOOTBALL EXPENSES</th>
<th>FY17 POSTED BUDGET</th>
<th>FY17 ACTUALS</th>
<th>FY18 POSTED BUDGET</th>
</tr>
</thead>
<tbody>
<tr>
<td>Athletic Student Aid (Academic Year)</td>
<td>$3,650,420</td>
<td>$3,532,802</td>
<td>$3,650,000</td>
</tr>
<tr>
<td>Compensation</td>
<td>$1,710,000</td>
<td>$1,744,313</td>
<td>$1,795,000</td>
</tr>
<tr>
<td>Head Coach Quarterly Bonus (per contract) (via Gridiron Club)</td>
<td>$50,000</td>
<td>$50,000</td>
<td>$50,000</td>
</tr>
<tr>
<td>Game Guarantees Paid</td>
<td>$1,395,000</td>
<td>$1,395,000</td>
<td>$950,000</td>
</tr>
<tr>
<td>Team Travel</td>
<td>$1,490,000</td>
<td>$1,385,824</td>
<td>$1,112,413</td>
</tr>
<tr>
<td>Recruiting</td>
<td>$303,000</td>
<td>$340,608</td>
<td>$300,000</td>
</tr>
<tr>
<td>Preseason Housing and Meals</td>
<td>$221,325</td>
<td>$195,479</td>
<td>$227,008</td>
</tr>
<tr>
<td>Equipment, Uniforms and Supplies</td>
<td>$370,000</td>
<td>$304,705</td>
<td>$366,081</td>
</tr>
<tr>
<td>Game Expenses</td>
<td>$200,000</td>
<td>$197,682</td>
<td>$198,000</td>
</tr>
<tr>
<td>Fundraising, Marketing, and Promotion (printing)</td>
<td>$55,000</td>
<td>$21,237</td>
<td>$28,000</td>
</tr>
<tr>
<td>Medical Expenses and Medical Insurance</td>
<td>$ -</td>
<td>$10,406</td>
<td>$15,000</td>
</tr>
<tr>
<td>Memberships and Dues</td>
<td>$ -</td>
<td>$11,565</td>
<td>$13,630</td>
</tr>
<tr>
<td>Other Operating Expenses (AV, office, etc)</td>
<td>$162,410</td>
<td>$152,959</td>
<td>$157,073</td>
</tr>
<tr>
<td>TOTAL EXPENSES</td>
<td>$9,407,155</td>
<td>$9,142,580</td>
<td>$8,862,205</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>RELATED FOOTBALL EXPENSES</th>
<th>FY17 POSTED BUDGET</th>
<th>FY17 ACTUALS</th>
<th>FY18 POSTED BUDGET</th>
</tr>
</thead>
<tbody>
<tr>
<td>Administrative Football Expenses*</td>
<td>$375,000</td>
<td>$383,018</td>
<td>$395,000</td>
</tr>
<tr>
<td>Debt Service on Football Facility</td>
<td>$2,670,000</td>
<td>$2,670,000</td>
<td>$2,670,000</td>
</tr>
<tr>
<td>Football Facility Operating Costs</td>
<td>$1,116,000</td>
<td>$1,116,000</td>
<td>$1,116,000</td>
</tr>
<tr>
<td>One-time compensation buyouts (Gift funds directed to cover this)</td>
<td>$ -</td>
<td>$ -</td>
<td>$ -</td>
</tr>
<tr>
<td>Additional Female Scholarships</td>
<td>$650,000</td>
<td>$681,831</td>
<td>$750,000</td>
</tr>
<tr>
<td>University Relations Expense toward Ads, Student BBQ, etc.</td>
<td>$975,000</td>
<td>$974,932</td>
<td>$975,000</td>
</tr>
<tr>
<td>Subtotal: Total Related Football Expenses</td>
<td>$5,786,000</td>
<td>$5,825,781</td>
<td>$5,906,000</td>
</tr>
</tbody>
</table>

* Admin Football Expenses Include:
  - FB summer/winter/EE/books
  - Total facility maintenance
  - Other (admin travel, AO on admin expenses, etc.)
  - Game Expenses/Student workers/Overtime
Position Summary (from job description): The Faculty Athletics Representative champions academic integrity, promotes the welfare of student-athletes, and helps ensure institutional control of intercollegiate athletics. More specifically, the Faculty Athletics Representative works with the Chancellor and the Director of Athletics to maintain appropriate University oversight of intercollegiate athletics; assists the Chancellor and Director of Athletics in determining institutional positions on proposed NCAA and conference legislation; serves on search committees for selected senior athletics administrators and head coaches; monitors decisions regarding eligibility of student-athletes; is a resource and is available to student-athletes; and participates in all investigations and reports of possible NCAA violations.

