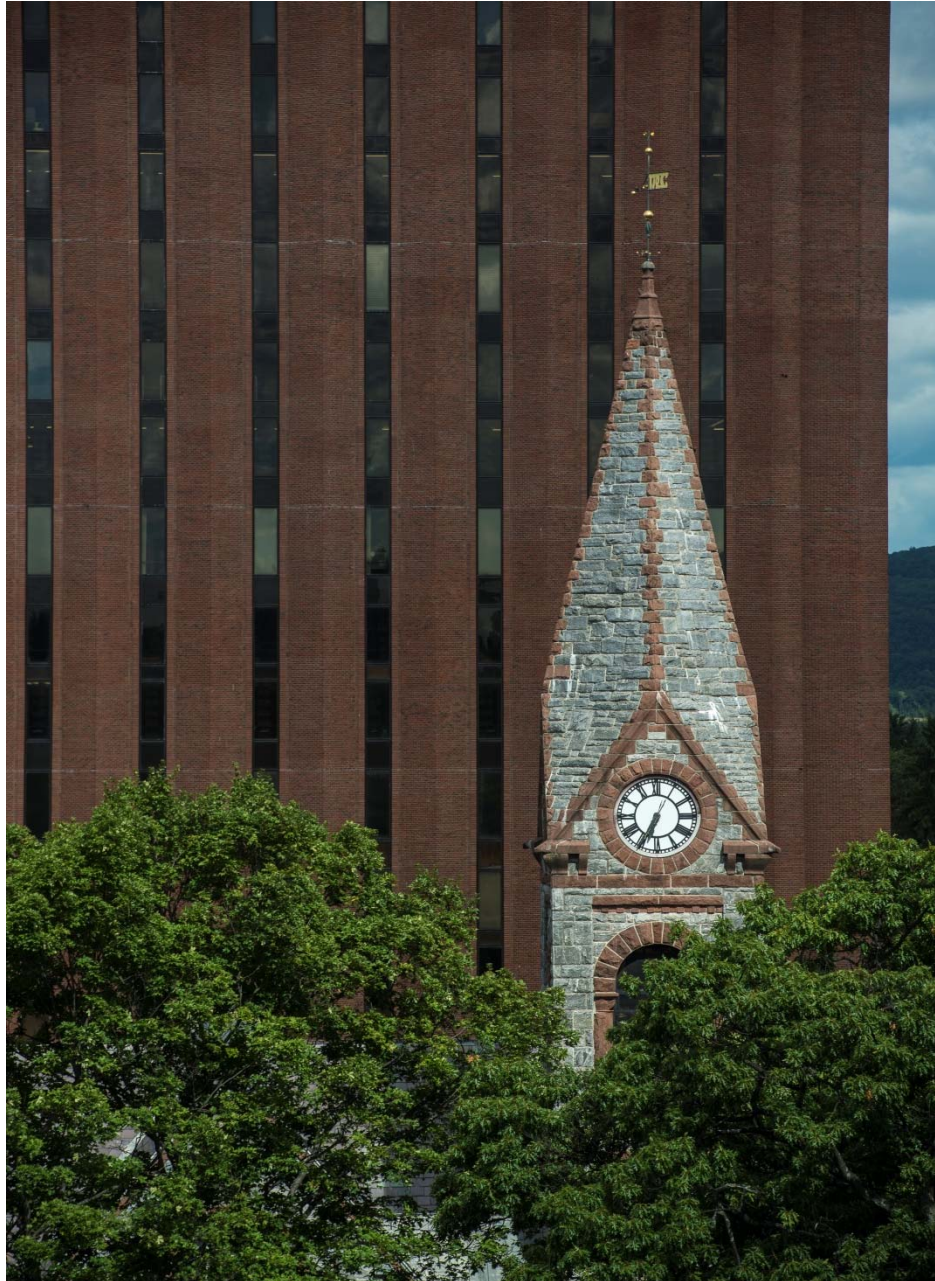


# SPONSORED ACTIVITIES

**ANNUAL REPORT FISCAL YEAR 2015**



**VICE CHANCELLOR FOR RESEARCH & ENGAGEMENT**

**OFFICE OF GRANT AND CONTRACT ADMINISTRATION**

# OFFICE OF GRANT AND CONTRACT ADMINISTRATION

## *Staff Directory*

### **Vice Chancellor for Research and Engagement**

Michael F. Malone, Vice Chancellor for Research and Engagement

### **Office of Grant and Contract Administration**

#### **DIRECTORS**

Carol Sprague, Director - (545-0698)  
Theresa Girardi, Assistant Director - (545-0698)  
Nancy Stewart, Assistant Director - (545-0698)  
James Ayres, Assistant Director - (577-1594)  
Donna Hamel, Receptionist - (545-0698)  
Leslie Burke, Reprographics - (545-5889)

#### **PROPOSAL PROCESSING**

**GRANT & CONTRACT ADMINISTRATORS**  
James Ayres, Assistant Director - (577-1594)  
Mary Bryskiewicz - (545-9878)  
Marcia Day - (545-0674)  
Kimberly Lowney - (545-0674)  
Renee Simon – (545-5873)  
Gwendolyn Swan - (545-0698)

#### **CLERICAL STAFF/ PROPOSAL STATUS**

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John Fillio - (545-0698)  
Susan Girouard - (545-0698)  
Sara Powers - (545-0698)

#### **CLERICAL STAFF/ AWARD STATUS**

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#### **COMPUTER NETWORK STAFF**

Leanne Every, Director Systems Division - (545-5892)  
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**Research Administration Building, 70 Butterfield Terrace  
University of Massachusetts Amherst, MA 01003-9242 (413) 545-0698**

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# PROPOSALS

## Proposal Trends

Figure 1: New Proposal Dollars FY 2011-2015

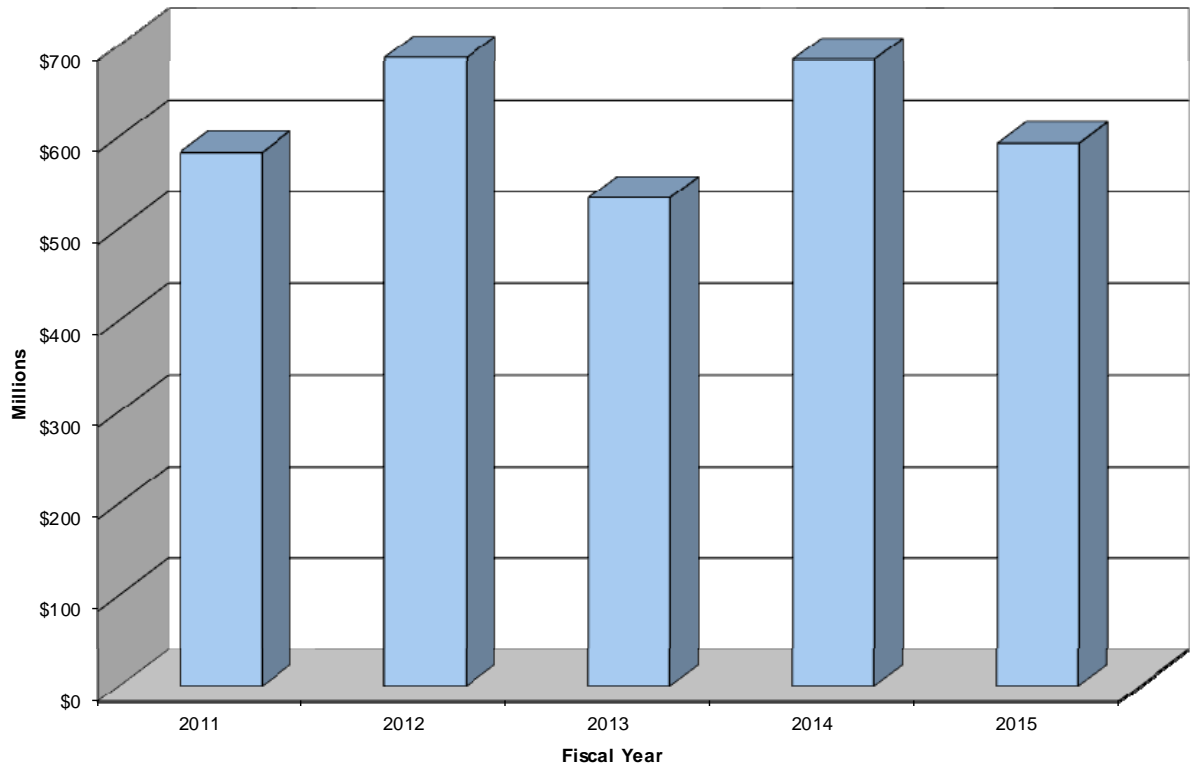
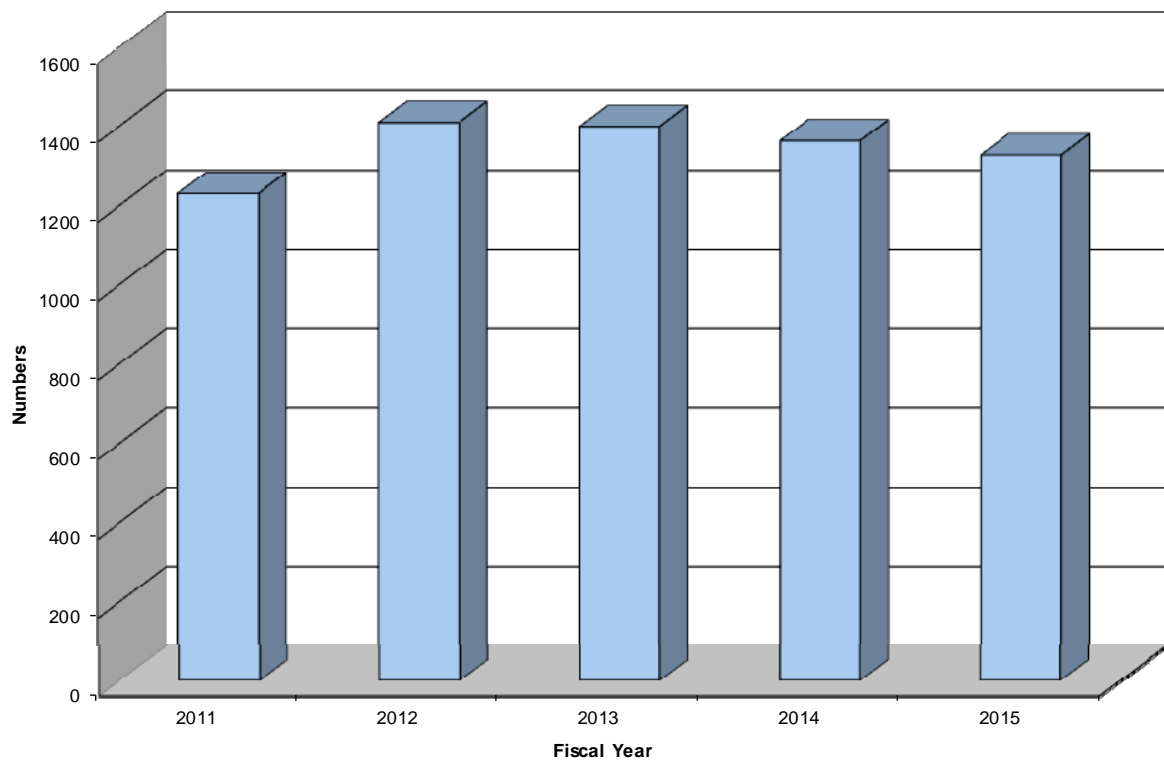


Figure 2: Number of New Proposals FY 2011-2015



## PROPOSALS

**Table 1**  
**5 Year New Proposal Success Rate Trend FY 2010-2014**

Fiscal Year Sponsor or Agency	2010 # Project Dollars		2011 # Project Dollars		2012 # Project Dollars		2013 # Project Dollars		2014 # Project Dollars	
US Dept of Agriculture										
New Awarded	20	\$7,073,111	23	\$19,099,399	24	\$11,877,442	23	\$10,873,174	33	\$12,048,809
New Not Awarded	33	\$27,988,018	28	\$11,244,781	18	\$3,642,447	38	\$14,767,257	31	\$15,548,148
Total New	53	\$35,061,129	51	\$30,344,180	42	\$15,519,889	61	\$25,640,431	64	\$27,596,957
% of New Prop Awarded	38%		45%		57%		38%		52%	
US Dept of Commerce										
New Awarded	3	\$950,219	4	\$533,539	8	\$1,820,185	2	\$178,912	3	\$318,197
New Not Awarded	13	\$42,325,425	15	\$26,370,103	4	\$831,786	3	\$572,566	4	\$911,646
Total New	16	\$43,275,644	19	\$26,903,642	12	\$2,651,971	5	\$751,478	7	\$1,229,843
% of New Prop Awarded	19%		21%		67%		40%		43%	
US Dept of Defense										
New Awarded	19	\$6,600,874	17	\$7,084,991	12	\$4,273,546	11	\$7,358,547	14	\$7,569,951
New Not Awarded	39	\$58,308,239	16	\$5,181,866	32	\$46,892,183	25	\$13,789,588	26	\$28,771,373
Total New	58	\$64,909,113	33	\$12,266,857	44	\$51,165,729	36	\$21,148,135	40	\$36,341,324
% of New Prop Awarded	33%		52%		27%		31%		35%	
US Dept of Education										
New Awarded	1	\$399,810	0	\$0	2	\$1,990,283	0	\$0	1	\$1,200,486
New Not Awarded	12	\$14,069,363	11	\$9,023,783	5	\$4,266,110	7	\$5,386,092	8	\$13,334,130
Total New	13	\$14,469,173	11	\$9,023,783	7	\$6,256,393	7	\$5,386,092	9	\$14,534,616
% of New Prop Awarded	8%		0%		29%		0%		11%	

## PROPOSALS

**Table 1 (cont'd)**  
**5 Year New Proposal Success Rate Trend FY 2010-2014**

Fiscal Year Sponsor or Agency	2010		2011		2012		2013		2014	
	#	Project Dollars	#	Project Dollars	#	Project Dollars	#	Project Dollars	#	Project Dollars
US Dept of Energy										
New Awarded	4	\$26,034,266	10	\$8,909,820	6	\$9,654,552	4	\$4,914,567	0	\$0
New Not Awarded	22	\$19,491,673	19	\$25,784,878	11	\$44,634,734	9	\$7,318,381	17	\$38,572,335
Total New	26	\$45,525,939	29	\$34,694,698	17	\$54,289,286	13	\$12,232,948	17	\$38,572,335
% of New Prop Awarded	15%		34%		35%		31%		0%	
US Dept of Health and Human Services										
New Awarded	34	\$21,355,274	23	\$30,034,496	25	\$32,756,645	14	\$21,266,295	25	\$25,765,113
New Not Awarded	107	\$139,820,812	103	\$134,030,755	102	\$133,031,745	117	\$130,082,141	110	\$109,662,673
Total New	141	\$161,176,086	126	\$164,065,251	127	\$165,788,390	131	\$151,348,436	135	\$135,427,786
% of New Prop Awarded	24%		18%		20%		11%		19%	
US Dept of Homeland Security										
New Awarded	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
New Not Awarded	0	\$0	0	\$0	1	\$975,000	2	\$1,298,722	0	\$0
Total New	0	\$0	0	\$0	1	\$975,000	2	\$1,298,722	0	\$0
% of New Prop Awarded	0%		0%		0%		0%		0%	
US Dept of Housing and Urban Development										
New Awarded	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
New Not Awarded	0	\$0	0	\$0	1	\$258,921	1	\$258,921	0	\$0
Total New	0	\$0	0	\$0	1	\$258,921	1	\$258,921	0	\$0
% of New Prop Awarded	0%		0%		0%		0%		0%	

## PROPOSALS

**Table 1 (cont'd)**  
**5 Year New Proposal Success Rate Trend FY 2010-2014**

Fiscal Year Sponsor or Agency	2010		2011		2012		2013		2014	
	#	Project Dollars	#	Project Dollars	#	Project Dollars	#	Project Dollars	#	Project Dollars
US Dept of Interior										
New Awarded	10	\$1,331,249	8	\$8,729,448	6	\$1,227,324	11	\$1,023,637	15	\$2,291,547
New Not Awarded	8	\$461,472	7	\$233,427	11	\$555,248	9	\$692,918	6	\$1,307,378
Total New	18	\$1,792,721	15	\$8,962,875	17	\$1,782,572	20	\$1,716,555	21	\$3,598,925
% of New Prop Awarded	56%		53%		35%		55%		71%	
US Dept of Justice										
New Awarded	0	\$0	1	\$700,443	0	\$0	3	\$684,380	0	\$0
New Not Awarded	0	\$0	3	\$2,068,543	1	\$498,785	0	\$0	0	\$0
Total New	0	\$0	4	\$2,768,986	1	\$498,785	3	\$684,380	0	\$0
% of New Prop Awarded	0%		25%		0%		100%		0%	
US Dept of Labor										
New Awarded	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
New Not Awarded	1	\$1,824,004	0	\$0	0	\$0	0	\$0	0	\$0
Total New	1	\$1,824,004	0	\$0	0	\$0	0	\$0	0	\$0
% of New Prop Awarded	0%		0%		0%		0%		0%	
US Dept of State										
New Awarded	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
New Not Awarded	2	\$445,364	0	\$0	2	\$4,258,094	0	\$0	0	\$0
Total New	2	\$445,364	0	\$0	2	\$4,258,094	0	\$0	0	\$0
% of New Prop Awarded	0%		0%		0%		0%		0%	

## PROPOSALS

**Table 1 (cont'd)**

**5 Year New Proposal Success Rate Trend FY 2010-2014**

Fiscal Year Sponsor or Agency	2010		2011		2012		2013		2014	
	#	Project Dollars	#	Project Dollars	#	Project Dollars	#	Project Dollars	#	Project Dollars
US Dept of Transportation										
New Awarded	0	\$0	1	\$127,284	0	\$0	2	\$304,782	0	\$0
New Not Awarded	0	\$0	3	\$447,384	2	\$3,535,029	3	\$1,846,067	3	\$774,242
Total New	0	\$0	4	\$574,668	2	\$3,535,029	5	\$2,150,849	3	\$774,242
% of New Prop Awarded	0%		25%		0%		40%		0%	
US Dept of the Treasury										
New Awarded	0	\$0	0	\$0	1	\$91,030	0	\$0	0	\$0
New Not Awarded	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
Total New	0	\$0	0	\$0	1	\$91,030	0	\$0	0	\$0
% of New Prop Awarded	0%		0%		100%		0%		0%	
Independent Establishments & Govt Corps										
New Awarded	12	\$5,276,332	15	\$14,336,734	12	\$6,302,587	11	\$4,466,589	8	\$8,602,931
New Not Awarded	27	\$9,508,721	21	\$6,235,978	24	\$11,768,824	25	\$10,862,228	31	\$11,689,203
Total New	39	\$14,785,053	36	\$20,572,712	36	\$18,071,411	36	\$15,328,817	39	\$20,292,134
% of New Prop Awarded	31%		42%		33%		31%		21%	
National Science Foundation										
New Awarded	98	\$55,065,666	77	\$28,500,887	92	\$40,656,825	70	\$29,784,990	78	\$33,309,143
New Not Awarded	240	\$166,947,803	221	\$125,989,333	240	\$139,434,494	254	\$119,890,564	276	\$189,326,017
Total New	338	\$222,013,469	298	\$154,490,220	332	\$180,091,319	324	\$149,675,554	354	\$222,635,160
% of New Prop Awarded	29%		26%		28%		22%		22%	

## PROPOSALS

**Table 1 (cont'd)**

**5 Year New Proposal Success Rate Trend FY 2010-2014**

Fiscal Year Sponsor or Agency	2010 # Project Dollars		2011 # Project Dollars		2012 # Project Dollars		2013 # Project Dollars		2014 # Project Dollars	
Other/Quasi Government Agencies										
New Awarded	4	\$120,500	3	\$214,160	3	\$241,449	3	\$260,007	1	\$787,794
New Not Awarded	0	\$0	0	\$0	0	\$0	0	\$0	3	\$2,089,116
Total New	4	\$120,500	3	\$214,160	3	\$241,449	3	\$260,007	4	\$2,876,910
% of New Prop Awarded	100%		100%		100%		100%		25%	
Total Federal New Proposals										
New Awarded	205	\$124,207,301	182	\$118,271,201	191	\$110,891,868	154	\$81,115,880	179	\$91,893,970
New Not Awarded	504	\$481,190,894	447	\$346,610,831	453	\$394,324,479	493	\$306,765,445	551	\$423,719,738
Total New	709	\$605,398,195	629	\$464,882,032	644	\$505,216,347	647	\$387,881,325	730	\$515,613,708
% of New Prop Awarded	29%		29%		30%		24%		25%	
Commonwealth of Massachusetts										
New Awarded	55	\$7,822,801	55	\$10,957,106	45	\$10,573,796	47	\$20,222,684	10	\$48,345,833
New Not Awarded	16	\$2,971,413	11	\$1,512,538	8	\$719,239	17	\$2,917,886	9	\$4,203,701
Total New	71	\$10,794,214	66	\$12,469,644	53	\$11,293,035	64	\$23,140,570	19	\$52,549,534
% of New Prop Awarded	77%		83%		85%		73%		53%	
Other States and Local Governments										
New Awarded	9	\$880,448	9	\$1,061,451	9	\$421,699	12	\$682,952	12	\$646,317
New Not Awarded	4	\$512,445	5	\$3,718,409	4	\$183,357	3	\$349,982	3	\$719,226
Total New	13	\$1,392,893	14	\$4,779,860	13	\$605,056	15	\$1,032,934	15	\$1,365,543
% of New Prop Awarded	69%		64%		69%		80%		80%	

## PROPOSALS

**Table 1 (cont'd)**  
**5 Year New Proposal Success Rate Trend FY 2010-2014**

Fiscal Year Sponsor or Agency	2010 # Project Dollars		2011 # Project Dollars		2012 # Project Dollars		2013 # Project Dollars		2014 # Project Dollars	
Private Sector										
Non-Profit										
Foundations										
New Awarded	53	\$3,315,217	51	\$3,463,256	51	\$1,740,543	59	\$4,863,097	59	\$4,399,492
New Not Awarded	88	\$13,911,323	87	\$18,105,085	67	\$18,130,204	75	\$16,779,361	89	\$16,757,062
Total New	141	\$17,226,540	138	\$21,568,341	118	\$19,870,747	134	\$21,642,458	148	\$21,156,554
% of New Prop Awarded	38%		37%		43%		44%		40%	
Health Agencies										
New Awarded	1	\$67,833	4	\$61,875	6	\$327,181	9	\$141,335	6	\$350,820
New Not Awarded	6	\$1,869,664	1	\$293,776	16	\$4,831,440	19	\$2,884,553	18	\$2,218,433
Total New	7	\$1,937,497	5	\$355,651	22	\$5,158,621	28	\$3,025,888	24	\$2,569,253
% of New Prop Awarded	14%		80%		27%		32%		25%	
Institutes and Associations										
New Awarded	50	\$3,465,040	55	\$5,991,101	50	\$2,875,043	54	\$4,307,182	54	\$27,949,077
New Not Awarded	37	\$6,685,196	45	\$9,449,592	61	\$12,362,648	65	\$13,614,867	136	\$15,173,913
Total New	87	\$10,150,236	100	\$15,440,693	111	\$15,237,691	119	\$17,922,049	190	\$43,122,990
% of New Prop Awarded	57%		55%		45%		45%		28%	

## PROPOSALS

**Table 1 (cont'd)**  
**5 Year New Proposal Success Rate Trend FY 2010-2014**

Fiscal Year Sponsor or Agency	2010 # Project Dollars		2011 # Project Dollars		2012 # Project Dollars		2013 # Project Dollars		2014 # Project Dollars	
Other Colleges and Universities										
New Awarded	63	\$7,789,496	62	\$9,397,336	66	\$11,074,932	72	\$8,555,999	68	\$8,525,243
New Not Awarded	71	\$60,796,649	97	\$28,015,875	99	\$34,542,317	97	\$25,121,167	105	\$35,144,094
Total New	134	\$68,586,145	159	\$37,413,211	165	\$45,617,249	169	\$33,677,166	173	\$43,669,337
% of New Prop Awarded	47%		39%		40%		43%		39%	
Other Sponsors										
New Awarded	15	\$1,868,074	13	\$800,127	11	\$1,149,117	14	\$733,945	9	\$580,528
New Not Awarded	7	\$728,273	15	\$8,292,930	9	\$6,909,106	6	\$1,889,239	4	\$617,834
Total New	22	\$2,596,347	28	\$9,093,057	20	\$8,058,223	20	\$2,623,184	13	\$1,198,362
% of New Prop Awarded	68%		46%		55%		70%		69%	
Total Non-Profit										
New Awarded	182	\$16,505,660	185	\$19,713,695	184	17,166,815	208	18,601,558	196	41,805,160
New Not Awarded	209	\$83,991,105	245	\$64,157,258	252	\$76,775,715	262	\$60,289,187	352	\$69,911,336
Total New	391	\$100,496,765	430	\$83,870,953	436	\$93,942,530	470	\$78,890,745	548	\$111,716,496
% of New Prop Awarded	47%		43%		42%		44%		36%	
Industry										
New Awarded	82	\$11,311,857	95	\$23,307,609	91	\$8,155,443	85	\$6,977,450	94	\$9,226,662
New Not Awarded	43	\$8,923,070	30	\$4,625,469	31	\$10,005,450	45	\$6,496,840	43	\$6,864,896
Total New	125	\$20,234,927	125	\$27,933,078	122	\$18,160,893	130	\$13,474,290	137	\$16,091,558
% of New Prop Awarded	66%		76%		75%		65%		69%	

## PROPOSALS

**Table 2**

**5 Year New Proposal Trend by Major Budgetary Unit (MBU)  
w/Full Project Dollars**

MBU	FY 2011		FY 2012		FY 2013		FY 2014		FY 2015	
	#	Dollars	#	Dollars	#	Dollars	#	Dollars	#	Dollars
Academic Administration	2	\$649,252	1	\$4,451,624	1	\$2,840,457	0	\$0	0	\$0
Academic Affairs	0	\$0	0	\$0	0	\$0	1	\$978,460	2	\$526,735
Academic Support	14	\$179,390	22	\$619,182	16	\$325,967	0	\$0	0	\$0
Academic Support Services	0	\$0	0	\$0	0	\$0	11	\$75,440	15	\$3,217,743
Admin Support Units	0	\$0	0	\$0	0	\$0	1	\$5,000	1	\$3,858
Administration and Finance	0	\$0	0	\$0	0	\$0	1	\$106,500	1	\$212,475
Campus Services	1	\$127,284	1	\$127,284	0	\$0	0	\$0	0	\$0
Center for Student Development	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
College of Engineering	236	\$139,778,349	269	\$139,427,981	281	\$109,859,830	245	\$121,402,870	269	\$120,697,917
College of Humanities & Fine Arts	26	\$4,508,918	33	\$5,607,295	34	\$4,200,267	32	\$3,728,587	38	\$3,079,075
College of Natural Sciences	622	\$297,944,219	747	\$376,017,384	724	\$287,604,361	754	\$371,494,748	746	\$337,854,322
College of Social & Behavioral Sciences	80	\$19,154,353	78	\$10,123,677	64	\$6,790,239	75	\$8,818,022	56	\$7,399,074
Commonwealth College	2	\$234,759	2	\$90,198	0	\$0	0	\$0	0	\$0
Dean of Students	7	\$709,354	7	\$648,278	7	\$1,103,480	7	\$507,245	2	\$117,853
Deputy Chancellor	0	\$0	1	\$867,040	0	\$0	0	\$0	0	\$0
Economic Development	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
Environmental Health & Safety	1	\$143,399	1	\$233,332	2	\$179,250	0	\$0	0	\$0
Facilities Planning	0	\$0	1	\$1,944,000	0	\$0	1	\$149,435	3	\$1,257,884
Graduate School	5	\$7,000,817	3	\$3,122,528	3	\$1,453,722	1	\$92,480	4	\$4,805,748
Health Services	3	\$491,799	1	\$467,193	0	\$0	0	\$0	0	\$0
Housing Services	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
Human Resources	1	\$109,474	2	\$73,970	9	\$1,333,755	5	\$3,338,536	7	\$1,201,309
Institute Applied Life Science	0	\$0	0	\$0	0	\$0	1	\$19,982,000	0	\$0
Office of Information Technologies	3	\$831,436	2	\$424,923	2	\$761,288	1	\$84,000	3	\$227,630
Physical Plant	0	\$0	0	\$0	1	\$387,000	0	\$0	0	\$0
Polymer Science	17	\$1,177,515	0	\$0	0	\$0	0	\$0	0	\$0
Rsrch Liaison & Tech Transfer	0	\$0	0	\$0	2	\$16,600	0	\$0	0	\$0
Research Services	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
School of Education	37	\$27,665,966	33	\$20,025,954	35	\$11,160,926	33	\$45,997,954	29	\$20,772,117
School of Management	18	\$6,165,963	29	\$7,710,461	19	\$7,671,428	11	\$4,072,825	11	\$4,422,741
School of Nursing	16	\$2,324,192	13	\$2,708,106	10	\$6,887,471	21	\$10,108,418	14	\$10,276,860
Sch of Public Health & Health Sciences	88	\$53,488,002	108	\$64,878,235	142	\$72,469,049	132	\$71,781,834	110	\$65,408,050
Student Affairs and Campus Life	0	\$0	0	\$0	0	\$0	3	\$498,017	2	\$67,019
Undergraduate Affairs	1	\$3,043,876	0	\$0	1	\$24,996	2	\$34,829	0	\$0
University Library	1	\$61,133	2	\$91,319	0	\$0	0	\$0	1	\$150,000
University Outreach	0	\$0	0	\$0	1	\$50,001	0	\$0	0	\$0
University Relations	3	\$351,762	4	\$323,834	1	\$324,716	2	\$448,786	1	\$445,000
VC Student Affairs	3	\$689,950	2	\$969,703	2	\$1,499,897	0	\$0	0	\$0
VCRE/Vice Chancellor for Research	46	16,060,077	50	44,975,520	43	16,721,089	26	20,289,158	15	10,845,393
<b>Total</b>	<b>1,233</b>	<b>\$582,891,239</b>	<b>1,412</b>	<b>\$685,929,021</b>	<b>1,400</b>	<b>\$533,665,789</b>	<b>1,366</b>	<b>\$683,995,144</b>	<b>1,330</b>	<b>\$592,988,803</b>