Primary activities of the Faculty Athletics Representative (FAR) in 2016-2017

Team climate reviews
Various reports from two teams led to conducting a ‘climate check’ – a review of the programs by way of meetings with individuals in the programs (coaches, student-athletes, athletic trainers). In each of these, I conducted 8-10 individual meetings as well as meetings with administrators to review our findings. Both ended with summary meetings to discuss outcomes and follow-up.

Ombuds role
Although under the traditional scope of the FAR, the ombuds role for the Faculty Athletic Representative was formalized in 2014 in response to allegations within the football program. In 2015-16, there were no reports. In 2016-17, I dealt with two matters, each requiring a few meetings to sort out. I also spent a number of hours educating student-athletes on the role of the FAR. This included attending team meetings, speaking in the course for 1st-year student athletes (Your Winning Season), and attending SAAC meetings.

Violations, investigations, and waivers
The FAR is the institutional representative for approval of waivers. This, in part, requires attending monthly compliance meetings to learn NCAA rules and rule changes. As such, I reviewed about 20 such requests. As FAR, I also reviewed and approved Academic Progress Rate (APR) reports, the men’s basketball schedule (per NCAA requirements), and ad-hoc requests for extended absence for team travel.

Searches
I served an advisory role in selection of the field hockey, baseball, and tennis coaches and in the selection of an Associate AD for Compliance and an Associated AD for Finance. Field hockey actually required two rounds as we hired an interim coach in the first round and then went through the search process again after the season was over to hire a head coach.
A-10 meetings and representation
The A-10 FARs meet twice each year (October and January; the October meeting is always a conference call and the January meeting is in-person in Washington DC). Within FAR discussions, interest focused on missed class time, A-10 scholarship distribution and criteria, and rules understanding.

I chaired an ad-hoc A-10 Committee on Missed Class Time Measurement. The long-standing discussion of missed class time has been challenged by how to measure missed class time. These discussions led to a new way to estimate missed class time that will be applied starting in fall 2017.

As a member of the A-10 Post-graduate Scholarship Committee for a third year, we planned for and discussed the outcomes of the change in the A-10 Post-grad Scholarship (each institution is given one to award). There continues to be a positive response to the change.

I am also a member of the A-10 Student Athlete Advisory Committee (SAAC). This committee is primarily composed of institutional SAAC reps (both a student-athlete and a SAAC advisor). I frequently attended monthly conference calls and the in-person meeting in January. This committee provides a venue for student-athletes to express their voice to the A-10. Of particular interest is countable hrs, i.e., in addition to the required countable hrs (practice, game time), cost of attendance, outreach, best practices for campus SAACs, and legislative changes.

NCAA FAR Institute
I was nominated by the A10 and selected to attend the NCAA Div I FAR Institute May 23-26 in Indianapolis, IN. The purpose of this Institute is to provide support for FARs, provide training in accessing data in NCAA apps, and discuss best practices.

Student-athlete exit interviews
Each year, graduating student athletes are sampled for an external, in-person interview. These are conducted by the FAR and members of the Athletic Council. In addition to conducting the interviews, I met with administrators to review what needed to be addressed in response to concerns raised.

Student-athlete award nominations and support
A recommended role of the FARs is to nominate student-athletes for relevant awards. I submitted a nominee for the 20th Century Scholar (successful) and served on a review committee for the UMass nomination for the A-10 Post-grad Scholarship. I also wrote letters of recommendation for two student-athletes applying for awards.

Other roles and responsibilities
• I met with perspective student-athletes, particularly those interested in the Honors College or Psychology. I have been able to provide information on the Honors College for coaches and prospects. These are important recruits that are worth the focus of our efforts.
• I regularly attended athletics competitions as a way to meet the student-athletes and monitor how our programs present themselves.
• I served on the Hall of Fame selection committee.
• The FAR serves a role on the Athletic Council. As such I attended those monthly meetings and contributed off-line to discussions of topics for the meetings.
• I had monthly meetings with Ryan Bamford, weekly/bi-weekly meetings with Darrice Griffin, and attended monthly coaches and compliance meetings.
• The FAR annually administers an NCAA research survey to selected teams. This year I was assigned to give the survey (on drug and alcohol use) to the rowing and men's soccer teams.