## Proposals

**Table 3**  
**New Proposals Submitted by Organizational Unit FY 2015**

<u>Organizational Unit</u>	<u>Props</u>	<u>Direct</u>	<u>Indirect</u>	<u>Total</u>	<u>Proj Est</u>
<b>Academic Affairs</b>					
Provost's Office	2	271,741	34,415	306,156	526,735
<b>MBU Total FY 2015</b>	<b>2</b>	<b>\$271,741</b>	<b>\$34,415</b>	<b>\$306,156</b>	<b>\$526,735</b>
MBU Total FY 2014	1	\$488,925	\$305	\$489,230	\$978,460
<b>% Change FY 2014 to FY 2015</b>	<b>100%</b>	<b>-44%</b>	<b>11184%</b>	<b>-37%</b>	<b>-46%</b>
<b>Academic Support Services</b>					
Fine Arts Center	12	103,053	0	103,053	103,053
Office of Faculty Development	2	578,571	32,820	611,391	2,417,228
Teaching Development Center	1	104,068	57,459	161,527	697,462
<b>MBU Total FY 2015</b>	<b>15</b>	<b>\$785,692</b>	<b>\$90,279</b>	<b>\$875,971</b>	<b>\$3,217,743</b>
MBU Total FY 2014	11	\$75,440	\$0	\$75,440	\$75,440
<b>% Change FY 2014 to FY 2015</b>	<b>36%</b>	<b>941%</b>	<b>100%</b>	<b>1061%</b>	<b>4165%</b>
<b>Admin Support Units</b>					
CESL	1	3,858	0	3,858	3,858
<b>MBU Total FY 2015</b>	<b>1</b>	<b>\$3,858</b>	<b>\$0</b>	<b>\$3,858</b>	<b>\$3,858</b>
MBU Total FY 2014	1	\$5,000	\$0	\$5,000	\$5,000
<b>% Change FY 2014 to FY 2015</b>	<b>0%</b>	<b>-23%</b>	<b>0%</b>	<b>-23%</b>	<b>-23%</b>
<b>Administration and Finance</b>					
Environmental Health & Safety	1	212,475	0	212,475	212,475
<b>MBU Total FY 2015</b>	<b>1</b>	<b>\$212,475</b>	<b>\$0</b>	<b>\$212,475</b>	<b>\$212,475</b>
MBU Total FY 2014	1	\$84,524	\$21,976	\$106,500	\$106,500
<b>% Change FY 2014 to FY 2015</b>	<b>0%</b>	<b>151%</b>	<b>-100%</b>	<b>100%</b>	<b>100%</b>
<b>College of Engineering</b>					
Chemical Engineering	47	4,854,732	1,460,701	6,315,433	22,762,493
Civil & Environmental Engineering	42	6,424,338	2,000,345	8,424,683	13,878,160
Dean-School of Engineering	4	280,260	47,443	327,703	1,040,052
Electrical and Computer Engineering	90	10,729,177	4,241,102	14,970,279	48,717,337
Engineering Research Center	1	20,117	13,881	33,998	33,998
Mechanical and Industrial Engineering	59	5,727,702	2,532,435	8,260,137	26,072,946
UMASS Transportation Center	26	4,281,401	1,041,667	5,323,068	8,192,931
<b>MBU Total FY 2015</b>	<b>269</b>	<b>\$32,317,727</b>	<b>\$11,337,574</b>	<b>\$43,655,301</b>	<b>\$120,697,917</b>
MBU Total FY 2014	245	\$28,975,609	\$10,161,512	\$39,137,121	\$121,402,870
<b>% Change FY 2014 to FY 2015</b>	<b>10%</b>	<b>12%</b>	<b>12%</b>	<b>12%</b>	<b>-1%</b>

## Proposals

**Table 3 (cont'd)**

**New Proposals Submitted by Organizational Unit FY 2015**

<u>Organizational Unit</u>	<u>Props</u>	<u>Direct</u>	<u>Indirect</u>	<u>Total</u>	<u>Proj Est</u>
<b>College of Humanities &amp; Fine Arts</b>					
Architecture	1	5,805	0	5,805	5,805
Art	3	429,672	199,311	628,983	791,921
Art History	1	50,000	0	50,000	50,000
Dean-College of Humanities and Fine Art	3	25,940	0	25,940	25,940
English	10	159,163	33,723	192,886	255,065
History	2	124,390	29,864	154,254	154,254
Language, Literature & Culture	5	132,917	18,889	151,806	151,806
Linguistics	5	359,262	115,042	474,304	1,218,636
Music & Dance	4	135,397	48,905	184,302	353,028
Philosophy	2	27,400	0	27,400	27,400
Theatre	1	3,720	0	3,720	3,720
Women's Studies	1	41,500	0	41,500	41,500
<b>MBU Total FY 2015</b>	<b>38</b>	<b>\$1,495,166</b>	<b>\$445,734</b>	<b>\$1,940,900</b>	<b>\$3,079,075</b>
MBU Total FY 2014	32	\$1,276,826	\$352,462	\$1,629,288	\$3,728,587
<b>% Change FY 2014 to FY 2015</b>	<b>19%</b>	<b>17%</b>	<b>26%</b>	<b>19%</b>	<b>-17%</b>
<b>College of Natural Sciences</b>					
Agricultural Experiment Station	5	3,216,805	0	3,216,805	3,216,805
Astronomy	33	2,877,319	1,217,892	4,095,211	11,745,664
Biochemistry and Molecular Biology	27	9,357,494	1,249,473	10,606,967	27,373,551
Biology	48	4,069,501	1,762,459	5,831,960	17,600,611
Center for Agriculture	1	39,683	10,317	50,000	50,000
Chemistry	53	12,453,863	3,991,916	16,445,779	43,213,045
Computer Science	102	13,334,620	5,772,595	19,107,215	64,107,449
Cranberry Station - Extension	12	284,959	58,406	343,365	810,167
CUMIRP/PSE	20	1,540,009	694,512	2,234,521	2,539,736
Environmental Conservation	68	4,869,946	1,364,307	6,234,253	16,544,975
Food Science	56	5,216,597	1,623,365	6,839,962	20,890,403
Geosciences	48	3,004,805	1,286,764	4,291,569	10,638,985
Mathematics and Statistics	40	2,869,657	1,233,682	4,103,339	12,956,780
Microbiology	21	2,553,300	631,385	3,184,685	7,601,588
Physics	27	2,313,946	1,012,262	3,326,208	10,323,111
Polymer Science and Engineering	42	6,207,334	2,123,902	8,331,236	25,678,821
Psychology	45	4,697,870	1,826,110	6,523,980	24,671,099
Scientific Reasoning Research Institute	1	2,942	0	2,942	2,942
Stockbridge School	35	1,146,078	351,152	1,497,230	3,895,015
UMASS Extension	19	4,388,545	125,143	4,513,688	5,908,761
Veterinary and Animal Sciences	38	5,589,123	2,150,904	7,740,027	26,901,146
Water Resources and Research Ctr	5	521,878	95,153	617,031	1,183,669
<b>MBU Total FY 2015</b>	<b>746</b>	<b>\$90,556,274</b>	<b>\$28,581,699</b>	<b>\$119,137,973</b>	<b>\$337,854,323</b>
MBU Total FY 2014	754	\$89,152,858	\$30,028,212	\$119,181,070	\$371,494,748
<b>% Change FY 2014 to FY 2015</b>	<b>-1%</b>	<b>2%</b>	<b>-5%</b>	<b>0%</b>	<b>-9%</b>

## Proposals

**Table 3 (cont'd)**

**New Proposals Submitted by Organizational Unit FY 2015**

<u>Organizational Unit</u>	<u>Props</u>	<u>Direct</u>	<u>Indirect</u>	<u>Total</u>	<u>Proj Est</u>
<b>College of Social &amp; Behavioral Sciences</b>					
Anthropology	7	270,794	66,895	337,689	446,871
Anthropology - Archaeological Services	16	532,563	225,154	757,717	757,717
Communication	1	73,273	40,772	114,045	234,320
Economics	4	194,687	31,123	225,810	283,740
Landscape Arch & Regional Planning	2	74,048	10,952	85,000	85,000
Political Science	6	396,223	124,167	520,390	1,235,292
Public Policy	4	92,949	8,882	101,831	196,195
Resource Economics	4	241,473	91,882	333,355	1,137,020
Sociology	12	788,781	262,392	1,051,174	3,022,919
<b>MBU Total FY 2015</b>	<b>56</b>	<b>\$2,664,792</b>	<b>\$862,219</b>	<b>\$3,527,011</b>	<b>\$7,399,074</b>
MBU Total FY 2014	75	\$3,423,605	\$1,016,806	\$4,440,411	\$8,818,022
% Change FY 2014 to FY 2015	-25%	-22%	-15%	-21%	-16%
<b>Dean of Students</b>					
Disability Services	2	97,018	8,058	105,076	117,853
<b>MBU Total FY 2015</b>	<b>2</b>	<b>\$97,018</b>	<b>\$8,058</b>	<b>\$105,076</b>	<b>\$117,853</b>
MBU Total FY 2014	7	\$254,008	\$2,569	\$256,577	\$507,245
% Change FY 2014 to FY 2015	-71%	-62%	214%	-59%	-77%
<b>Facilities &amp; Campus Services</b>					
Design Construction Mgmnt	1	980,000	0	980,000	980,000
Executive Office	2	9,884	0	9,884	277,884
<b>MBU Total FY 2015</b>	<b>3</b>	<b>\$989,884</b>	<b>\$0</b>	<b>\$989,884</b>	<b>\$1,257,884</b>
MBU Total FY 2014	1	\$149,435	\$0	\$149,435	\$149,435
% Change FY 2014 to FY 2015	200%	562%	0%	562%	742%
<b>Graduate School</b>					
Graduate Dean's Office	3	1,133,748	0	1,133,748	4,765,748
NEAGEP/STEM	1	35,971	4,029	40,000	40,000
<b>MBU Total FY 2015</b>	<b>4</b>	<b>\$1,169,719</b>	<b>\$4,029</b>	<b>\$1,173,748</b>	<b>\$4,805,748</b>
MBU Total FY 2014	1	\$92,480	\$0	\$92,480	\$92,480
% Change FY 2014 to FY 2015	300%	1165%	100%	1169%	5097%
<b>Human Resources</b>					
Human Resources	7	650,674	123,810	774,484	1,201,309
<b>MBU Total FY 2015</b>	<b>7</b>	<b>\$650,674</b>	<b>\$123,810</b>	<b>\$774,484</b>	<b>\$1,201,309</b>
MBU Total FY 2014	5	\$872,801	\$207,976	\$1,080,777	\$3,338,536
% Change FY 2014 to FY 2015	40%	-25%	-40%	-28%	-64%

## Proposals

**Table 3 (cont'd)**

**New Proposals Submitted by Organizational Unit FY 2015**

<u>Organizational Unit</u>	<u>Props</u>	<u>Direct</u>	<u>Indirect</u>	<u>Total</u>	<u>Proj Est</u>
<b>Information Technologies</b>					
CIO	3	131,540	18,637	150,177	227,630
<b>MBU Total FY 2015</b>	<b>3</b>	<b>\$131,540</b>	<b>\$18,637</b>	<b>\$150,177</b>	<b>\$227,630</b>
MBU Total FY 2014	1	\$18,868	\$11,132	\$30,000	\$84,000
<b>% Change FY 2014 to FY 2015</b>	<b>200%</b>	<b>597%</b>	<b>67%</b>	<b>401%</b>	<b>171%</b>
<b>Institute Applied Life Science</b>					
Institute Applied Life Science	0	0	0	0	0
<b>MBU Total FY 2015</b>	<b>0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
MBU Total FY 2014	1	\$19,982,000	\$0	\$19,982,000	\$19,982,000
<b>% Change FY 2014 to FY 2015</b>	<b>-100%</b>	<b>-100%</b>	<b>0%</b>	<b>-100%</b>	<b>-100%</b>
<b>Library</b>					
University Library - Admin Services	1	150,000	0	150,000	150,000
<b>MBU Total FY 2015</b>	<b>1</b>	<b>\$150,000</b>	<b>\$0</b>	<b>\$150,000</b>	<b>\$150,000</b>
<b>School of Education</b>					
Educational Policy Research & Admin	11	1,467,136	434,589	1,901,725	8,127,487
Student Dev & Pupil Personnel Services	8	1,432,590	455,740	1,888,330	7,547,211
Teacher Ed & Curriculum Studies	10	1,155,598	443,678	1,599,276	5,097,419
<b>MBU Total FY 2015</b>	<b>29</b>	<b>\$4,055,324</b>	<b>\$1,334,007</b>	<b>\$5,389,331</b>	<b>\$20,772,117</b>
MBU Total FY 2014	33	\$8,472,743	\$2,017,801	\$10,490,544	\$45,997,955
<b>% Change FY 2014 to FY 2015</b>	<b>-12%</b>	<b>-52%</b>	<b>-34%</b>	<b>-49%</b>	<b>-55%</b>
<b>School of Management</b>					
Hospitality & Tourism Mgmt	1	59,053	13,590	72,643	82,738
MA Small Business Dev Ctr	5	3,652,631	12,754	3,665,385	3,665,385
Management	2	74,423	0	74,423	74,423
Operations Information Management	3	138,821	69,880	208,701	600,195
<b>MBU Total FY 2015</b>	<b>11</b>	<b>\$3,924,928</b>	<b>\$96,224</b>	<b>\$4,021,152</b>	<b>\$4,422,741</b>
MBU Total FY 2014	11	\$3,677,057	\$93,817	\$3,770,874	\$4,072,825
<b>% Change FY 2014 to FY 2015</b>	<b>0%</b>	<b>7%</b>	<b>3%</b>	<b>7%</b>	<b>9%</b>

## Proposals

**Table 3 (cont'd)**

**New Proposals Submitted by Organizational Unit FY 2015**

<b><u>Organizational Unit</u></b>	<b><u>Props</u></b>	<b><u>Direct</u></b>	<b><u>Indirect</u></b>	<b><u>Total</u></b>	<b><u>Proj Est</u></b>
<b>School of Nursing</b>					
Nursing	14	2,355,753	777,452	3,133,205	10,276,860
<b>MBU Total FY 2015</b>	<b>14</b>	<b>\$2,355,753</b>	<b>\$777,452</b>	<b>\$3,133,205</b>	<b>\$10,276,860</b>
MBU Total FY 2014	21	\$3,509,956	\$484,490	\$3,994,455	\$10,108,418
<b>% Change FY 2014 to FY 2015</b>	<b>-33%</b>	<b>-33%</b>	<b>60%</b>	<b>-22%</b>	<b>2%</b>
<b>School of Public Health &amp; Health Sciences</b>					
Communications Disorders	4	655,561	279,042	934,603	4,033,975
Dean Health Sciences	1	8,928	1,071	9,999	9,999
Kinesiology	24	2,346,807	726,816	3,073,623	10,096,957
Nutrition	11	3,244,506	852,635	4,097,141	4,781,420
Public Health	70	9,871,611	3,188,424	13,060,035	46,485,699
<b>MBU Total FY 2015</b>	<b>110</b>	<b>\$16,127,413</b>	<b>\$5,047,988</b>	<b>\$21,175,401</b>	<b>\$65,408,050</b>
MBU Total FY 2014	132	\$16,901,142	\$5,699,054	\$22,600,196	\$71,781,834
<b>% Change FY 2014 to FY 2015</b>	<b>-17%</b>	<b>-5%</b>	<b>-11%</b>	<b>-6%</b>	<b>-9%</b>
<b>Student Affairs and Campus Life</b>					
Center for Women and Community	2	67,019	0	67,019	67,019
<b>MBU Total FY 2015</b>	<b>2</b>	<b>\$67,019</b>	<b>\$0</b>	<b>\$67,019</b>	<b>\$67,019</b>
MBU Total FY 2014	3	\$268,034	\$26,786	\$294,820	\$498,017
<b>% Change FY 2014 to FY 2015</b>	<b>-33%</b>	<b>-75%</b>	<b>-100%</b>	<b>-77%</b>	<b>-87%</b>
<b>Undergraduate Affairs</b>					
University Without Walls	0	0	0	0	0
<b>MBU Total FY 2015</b>	<b>0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
MBU Total FY 2014	2	\$31,596	\$3,233	\$34,829	\$34,829
<b>% Change FY 2014 to FY 2015</b>	<b>-100%</b>	<b>-100%</b>	<b>-100%</b>	<b>-100%</b>	<b>-100%</b>
<b>University Relations</b>					
WFCR	1	445,000	0	445,000	445,000
<b>MBU Total FY 2015</b>	<b>1</b>	<b>\$445,000</b>	<b>\$0</b>	<b>\$445,000</b>	<b>\$445,000</b>
MBU Total FY 2014	2	\$448,786	\$0	\$448,786	\$448,786
<b>% Change FY 2014 to FY 2015</b>	<b>-50%</b>	<b>-1%</b>	<b>0%</b>	<b>-1%</b>	<b>-1%</b>

## Proposals

**Table 3 (cont'd)**

**New Proposals Submitted by Organizational Unit FY 2015**

<b><u>Organizational Unit</u></b>	<b><u>Props</u></b>	<b><u>Direct</u></b>	<b><u>Indirect</u></b>	<b><u>Total</u></b>	<b><u>Proj Est</u></b>
<b>VC for Research &amp; Engagement</b>					
Baystate/UM PVLSI	3	891,827	426,252	1,318,079	5,561,474
Mass Nanotech	4	770,045	234,108	1,004,153	5,074,756
Research Liason Development	1	37,119	18,741	55,860	182,663
UMass Innovation Institute	1	0	0	0	0
University Press	6	26,500	0	26,500	26,500
<b>MBU Total FY 2015</b>	<b>15</b>	<b>\$1,725,491</b>	<b>\$679,101</b>	<b>\$2,404,592</b>	<b>\$10,845,393</b>
MBU Total FY 2014	26	\$3,730,966	\$882,434	\$4,613,400	\$20,289,158
<b>% Change FY 2014 to FY 2015</b>	<b>-42%</b>	<b>-54%</b>	<b>-23%</b>	<b>-48%</b>	<b>-47%</b>
<b>Cumulative Total FY 15</b>	<b>1,330</b>	<b>\$160,197,486</b>	<b>\$49,441,226</b>	<b>\$209,638,712</b>	<b>\$592,988,803</b>
Cumulative Total FY 14	1,366	\$181,892,668	\$51,010,565	\$232,903,233	\$683,995,144
Cumulative Total FY 13	1,402	\$149,175,743	\$43,923,973	\$193,362,864	\$533,665,789
<b>% Change FY 2014 to FY 2015</b>	<b>-3%</b>	<b>-12%</b>	<b>-3%</b>	<b>-10%</b>	<b>-13%</b>
<b>% Change FY 2013 to FY 2014</b>	<b>-3%</b>	<b>22%</b>	<b>16%</b>	<b>20%</b>	<b>28%</b>

## PROPOSALS

**Table 4**  
**5 Year New Proposal Trend by Sponsor Category**  
**W/Total Project Dollars**

Sponsor Category	FY 2011		FY 2012		FY 2013		FY 2014		FY 2015	
	#	Dollars	#	Dollars	#	Dollars	#	Dollars	#	Dollars
<b>Federal</b>	624	\$460,617,716	727	\$542,818,698	691	\$406,801,912	698	\$504,578,755	715	\$471,412,783
<b>Commonwealth of MA</b>	63	\$11,079,750	62	\$16,295,923	66	\$24,708,704	54	\$50,354,536	63	\$30,992,123
<b>Other States &amp; Local Gov'ts</b>	14	\$4,779,770	15	\$919,795	20	\$1,382,206	20	\$1,371,954	23	\$1,540,213
<b>Non-Profit</b>	413	\$79,414,950	470	\$100,435,652	490	\$85,981,664	458	\$111,542,172	414	\$79,305,844
<b>Industry</b>	119	\$26,999,053	138	\$25,458,952	135	\$14,791,302	136	\$16,147,727	115	\$9,737,840
<b>Total</b>	<b>1,233</b>	<b>\$582,891,239</b>	<b>1,412</b>	<b>\$685,929,020</b>	<b>1,402</b>	<b>\$533,665,789</b>	<b>1,366</b>	<b>\$683,995,144</b>	<b>1,330</b>	<b>\$592,988,803</b>

## Proposals

**Table 5**  
**New Proposals Submitted by Federal Sponsor FY 2015**

<u>Sponsor</u>	<u>Props</u>	<u>Direct</u>	<u>Indirect</u>	<u>Total</u>	<u>Project Est</u>
<b>US Dept of Agriculture</b>					
Agricultural Marketing Service (USDA - AMS)	1	43,220	0	43,220	89,197
Animal and Plant Health Inspec Serv (APHIS)	4	408,295	37,329	445,624	445,624
Forest Service (USFS)	1	15,000	0	15,000	15,000
Nat'l Institute of Food and Agriculture (NIFA)	48	11,367,911	1,466,662	12,834,573	28,119,730
US Dept of Agriculture (USDA)	2	38,333	16,716	55,049	83,816
<b>Agency Total FY 2015</b>	<b>56</b>	<b>\$11,872,759</b>	<b>\$1,520,707</b>	<b>\$13,393,466</b>	<b>\$28,753,367</b>
Agency Total FY 2014	65	\$12,333,577	\$1,544,726	\$13,878,303	\$27,598,368
<b>% Change FY 2014 to FY 2015</b>	<b>-14%</b>	<b>-4%</b>	<b>-2%</b>	<b>-3%</b>	<b>4%</b>
<b>US Dept of Commerce</b>					
National Institute of Standards and Tech	3	119,236	34,300	153,536	356,444
Nat'l Oceanic and Atmospheric Admin (NOAA)	4	409,152	184,239	593,391	1,325,465
<b>Agency Total FY 2015</b>	<b>7</b>	<b>\$528,388</b>	<b>\$218,539</b>	<b>\$746,927</b>	<b>\$1,681,909</b>
Agency Total FY 2014	7	\$731,889	\$215,805	\$947,694	\$1,229,843
<b>% Change FY 2014 to FY 2015</b>	<b>0%</b>	<b>-28%</b>	<b>1%</b>	<b>-21%</b>	<b>37%</b>
<b>US Dept of Defense</b>					
Air Force Office of Scientific Research (AFOSF)	2	153,270	80,116	233,386	694,971
Air Force Office Research Laboratory	1	250,646	137,487	388,133	1,699,992
Army Corps of Engineers	3	100,571	43,146	143,717	208,755
Army Research Office (ARO)	5	5,445,088	1,428,446	6,873,534	7,343,067
Asian Off Aerospace RD (AOARD-AFOSR)	1	65,697	24,304	90,001	260,001
Defense Advanced Research Proj Agency (DA)	11	4,245,945	1,686,714	5,932,659	23,825,658
Defense Logistics Agency	1	259,133	12,754	271,887	271,887
Defense Threat Reduction Agency	1	273,659	76,341	350,000	1,790,488
National Security Agency (NSA)	3	75,224	44,759	119,983	235,575
Naval Research Laboratory	2	88,650	47,053	135,703	314,959
Office of Naval Research (ONR)	13	1,539,406	516,270	2,055,676	7,666,250
Spawarsyscen-SD	1	83,726	31,465	115,191	2,781,810
US Dept of Defense (DOD)	9	1,887,761	696,816	2,584,577	5,794,179
<b>Agency Total FY 2015</b>	<b>53</b>	<b>\$14,468,776</b>	<b>\$4,825,671</b>	<b>\$19,294,447</b>	<b>\$52,887,592</b>
Agency Total FY 2014	40	\$9,177,471	\$2,647,506	\$11,824,977	\$36,903,641
<b>% Change FY 2014 to FY 2015</b>	<b>33%</b>	<b>58%</b>	<b>82%</b>	<b>63%</b>	<b>43%</b>
<b>US Dept of Education</b>					
Institute of Education Sciences	4	1,516,411	513,327	2,029,738	9,096,282
US Dept of Education (DOED)	4	1,400,536	137,139	1,537,675	5,546,959
<b>Agency Total FY 2015</b>	<b>8</b>	<b>\$2,916,947</b>	<b>\$650,466</b>	<b>\$3,567,413</b>	<b>\$14,643,241</b>
Agency Total FY 2014	9	\$2,713,850	\$669,883	\$3,383,733	\$14,534,616
<b>% Change FY 2014 to FY 2015</b>	<b>-11%</b>	<b>7%</b>	<b>-3%</b>	<b>5%</b>	<b>1%</b>

## Proposals

**Table 5 (cont'd)**  
**New Proposals Submitted by Federal Sponsor FY 2015**

<u>Sponsor</u>	<u>Props</u>	<u>Direct</u>	<u>Indirect</u>	<u>Total</u>	<u>Project Est</u>
<b>US Dept of Energy</b>					
US Dept of Energy (DOE)	12	2,866,899	1,390,195	4,257,094	13,672,160
<b>Agency Total FY 2015</b>	<b>12</b>	<b>\$2,866,899</b>	<b>\$1,390,195</b>	<b>\$4,257,094</b>	<b>\$13,672,160</b>
Agency Total FY 2014	18	\$6,939,598	\$3,074,298	\$10,013,896	\$38,572,335
<b>% Change FY 2014 to FY 2015</b>	<b>-33%</b>	<b>-59%</b>	<b>-55%</b>	<b>-57%</b>	<b>-65%</b>
<b>US Dept of Health and Human Services</b>					
Agency for Healthcare Research & Quality	1	271,237	100,704	371,941	689,661
Centers for Medicare & Medicaid Services	1	102,327	4,532	106,859	199,911
National Institutes of Health (NIH)	130	28,577,767	10,958,036	39,535,803	160,751,762
US Dept of Health and Human Services (DHH)	2	444,913	253,342	698,255	3,045,165
<b>Agency Total FY 2015</b>	<b>134</b>	<b>\$29,396,244</b>	<b>\$11,316,614</b>	<b>\$40,712,858</b>	<b>\$164,686,499</b>
Agency Total FY 2014	137	\$27,672,375	\$11,040,710	\$38,713,085	\$135,484,821
<b>% Change FY 2014 to FY 2015</b>	<b>-2%</b>	<b>6%</b>	<b>2%</b>	<b>5%</b>	<b>22%</b>
<b>US Dept of Homeland Security</b>					
US Dept of Homeland Security	2	593,003	284,831	877,834	2,138,085
<b>Agency Total FY 2015</b>	<b>2</b>	<b>\$593,003</b>	<b>\$284,831</b>	<b>\$877,834</b>	<b>\$2,138,085</b>
<b>US Dept of Interior</b>					
Geological Survey (USGS)	19	988,811	276,518	1,265,329	2,269,286
National Park Service	2	141,721	23,206	164,927	164,927
National Park Service-No. Atlantic Reg.	2	84,175	13,900	98,075	149,999
US Fish and Wildlife Service (FWS)	5	423,962	122,035	545,997	748,003
<b>Agency Total FY 2015</b>	<b>28</b>	<b>\$1,638,669</b>	<b>\$435,659</b>	<b>\$2,074,328</b>	<b>\$3,332,215</b>
Agency Total FY 2014	21	\$1,722,584	\$367,927	\$2,090,511	\$3,248,920
<b>% Change FY 2014 to FY 2015</b>	<b>33%</b>	<b>-5%</b>	<b>18%</b>	<b>-1%</b>	<b>3%</b>
<b>US Dept of Justice</b>					
Federal Bureau of Investigation (FBI)	1	314,120	185,880	500,000	500,000
National Inst of Justice (NIJ)	1	548,052	114,469	662,521	3,575,438
<b>Agency Total FY 2015</b>	<b>2</b>	<b>\$862,172</b>	<b>\$300,349</b>	<b>\$1,162,521</b>	<b>\$4,075,438</b>
Agency Total FY 2014	1	\$254,770	\$145,228	\$399,998	\$399,998
<b>% Change FY 2014 to FY 2015</b>	<b>100%</b>	<b>238%</b>	<b>107%</b>	<b>191%</b>	<b>919%</b>

## Proposals

**Table 5 (cont'd)**  
**New Proposals Submitted by Federal Sponsor FY 2015**

<u>Sponsor</u>	<u>Props</u>	<u>Direct</u>	<u>Indirect</u>	<u>Total</u>	<u>Project Est</u>
<b>US Dept of Transportation</b>					
Federal Aviation Administration (FAA)	1	93,180	51,605	144,785	450,000
Federal Highway Administration (FHA)	1	107,275	42,382	149,657	149,657
Federal Motor Carrier Safety Admin	1	154,643	92,013	246,656	394,524
Federal Railroad Administration	1	34,979	17,513	52,492	52,492
Federal Transit Administration	1	66,014	33,194	99,208	99,208
<b>Agency Total FY 2015</b>	<b>5</b>	<b>\$456,091</b>	<b>\$236,707</b>	<b>\$692,798</b>	<b>\$1,145,881</b>
Agency Total FY 2014	3	\$285,005	\$153,202	\$438,207	\$774,242
<b>% Change FY 2014 to FY 2015</b>	<b>67%</b>	<b>60%</b>	<b>55%</b>	<b>58%</b>	<b>48%</b>
<b>Independent Establishments &amp; Govt Corps</b>					
Environmental Protection Agency (EPA)	2	401,646	145,685	547,331	1,375,824
National Aero and Space Administration (NASA)	23	1,494,449	612,407	2,106,856	5,815,869
National Endowment for the Arts (NEA)	3	76,046	23,954	100,000	100,000
National Endowment for the Humanities (NEH)	7	782,037	345,614	1,127,651	1,660,588
Small Business Administration (SBA)	1	2,186,712	0	2,186,712	2,186,712
Vietnam Education Foundation	1	85,500	0	85,500	175,500
<b>Agency Total FY 2015</b>	<b>37</b>	<b>\$5,026,390</b>	<b>\$1,127,660</b>	<b>\$6,154,050</b>	<b>\$11,314,493</b>
Agency Total FY 2014	39	\$7,153,074	\$1,733,016	\$8,886,090	\$20,292,134
<b>% Change FY 2014 to FY 2015</b>	<b>-5%</b>	<b>-30%</b>	<b>-35%</b>	<b>-31%</b>	<b>-44%</b>
<b>National Science Foundation</b>					
National Science Foundation (NSF)	367	37,835,189	15,914,695	53,749,884	172,171,688
<b>Agency Total FY 2015</b>	<b>367</b>	<b>\$37,835,189</b>	<b>\$15,914,695</b>	<b>\$53,749,884</b>	<b>\$172,171,688</b>
Agency Total FY 2014	354	\$45,506,740	\$17,891,120	\$63,397,860	\$222,662,927
<b>% Change FY 2014 to FY 2015</b>	<b>4%</b>	<b>-17%</b>	<b>-11%</b>	<b>-15%</b>	<b>-23%</b>
<b>Other/Quasi Government Agencies</b>					
Boston Healthcare System (VA)	1	116,034	69,041	185,075	558,834
Department of Veterans Affairs (VA)	1	45,552	26,963	72,515	72,515
National Fish and Wildlife Foundation (NFWF)	1	131,155	9,538	140,693	237,368
National Research Council (NRC)	1	41,500	0	41,500	41,500
<b>Agency Total FY 2015</b>	<b>4</b>	<b>\$334,241</b>	<b>\$105,542</b>	<b>\$439,783</b>	<b>\$910,217</b>
Agency Total FY 2014	4	\$1,051,277	\$205,285	\$1,256,562	\$2,876,910
<b>% Change FY 2014 to FY 2015</b>	<b>0%</b>	<b>-68%</b>	<b>-49%</b>	<b>-65%</b>	<b>-68%</b>
<b>Total FY 2015 - Federal</b>	<b>715</b>	<b>\$108,795,768</b>	<b>\$38,327,635</b>	<b>\$147,123,403</b>	<b>\$471,412,784</b>
Total FY 2014 - Federal	966	\$147,632,994	\$55,552,477	\$203,185,470	\$678,852,785
Total FY 2013 - Federal	691	\$101,784,096	\$33,192,311	\$135,239,555	\$406,801,912
<b>% Change FY 2014 to FY 2015</b>	<b>-26%</b>	<b>-26%</b>	<b>-31%</b>	<b>-28%</b>	<b>-31%</b>
<b>% Change FY 2013 to FY 2014</b>	<b>40%</b>	<b>45%</b>	<b>67%</b>	<b>50%</b>	<b>67%</b>

## Proposals

**Table 5 (cont'd)**  
**New Proposals Submitted by Sponsor FY 2015**

<u>Sponsor</u>	<u>Props</u>	<u>Direct</u>	<u>Indirect</u>	<u>Total</u>	<u>Project Est</u>
<b>Commonwealth of Massachusetts</b>					
MA Clean Energy Center	2	175,864	19,136	195,000	195,000
MA Costal Zone Management	1	45,922	11,940	57,862	98,346
MA Cultural Council	4	13,300	0	13,300	13,300
MA Dept of Agricultural Resources	5	223,481	53,013	276,494	301,649
MA Dept of Business Development	2	1,204,286	0	1,204,286	1,204,286
MA Dept of Conservation and Recreation	5	80,245	12,897	93,142	93,142
MA Dept of Education	1	88,428	21,756	110,184	411,498
MA Dept of Elementary & Secondary Ed	4	699,888	154,043	853,931	2,793,294
MA Dept of Environmental Protection	4	119,913	35,924	155,837	361,498
MA Dept of Fish and Game	2	169,461	2,070	171,531	276,412
MA Dept of Higher Education	2	76,321	8,064	84,385	84,385
MA Dept of Public Health (DPH)	2	100,952	41,671	142,623	142,623
MA Dept of Revenue	1	8,553	2,224	10,777	10,777
MA Dept of State Police	1	79,364	20,635	99,999	99,999
MA Dept of Transitional Assistance	2	2,842,970	710,119	3,553,089	3,742,975
MA Dept of Transportation	6	4,920,411	1,207,229	6,127,640	8,991,352
MA Division of Energy Resources	1	0	0	0	268,000
MA Division of Fisheries and Wildlife (DFW)	1	184,500	0	184,500	184,500
MA Division of Marine Fisheries	3	308,367	41,169	349,536	349,536
MA Emergency Management Agency	1	212,475	0	212,475	212,475
MA Environmental Trust	2	61,860	17,965	79,825	79,825
MA Exec Off of Energy & Environ Affairs	1	19,218	4,996	24,214	38,548
MA Exec Off of Health & Human Services	1	50,722	30,179	80,901	80,901
MA Exec Off of Public Safety	1	71,480	18,585	90,065	90,065
MA Exec Off of Public Safety & Security	2	141,699	36,288	177,987	177,987
MA Life Sciences Center	4	6,972,412	66,611	7,039,023	10,583,906
MA Office for Victim Assistance	1	65,844	0	65,844	65,844
MA Technology Transfer Center	1	31,746	8,254	40,000	40,000
<b>Total FY 2015 - Commonwealth of MA</b>	<b>63</b>	<b>\$18,969,682</b>	<b>\$2,524,768</b>	<b>\$21,494,450</b>	<b>\$30,992,123</b>
Total FY 2014 - Commonwealth of MA	54	\$30,198,352	\$1,700,672	\$31,899,024	\$50,354,536
Total FY 2013 - Commonwealth of MA	66	\$13,155,804	\$1,856,669	\$15,012,473	\$24,708,704
<b>% Change FY 2014 to FY 2015</b>	<b>17%</b>	<b>-37%</b>	<b>48%</b>	<b>-33%</b>	<b>-38%</b>
<b>% Change FY 2013 to FY 2014</b>	<b>-18%</b>	<b>130%</b>	<b>-8%</b>	<b>112%</b>	<b>104%</b>

## Proposals

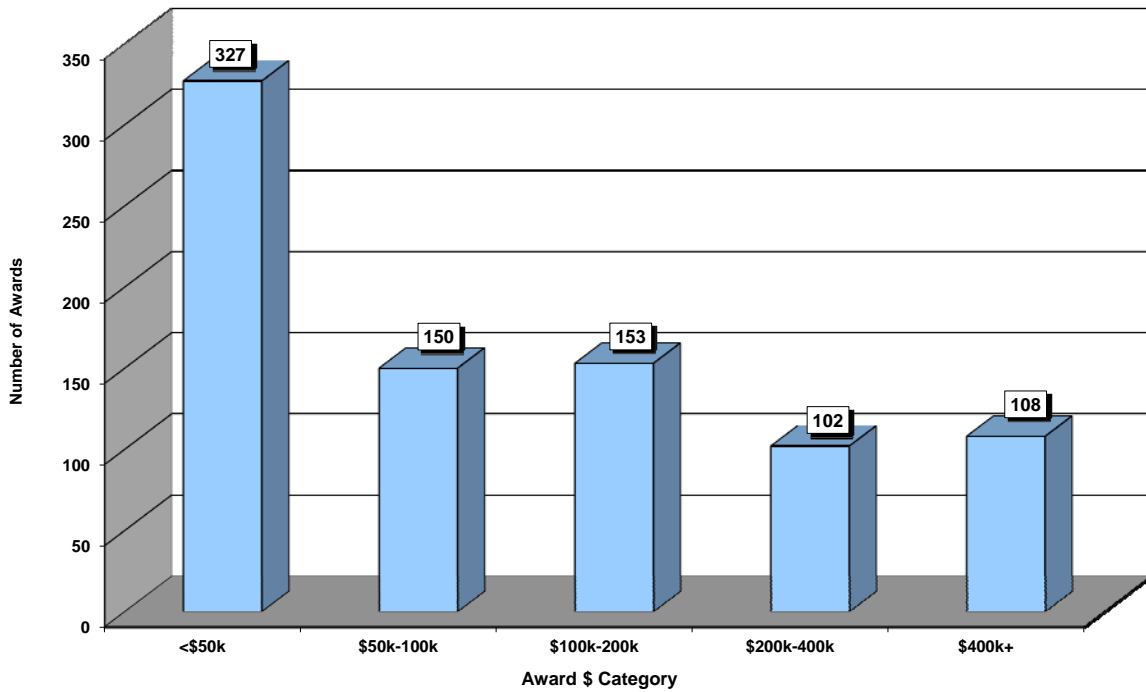
**Table 5 (cont'd)**  
**New Proposals Submitted by Sponsor FY 2015**

<u>Sponsor</u>	<u>Props</u>	<u>Direct</u>	<u>Indirect</u>	<u>Total</u>	<u>Project Est</u>
<b>Other States and Local Governments</b>					
City of El Paso, TX	1	21,940	0	21,940	21,940
City of Seattle - WA	1	40,309	23,983	64,292	127,497
City of Worcester	2	5,975	1,527	7,502	7,502
CT Agricultural Experiment Station	4	114,218	29,036	143,254	311,086
Fisheries and Oceans Canada	1	49,822	0	49,822	73,024
Holyoke City of	1	33,865	8,806	42,671	42,671
New England Transportation Consortium	4	336,170	93,028	429,198	558,894
Pioneer Valley Planning Commission	3	137,144	34,633	171,777	171,777
Pueblo Economic Development Corporation	1	31,337	18,645	49,982	49,982
Springfield City of	2	30,219	6,205	36,424	36,424
Town of Dalton	1	13,932	3,480	17,412	17,412
Town of Salem, CT	1	12,717	3,192	15,909	15,909
Upper Blackstone WPAD	1	39,132	10,174	49,306	106,095
<b>Agency Total FY 2015</b>	<b>23</b>	<b>\$866,780</b>	<b>\$232,709</b>	<b>\$1,099,489</b>	<b>\$1,540,213</b>
Agency Total FY 2014	20	\$660,668	\$193,326	\$853,994	\$1,371,954
<b>Private Sector</b>					
<b>Non - Profit</b>					
Foundations	103	7,354,228	454,745	7,808,973	15,926,425
Health Agencies	13	750,198	360,579	1,110,777	3,954,465
Institutes and Associations	119	7,122,623	985,903	8,108,526	17,363,640
Other Colleges and Universities	160	9,411,111	4,131,799	13,542,910	39,860,496
Other Sponsors	19	1,072,891	191,039	1,263,930	2,200,818
Total -- Non - Profit	414	25,711,051	6,124,065	31,835,116	79,305,844
Total -- Industry	115	5,854,205	2,232,049	8,086,254	9,737,840
<b>Total FY 2015 -- Private Sector</b>	<b>529</b>	<b>\$31,565,256</b>	<b>\$8,356,114</b>	<b>\$39,921,370</b>	<b>\$89,043,684</b>
Total FY 2014 -- Private Sector	594	35,491,438	9,427,861	165,269,299	127,689,899
<b>Cumulative Total FY 15</b>	<b>1,330</b>	<b>\$160,197,486</b>	<b>\$49,441,226</b>	<b>\$209,638,712</b>	<b>\$592,988,803</b>
Cumulative Total FY 14	1,366	\$181,892,668	\$51,010,565	\$232,903,233	\$683,995,144
Cumulative Total FY 13	1,402	\$149,175,743	\$43,923,973	\$193,362,864	\$533,665,789
<b>% Change FY 2013 to FY 2015</b>	<b>-3%</b>	<b>-12%</b>	<b>-3%</b>	<b>-10%</b>	<b>-13%</b>
<b>% Change FY 2013 to FY 2014</b>	<b>-3%</b>	<b>22%</b>	<b>16%</b>	<b>20%</b>	<b>28%</b>

# AWARDS

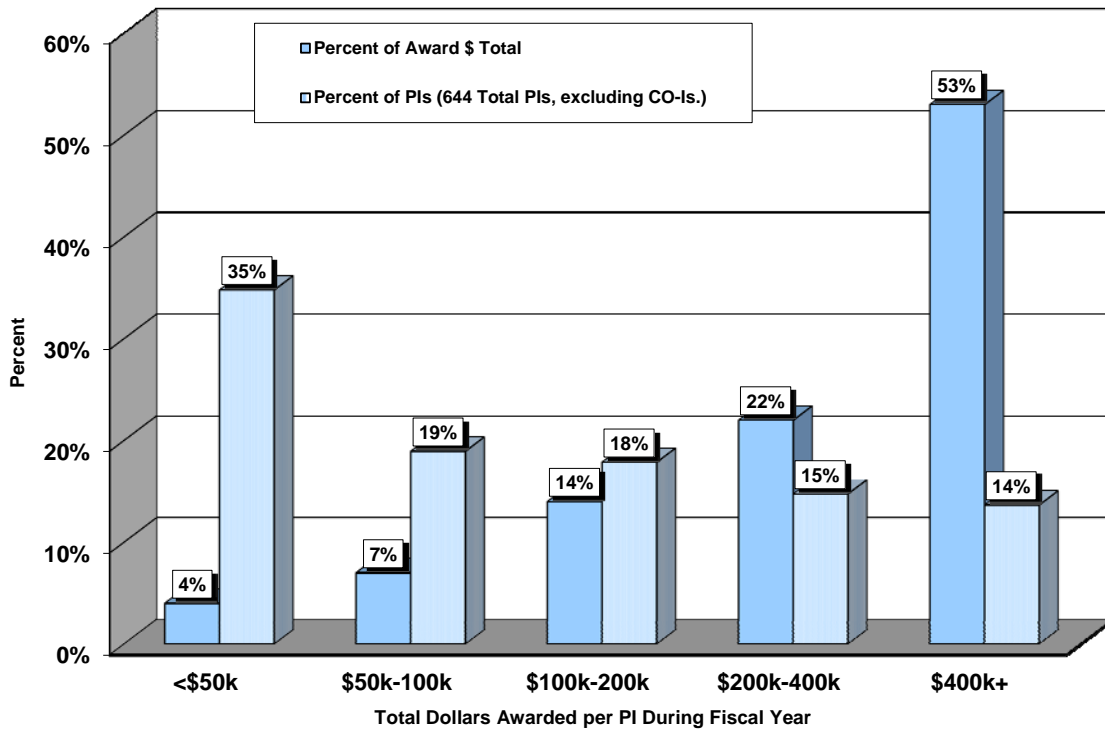
## AWARD DISTRIBUTION ANALYSIS

**Figure 3: Distribution of Awards by Dollar Category FY 2015\***



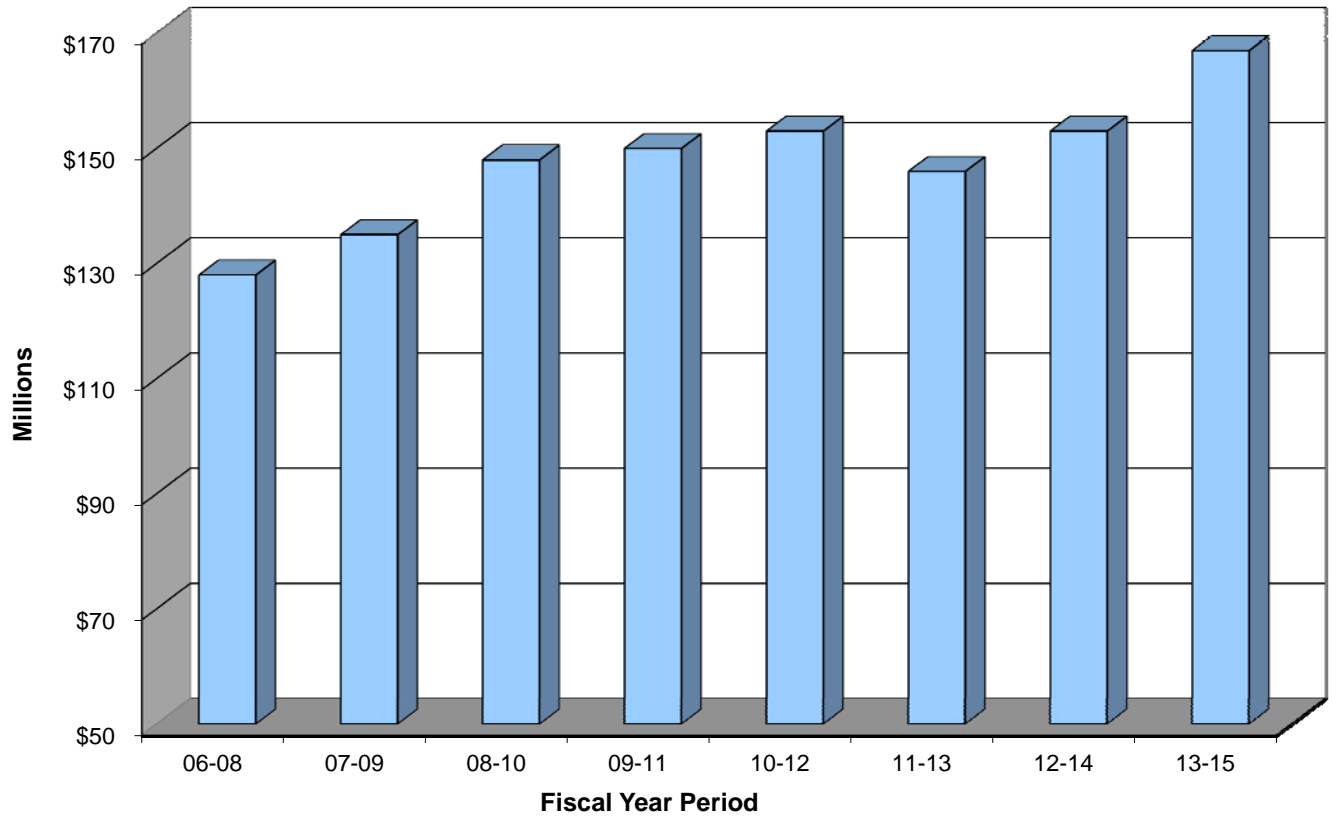
\* 2 or more awards in the same project were grouped together, therefore the total number shown is less than the total number of awards received

**Figure 4: Distribution of Award Dollars to PIs FY 2015**



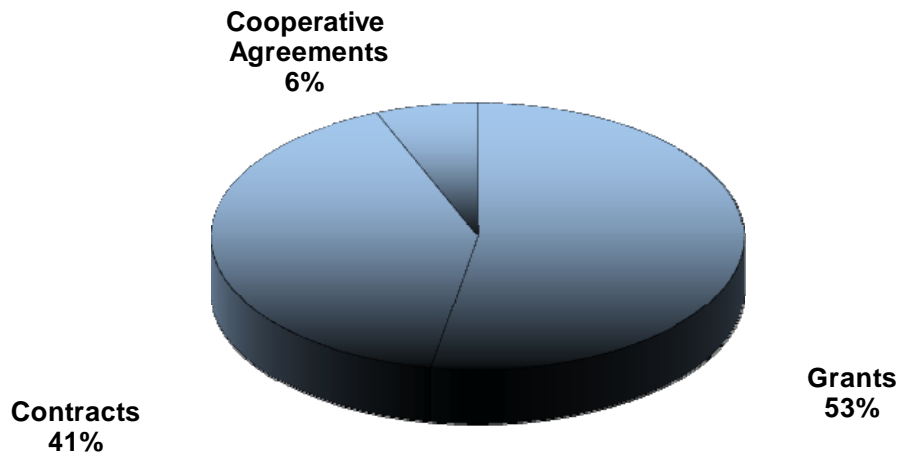
## AWARDS

Figure 5: Award Dollars - Three-Year Rolling Averages FY 2006-2015

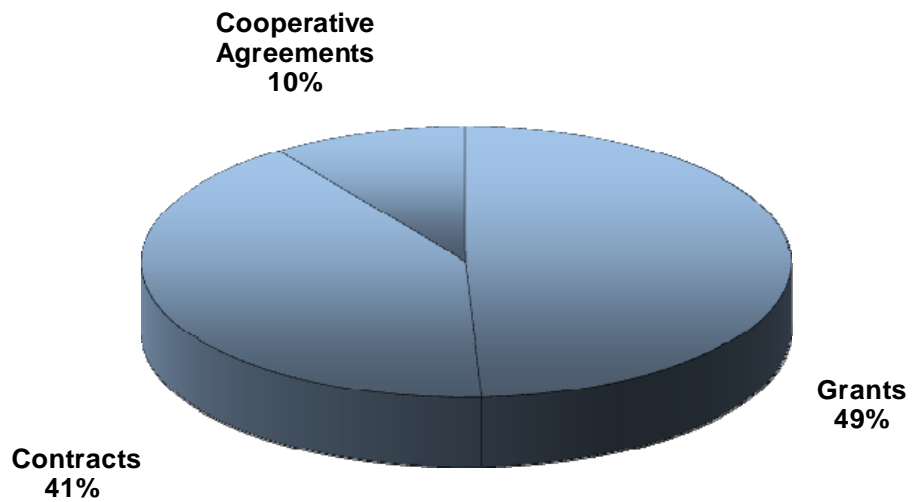


# AWARDS

**Figure 6: Distribution of Award Numbers by Award Type FY 2015**  
Total Awards - 1072



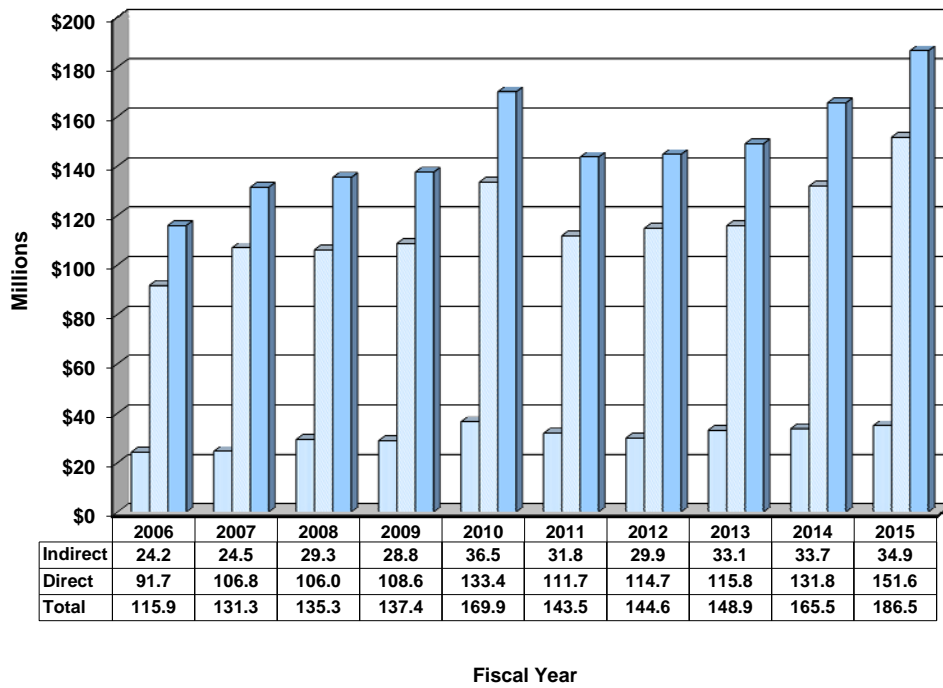
**Figure 7: Distribution of Award Dollars by Award Type Total Costs, FY 2015**  
\$186,446,405



# AWARDS

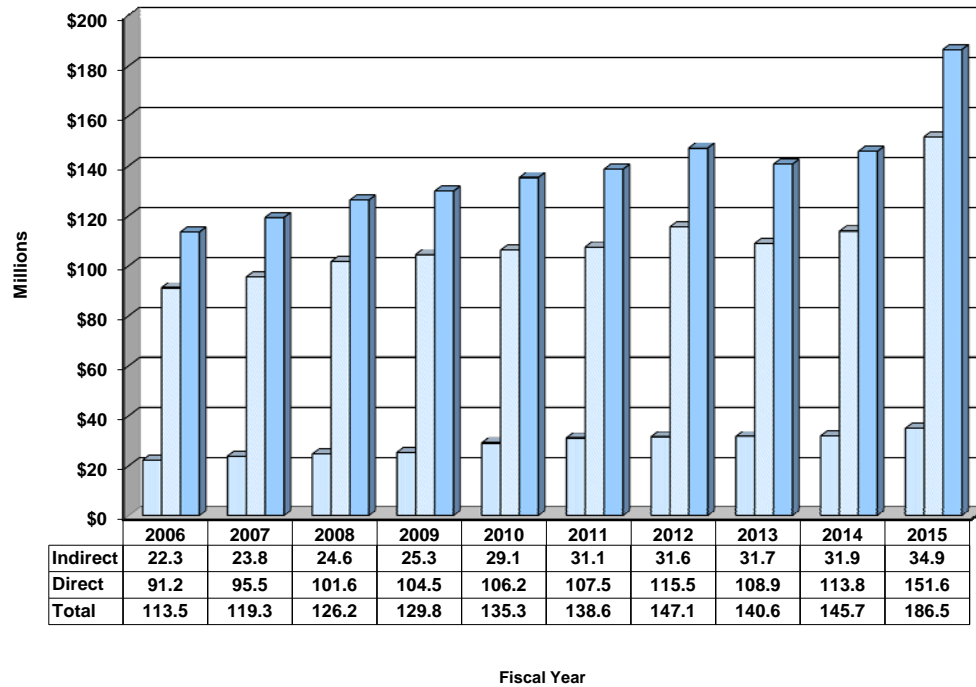
## TEN YEAR SUMMARY

**Figure 8: Indirect, Direct & Total Dollar Value of Awards FY 2006 - 2015**



**Figure 9: Indirect, Direct & Total Dollar Expenditures FY 2006 - 2015**

*Data obtained from the Budget Office*



## AWARDS

**Table 6**

**5 Year Award Trend by Major Budgetary Unit (MBU)**

MBU	FY 2011		FY 2012		FY 2013		FY 2014		FY 2015	
	#	Dollars	#	Dollars	#	Dollars	#	Dollars	#	Dollars
Academic Administration	4	\$2,989,370	0	\$0	0	\$0	0	\$0	0	\$0
Academic Affairs	0	\$0	1	\$499,329	3	\$2,046,614	1	\$986,990	3	\$996,856
Academic Support	11	\$447,630	0	\$0	0	\$0	0	\$0	0	\$0
Academic Support Services	0	\$0	14	\$167,650	12	\$206,294	13	\$69,980	10	\$87,960
Admin Support Units	0	\$0	0	\$0	0	\$0	0	\$0	2	\$8,858
Administration and Finance	0	\$0	0	\$0	0	\$0	1	\$106,500	1	\$212,475
Center for Student Development	1	\$13,000	0	\$0	0	\$0	0	\$0	0	\$0
Chancellor's Exec Area Admin	0	\$0	0	\$0	1	\$867,040	0	\$0	0	\$0
College of Engineering	170	\$22,469,630	151	\$26,505,519	192	\$24,653,848	200	\$28,596,406	208	\$35,337,138
College of Humanities & Fine Arts	17	\$340,433	18	\$298,929	25	\$988,941	27	\$727,330	28	\$1,162,611
College of Natural Sciences	615	\$75,121,260	583	\$90,910,680	579	\$73,804,088	590	\$80,391,031	608	\$86,851,209
College of Social & Behavioral Sciences	49	\$3,270,082	35	\$2,026,560	34	\$2,201,831	50	\$4,265,869	39	\$2,169,482
Commonwealth College	2	\$183,517	0	\$0	0	\$0	0	\$0	0	\$0
Dean of Students	7	\$408,903	6	\$317,255	10	\$636,180	5	\$166,291	3	\$204,267
Environmental Health & safety	1	\$143,400	0	\$0	3	\$354,250	0	\$0	0	\$0
Facilities Planning	0	\$0	2	\$2,071,284	1	\$387,000	0	\$0	4	\$1,365,218
Graduate School	5	\$1,771,040	6	\$793,530	5	\$1,239,780	3	\$897,333	7	\$1,623,000
Health Services	2	\$200,000	0	\$0	0	\$0	0	\$0	0	\$0
Human Resources	3	\$634,153	1	\$5,200	7	\$756,852	6	\$342,540	6	\$1,121,577
Information Technologies	3	\$451,231	1	\$320,000	2	\$424,904	1	\$386,417	0	\$0
Institute Applied Life Science	0	\$0	0	\$0	0	\$0	1	\$19,982,000	1	\$18,100,000
Polymer Science	35	\$2,076,072	0	\$0	0	\$0	0	\$0	0	\$0
Rsrch Liason & Tech Transfer	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
School of Education	22	\$10,668,828	24	\$4,834,426	22	\$13,257,293	22	\$7,151,077	24	\$10,788,155
School of Management	19	\$5,517,616	30	\$4,300,539	29	\$4,222,931	21	\$3,538,306	32	\$4,358,002
School of Nursing	4	\$57,094	9	\$298,250	6	\$900,487	6	\$565,646	8	\$2,094,094
School of Public Health & Health Science	72	\$10,103,823	51	\$9,306,397	67	\$16,164,526	60	\$10,352,602	64	\$17,289,018
Student Affairs	0	\$0	0	\$0	2	\$260,968	12	\$1,344,361	8	\$622,033
Undergrate Affairs	1	\$1,500	1	\$1,500	1	\$1,500	1	\$5,000	1	\$249,968
University Relations	5	\$358,182	4	\$430,069	3	\$339,108	3	\$470,324	2	\$505,000
University Library	0	\$0	1	\$42,950	1	\$48,368	0	\$0	0	\$0
University Outreach	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
VCRE/VC for Research & Engagement	35	\$6,301,284	29	\$1,499,203	38	\$5,116,759	28	\$5,139,697	13	\$1,299,484
<b>Total</b>	<b>1,083</b>	<b>\$143,528,048</b>	<b>967</b>	<b>\$144,629,270</b>	<b>1,043</b>	<b>\$148,879,562</b>	<b>1,051</b>	<b>\$165,485,700</b>	<b>1,072</b>	<b>\$186,446,405</b>

## AWARDS

**Table 7**

**Awards Accepted by Organizational Unit FY 2015**

<u>Organizational Unit</u>	<u>Awards</u>	<u>Direct</u>	<u>Indirect</u>	<u>Total</u>
<b>Academic Affairs</b>				
Provost's Office	3	908,859	87,997	996,856
<b>MBU Total FY 2015</b>	<b>3</b>	<b>\$908,859</b>	<b>\$87,997</b>	<b>\$996,856</b>
MBU Total FY 2014	1	\$978,490	\$8,500	\$986,990
<b>% Change FY 2014 to FY 2015</b>	<b>200%</b>	<b>-7%</b>	<b>935%</b>	<b>1%</b>
<b>Academic Support Services</b>				
Fine Arts Center	10	87,960	0	87,960
<b>MBU Total FY 2015</b>	<b>10</b>	<b>\$87,960</b>	<b>\$0</b>	<b>\$87,960</b>
MBU Total FY 2014	13	\$69,980	\$0	\$69,980
<b>% Change FY 2014 to FY 2015</b>	<b>-23%</b>	<b>26%</b>	<b>0%</b>	<b>26%</b>
<b>Academic Support Units</b>				
CESL	2	8,858	0	8,858
<b>MBU Total FY 2015</b>	<b>2</b>	<b>\$8,858</b>	<b>\$0</b>	<b>\$8,858</b>
<b>Administration and Finance</b>				
Environmental Health & Safety	1	212,475	0	212,475
<b>MBU Total FY 2015</b>	<b>1</b>	<b>\$212,475</b>	<b>\$0</b>	<b>\$212,475</b>
MBU Total FY 2014	1	\$84,524	\$21,976	\$106,500
<b>% Change FY 2014 to FY 2015</b>	<b>0%</b>	<b>151%</b>	<b>-100%</b>	<b>100%</b>
<b>College of Engineering</b>				
Chemical Engineering	28	2,095,219	969,590	3,064,809
Civil & Environmental Engineering	36	10,869,436	2,918,519	13,787,955
Dean-School of Engineering	2	617,784	32,369	650,153
Electrical and Computer Engineering	62	4,469,009	1,631,797	6,100,806
Engineering Research Center	3	317,019	90,442	407,461
Mechanical and Industrial Engineering	58	3,815,235	1,161,615	4,976,850
UMASS Transportation Center	19	4,937,198	1,411,906	6,349,104
<b>MBU Total FY 2015</b>	<b>208</b>	<b>\$27,120,900</b>	<b>\$8,216,238</b>	<b>\$35,337,138</b>
MBU Total FY 2014	200	\$20,718,522	\$7,877,884	\$28,596,406
<b>% Change FY 2014 to FY 2015</b>	<b>4%</b>	<b>31%</b>	<b>4%</b>	<b>24%</b>

## AWARDS

**Table 7 (cont'd)**

**Awards Accepted by Organizational Unit FY 2015**

<u>Organizational Unit</u>	<u>Awards</u>	<u>Direct</u>	<u>Indirect</u>	<u>Total</u>
<b>College of Humanities &amp; Fine Arts</b>				
Architecture	1	5,805	0	5,805
Art History	1	50,000	0	50,000
Dean-College of Humanities and Fine Art	2	4,000	0	4,000
English	9	263,799	14,836	278,635
History	1	4,794	0	4,794
Language, Literature & Culture	5	173,938	74,531	248,469
Linguistics	4	313,052	152,911	465,963
Music & Dance	1	32,325	0	32,325
Philosophy	2	27,400	0	27,400
Theatre	1	3,720	0	3,720
Women's Studies	1	41,500	0	41,500
<b>MBU Total FY 2015</b>	<b>28</b>	<b>\$920,333</b>	<b>\$242,278</b>	<b>\$1,162,611</b>
MBU Total FY 2014	27	\$613,344	\$113,986	\$727,330
<b>% Change FY 2014 to FY 2015</b>	<b>4%</b>	<b>50%</b>	<b>113%</b>	<b>60%</b>
<b>College of Natural Sciences</b>				
Agricultural Experiment Station	7	3,648,162	0	3,648,162
Astronomy	18	872,374	459,893	1,332,267
Biochemistry and Molecular Biology	17	2,691,178	1,056,342	3,747,520
Biology	29	2,064,008	805,691	2,869,699
Center for Agriculture	1	39,683	10,317	50,000
Chemistry	27	2,974,517	1,240,990	4,215,507
Computer Science	115	12,447,257	4,893,744	17,341,001
Cranberry Station - Extension	17	397,363	58,592	455,955
CUMIRP/PSE	43	1,914,793	989,831	2,904,624
Environmental Conservation	62	10,585,456	2,041,560	12,627,016
Food Science	29	2,226,110	737,249	2,963,359
Geosciences	21	1,574,785	741,409	2,316,194
Mathematics and Statistics	12	787,790	270,749	1,058,539
Microbiology	19	1,600,990	662,944	2,263,934
Physics	31	2,341,730	876,565	3,218,295
Polymer Science and Engineering	35	3,380,148	1,562,346	4,942,494
Psychology	19	3,024,206	1,281,347	4,305,553
Scientific Reasoning Research Institute	1	2,942	0	2,942
Stockbridge School	49	645,352	119,353	764,705
UMASS Extension	20	8,596,685	158,460	8,755,145
Veterinary and Animal Sciences	30	4,428,067	1,318,852	5,746,919
Water Resources and Research Ctr	6	1,125,444	195,935	1,321,379
<b>MBU Total FY 2015</b>	<b>608</b>	<b>\$67,369,040</b>	<b>\$19,482,169</b>	<b>\$86,851,209</b>
MBU Total FY 2014	590	\$61,173,657	\$19,217,374	\$80,391,031
<b>% Change FY 2014 to FY 2015</b>	<b>3%</b>	<b>10%</b>	<b>1%</b>	<b>8%</b>

## AWARDS

**Table 7 (cont'd)**

### Awards Accepted by Organizational Unit FY 2015

#### College of Social & Behavioral Sciences

Anthropology	1	5,000	0	5,000
Anthropology - Archaeological Services	20	600,050	242,586	842,636
Economics	2	99,725	12,300	112,025
Landscape Arch & Regional Planning	1	9,158	842	10,000
Political Science	4	465,936	93,150	559,086
Public Policy	4	89,190	3,136	92,326
Sociology	7	395,852	152,557	548,409
<b>MBU Total FY 2015</b>	<b>39</b>	<b>\$1,664,911</b>	<b>\$504,571</b>	<b>\$2,169,482</b>
MBU Total FY 2014	50	\$3,389,506	\$876,363	\$4,265,869
<b>% Change FY 2014 to FY 2015</b>	<b>-22%</b>	<b>-51%</b>	<b>-42%</b>	<b>-49%</b>

#### Dean of Students

Disability Services	2	96,880	8,120	105,000
University Health Services	1	62,432	36,835	99,267
<b>MBU Total FY 2015</b>	<b>3</b>	<b>\$159,312</b>	<b>\$44,955</b>	<b>\$204,267</b>
MBU Total FY 2014	13	\$798,506	\$26,367	\$824,873
<b>% Change FY 2014 to FY 2015</b>	<b>-77%</b>	<b>-80%</b>	<b>70%</b>	<b>-75%</b>

#### Facilities & Campus Services

Design Construction Mgmnt	2	1,144,500	0	1,144,500
Executive Office	2	220,718	0	220,718
<b>MBU Total FY 2015</b>	<b>4</b>	<b>\$1,365,218</b>	<b>\$0</b>	<b>\$1,365,218</b>

#### Graduate School

Graduate Dean's Office	6	1,583,000	0	1,583,000
NEAGEP/STEM	1	35,971	4,029	40,000
<b>MBU Total FY 2015</b>	<b>7</b>	<b>\$1,618,971</b>	<b>\$4,029</b>	<b>\$1,623,000</b>
MBU Total FY 2014	3	\$893,304	\$4,029	\$897,333
<b>% Change FY 2014 to FY 2015</b>	<b>133%</b>	<b>81%</b>	<b>0%</b>	<b>81%</b>

## AWARDS

**Table 7 (cont'd)**

### Awards Accepted by Organizational Unit FY 2015

#### Human Resources

Human Resources	6	942,909	178,668	1,121,577
<b>MBU Total FY 2015</b>	<b>6</b>	<b>\$942,909</b>	<b>\$178,668</b>	<b>\$1,121,577</b>
MBU Total FY 2014	6	\$316,123	\$26,417	\$342,540
<b>% Change FY 2014 to FY 2015</b>	<b>0%</b>	<b>198%</b>	<b>576%</b>	<b>227%</b>

#### Information Technologies

CIO	0	0	0	0
<b>MBU Total FY 2015</b>	<b>0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
MBU Total FY 2014	1	\$249,585	\$136,832	\$386,417
<b>% Change FY 2014 to FY 2015</b>	<b>-100%</b>	<b>-100%</b>	<b>-100%</b>	<b>-100%</b>

#### Institute Applied Life Science

Institute Applied Life Science	1	18,100,000	0	18,100,000
<b>MBU Total FY 2015</b>	<b>1</b>	<b>\$18,100,000</b>	<b>\$0</b>	<b>\$18,100,000</b>
MBU Total FY 2014	1	\$19,982,000	\$0	\$19,982,000
<b>% Change FY 2014 to FY 2015</b>	<b>0%</b>	<b>-9%</b>	<b>0%</b>	<b>-9%</b>

#### School of Education

Educational Policy Research & Admin	14	5,845,779	1,359,122	7,204,901
Student Dev & Pupil Personnel Services	6	1,857,840	268,241	2,126,081
Teacher Ed & Curriculum Studies	4	1,246,472	210,701	1,457,173
<b>MBU Total FY 2015</b>	<b>24</b>	<b>\$8,950,091</b>	<b>\$1,838,064</b>	<b>\$10,788,155</b>
MBU Total FY 2014	22	\$5,662,336	\$1,488,741	\$7,151,077
<b>% Change FY 2014 to FY 2015</b>	<b>9%</b>	<b>58%</b>	<b>23%</b>	<b>51%</b>

#### School of Management

Hospitality & Tourism Mgmt	1	25,000	5,000	30,000
MA Small Business Dev Ctr	29	4,120,785	42,245	4,163,030
Management	1	20,000	0	20,000
Operations Information Management	1	92,500	52,472	144,972
<b>MBU Total FY 2015</b>	<b>32</b>	<b>\$4,258,285</b>	<b>\$99,717</b>	<b>\$4,358,002</b>
MBU Total FY 2014	21	\$3,506,340	\$31,966	\$3,538,306
<b>% Change FY 2014 to FY 2015</b>	<b>52%</b>	<b>21%</b>	<b>212%</b>	<b>23%</b>

## AWARDS

**Table 7 (cont'd)**

### Awards Accepted by Organizational Unit FY 2015

#### School of Nursing

Nursing	8	1,991,479	102,615	2,094,094
<b>MBU Total FY 2015</b>	<b>8</b>	<b>\$1,991,479</b>	<b>\$102,615</b>	<b>\$2,094,094</b>
MBU Total FY 2014	5	\$559,922	\$4,974	\$564,896
<b>% Change FY 2014 to FY 2015</b>	<b>60%</b>	<b>256%</b>	<b>1963%</b>	<b>271%</b>

#### School of Public Health & Health Sciences

Communications Disorders	5	1,044,663	322,278	1,366,941
Kinesiology	10	601,949	89,563	691,512
Nutrition	5	2,677,246	605,531	3,282,777
Public Health	44	9,399,806	2,547,982	11,947,788
<b>MBU Total FY 2015</b>	<b>64</b>	<b>\$13,723,664</b>	<b>\$3,565,354</b>	<b>\$17,289,018</b>
MBU Total FY 2014	60	\$8,069,422	\$2,283,180	\$10,352,602
<b>% Change FY 2014 to FY 2015</b>	<b>7%</b>	<b>70%</b>	<b>56%</b>	<b>67%</b>

#### Student Affairs and Campus Life

Center for Women and Community	7	612,033	0	612,033
Family Resources	1	10,000	0	10,000
<b>MBU Total FY 2015</b>	<b>8</b>	<b>\$622,033</b>	<b>\$0</b>	<b>\$622,033</b>
MBU Total FY 2014	4	\$631,688	\$54,091	\$685,779
<b>% Change FY 2014 to FY 2015</b>	<b>100%</b>	<b>-2%</b>	<b>-100%</b>	<b>-9%</b>

#### Undergraduate Affairs

Advising	1	239,968	10,000	249,968
<b>MBU Total FY 2015</b>	<b>1</b>	<b>\$239,968</b>	<b>\$10,000</b>	<b>\$249,968</b>
MBU Total FY 2014	1	\$5,000	\$0	\$5,000
<b>% Change FY 2014 to FY 2015</b>	<b>0%</b>	<b>4699%</b>	<b>0%</b>	<b>4899%</b>

#### University Relations

University Relations	1	60,000	0	60,000
WFCR	1	445,000	0	445,000
<b>MBU Total FY 2015</b>	<b>2</b>	<b>\$505,000</b>	<b>\$0</b>	<b>\$505,000</b>
MBU Total FY 2014	3	\$470,324	\$0	\$470,324
<b>% Change FY 2014 to FY 2015</b>	<b>-33%</b>	<b>7%</b>	<b>0%</b>	<b>7%</b>

## AWARDS

**Table 7 (cont'd)**

**Awards Accepted by Organizational Unit FY 2015**

### **VC for Research & Engagement**

Baystate/UM PVLSI	2	736,390	403,775	1,140,165
Mass Nanotech	2	101,728	23,272	125,000
University Press	8	30,000	0	30,000
VC for Research & Engagement	1	2,716	1,603	4,319

<b>MBU Total FY 2015</b>	<b>13</b>	<b>\$870,834</b>	<b>\$428,650</b>	<b>\$1,299,484</b>
MBU Total FY 2014	28	\$3,656,999	\$1,482,698	\$5,139,697
<b>% Change FY 2014 to FY 2015</b>	<b>-54%</b>	<b>-76%</b>	<b>-71%</b>	<b>-75%</b>

<b>Cumulative Total FY 15</b>	<b>1,072</b>	<b>\$151,641,100</b>	<b>\$34,805,305</b>	<b>\$186,446,405</b>
Cumulative Total FY 14	1,050	\$131,829,572	\$33,655,378	\$165,484,950
Cumulative Total FY 13	1,043	\$115,832,136	\$33,047,623	\$148,879,759
<b>% Change FY 2014 to FY 2015</b>	<b>2%</b>	<b>15%</b>	<b>3%</b>	<b>13%</b>
<b>% Change FY 2013 to FY 2014</b>	<b>1%</b>	<b>14%</b>	<b>2%</b>	<b>11%</b>

## AWARDS

**Table 8**

**5 Year Award Trend by Sponsor Category**

Sponsor Category	FY2011		FY2012		FY2013		FY2014		FY2015	
	#	Dollars	#	Dollars	#	Dollars	#	Dollars	#	Dollars
<b>Federal</b>	457	\$100,731,941	372	\$102,298,074	413	\$102,443,337	395	\$99,225,856	414	\$100,389,003
<b>Commonwealth of MA</b>	58	\$9,430,532	55	\$12,454,611	73	\$14,005,634	56	\$29,182,471	72	\$47,291,091
<b>Other States &amp; Local Gov'ts</b>	16	\$974,288	13	\$1,167,684	22	\$1,336,581	12	\$510,998	16	\$1,163,283
<b>Non-Profit</b>	315	\$18,845,923	295	\$14,363,891	314	\$18,724,535	328	\$23,754,490	297	\$24,358,031
<b>Industry</b>	237	\$13,545,364	232	\$14,345,010	221	\$12,369,672	260	\$12,811,885	273	\$13,244,997
<b>Total</b>	<b>1,083</b>	<b>\$143,528,048</b>	<b>967</b>	<b>\$144,629,270</b>	<b>1,043</b>	<b>\$148,879,759</b>	<b>1,051</b>	<b>\$165,485,700</b>	<b>1,072</b>	<b>\$186,446,405</b>

## AWARDS

**Table 9**

### Awards Accepted from Federal Agencies FY 2015

#### US Dept of Agriculture

Agricultural Research Service (USDA - ARS)	1	6,545	655	7,200
Animal and Plant Health Inspec Serv (APHIS)	4	408,295	37,329	445,624
Forest Service (USFS)	9	961,310	0	961,310
Nat'l Institute of Food and Agriculture (NIFA)	19	12,901,989	568,360	13,470,349
Natural Resources Conserv Services(NRCS)	2	183,049	18,605	201,654
Northeast SARE	3	38,742	4,295	43,037
Northern Research Station (USFS)	1	50,000	0	50,000
US Dept of Agriculture (USDA)	4	444,125	49,899	494,024
<b>Agency Total FY 2015</b>	<b>43</b>	<b>\$14,994,055</b>	<b>\$679,143</b>	<b>\$15,673,198</b>
Agency Total FY 2014	40	\$13,760,835	\$1,130,203	\$14,891,038
<b>% Change FY 2014 to FY 2015</b>	<b>8%</b>	<b>9%</b>	<b>-40%</b>	<b>5%</b>

#### US Dept of Commerce

National Institute of Standards and Tech	2	66,147	14,029	80,176
Nat'l Oceanic and Atmospheric Admin (NOAA)	2	288,078	55,119	343,197
<b>Agency Total FY 2015</b>	<b>4</b>	<b>\$354,225</b>	<b>\$69,148</b>	<b>\$423,373</b>
Agency Total FY 2014	6	\$421,032	\$175,541	\$596,573
<b>% Change FY 2014 to FY 2015</b>	<b>-33%</b>	<b>-16%</b>	<b>-61%</b>	<b>-29%</b>

#### US Dept of Defense

Air Force Office of Scientific Research (AFOSR)	2	247,001	145,731	392,732
Air Force Research Laboratory	3	454,012	250,052	704,064
Army	1	40,682	4,068	44,750
Army Corps of Engineers	9	1,046,942	294,605	1,341,547
Army Medical Research	1	678,615	403,775	1,082,390
Army Natick Soldier R D and E Center	4	363,970	143,924	507,894
Army Research Institute	1	418,531	95,533	514,064
Army Research Office (ARO)	8	376,435	200,429	576,864
Defense Logistics Agency	3	664,478	32,721	697,199
Defense Threat Reduction Agency	2	252,281	113,236	365,517
National Security Agency (NSA)	1	21,215	12,517	33,732
Naval Research Laboratory	4	164,538	85,318	249,856
Office of Naval Research (ONR)	14	1,354,812	522,552	1,877,364
US Dept of Defense (DOD)	1	6,048	1,452	7,500
<b>Agency Total FY 2015</b>	<b>54</b>	<b>\$6,089,560</b>	<b>\$2,305,913</b>	<b>\$8,395,473</b>
Agency Total FY 2014	48	\$4,107,335	\$1,741,271	\$5,848,606
<b>% Change FY 2014 to FY 2015</b>	<b>13%</b>	<b>48%</b>	<b>32%</b>	<b>44%</b>

## AWARDS

**Table 9 (cont'd)**

### Awards Accepted from Federal Agencies FY 2015

#### US Dept of Education

US Dept of Education (DOED)	5	1,161,435	35,297	1,196,732
<b>Agency Total FY 2015</b>	<b>5</b>	<b>\$1,161,435</b>	<b>\$35,297</b>	<b>\$1,196,732</b>
Agency Total FY 2014	1	\$174,029	\$10,000	\$184,029
<b>% Change FY 2014 to FY 2015</b>	<b>400%</b>	<b>567%</b>	<b>253%</b>	<b>550%</b>

#### US Dept of Energy

US Dept of Energy (DOE)	13	1,908,935	880,985	2,789,920
<b>Agency Total FY 2015</b>	<b>13</b>	<b>\$1,908,935</b>	<b>\$880,985</b>	<b>\$2,789,920</b>
Agency Total FY 2014	29	\$5,938,692	\$2,471,548	\$8,410,240
<b>% Change FY 2014 to FY 2015</b>	<b>-55%</b>	<b>-68%</b>	<b>-64%</b>	<b>-67%</b>

#### US Dept of Health and Human Services

Health Resources and Services Admin (HRSA)	6	1,982,035	102,260	2,084,295
National Institutes of Health (NIH)	75	15,391,176	6,090,280	21,481,456
<b>Agency Total FY 2015</b>	<b>81</b>	<b>\$17,373,211</b>	<b>\$6,192,540</b>	<b>\$23,565,751</b>
Agency Total FY 2014	72	\$16,585,398	\$6,006,026	\$22,591,424
<b>% Change FY 2014 to FY 2015</b>	<b>13%</b>	<b>5%</b>	<b>3%</b>	<b>4%</b>

#### US Dept of Interior

Bureau of Ocean Energy Management (BOEM)	1	128,979	70,626	199,605
Geological Survey (USGS)	12	1,814,560	450,658	2,265,218
National Park Service-No. Atlantic Reg.	2	26,681	4,671	31,352
US Fish and Wildlife Service (FWS)	8	1,272,651	190,838	1,463,489
<b>Agency Total FY 2015</b>	<b>23</b>	<b>\$3,242,871</b>	<b>\$716,793</b>	<b>\$3,959,664</b>
Agency Total FY 2014	20	\$2,971,992	\$618,248	\$3,590,240
<b>% Change FY 2014 to FY 2015</b>	<b>15%</b>	<b>9%</b>	<b>16%</b>	<b>10%</b>

#### US Dept of Justice

Federal Bureau of Investigation (FBI)	2	568,890	331,110	900,000
<b>Agency Total FY 2015</b>	<b>2</b>	<b>\$568,890</b>	<b>\$331,110</b>	<b>\$900,000</b>
Agency Total FY 2014	2	\$294,896	\$0	\$294,896
<b>% Change FY 2014 to FY 2015</b>	<b>0%</b>	<b>93%</b>	<b>#DIV/0!</b>	<b>205%</b>

## AWARDS

**Table 9 (cont'd)**

### Awards Accepted from Federal Agencies FY 2015

#### US Dept of Transportation

Federal Aviation Administration (FAA)	1	93,181	51,606	144,787
Federal Railroad Administration	1	34,979	17,513	52,492
<b>Agency Total FY 2015</b>	<b>2</b>	<b>\$128,160</b>	<b>\$69,119</b>	<b>\$197,279</b>

#### Independent Establishments & Govt Corps

Environmental Protection Agency (EPA)	3	3,745,935	911,123	4,657,058
National Aero and Space Administration (NASA)	20	1,424,324	631,876	2,056,200
National Endowment for the Arts (NEA)	2	305,814	112,325	418,139
Small Business Administration (SBA)	2	2,198,712	0	2,198,712
Vietnam Education Foundation	1	85,500	0	85,500
<b>Agency Total FY 2015</b>	<b>28</b>	<b>\$7,760,285</b>	<b>\$1,655,324</b>	<b>\$9,415,609</b>
Agency Total FY 2014	25	\$3,235,518	\$469,371	\$3,704,889
<b>% Change FY 2014 to FY 2015</b>	<b>12%</b>	<b>140%</b>	<b>253%</b>	<b>154%</b>

#### National Science Foundation

National Science Foundation (NSF)	152	24,840,772	8,622,594	33,463,366
<b>Agency Total FY 2015</b>	<b>152</b>	<b>\$24,840,772</b>	<b>\$8,622,594</b>	<b>\$33,463,366</b>
Agency Total FY 2014	150	\$27,664,020	\$11,360,767	\$39,024,787
<b>% Change FY 2014 to FY 2015</b>	<b>1%</b>	<b>-10%</b>	<b>-24%</b>	<b>-14%</b>

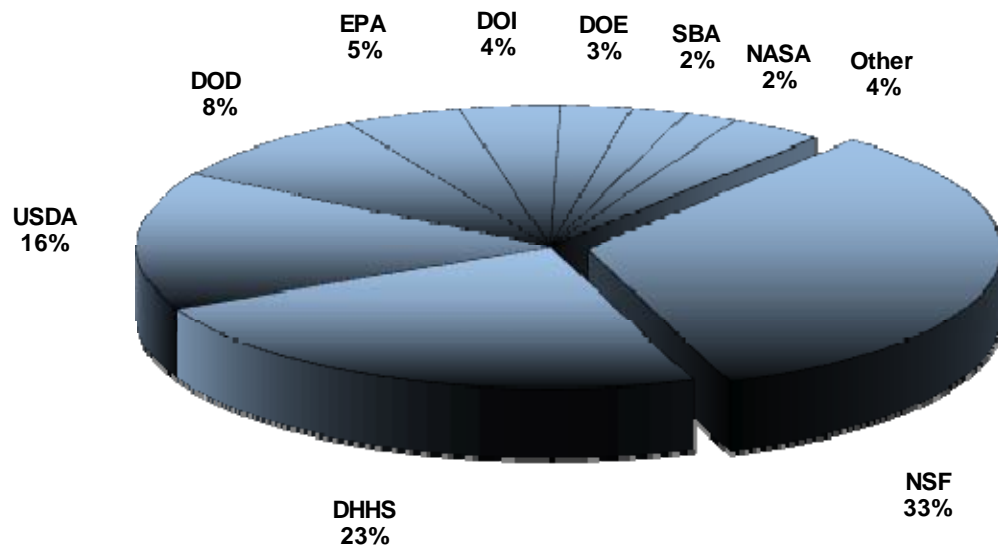
#### Other/Quasi Government Agencies

Department of Veterans Affairs (VA)	3	118,710	70,124	188,834
National Fish and Wildlife Foundation (NFWF)	1	152,778	21,526	174,304
National Research Council (NRC)	3	45,500	0	45,500
<b>Agency Total FY 2015</b>	<b>7</b>	<b>\$316,988</b>	<b>\$91,650</b>	<b>\$408,638</b>
Agency Total FY 2014	2	\$56,149	\$32,985	\$89,134
<b>% Change FY 2014 to FY 2015</b>	<b>250%</b>	<b>465%</b>	<b>178%</b>	<b>358%</b>

<b>Federal Total FY 2015</b>	<b>414</b>	<b>\$78,739,387</b>	<b>\$21,649,616</b>	<b>\$100,389,003</b>
Federal Total FY 2014	395	\$75,209,896	\$24,015,960	\$99,225,856
Federal Total FY 2013	413	\$78,200,753	\$24,242,584	\$102,443,337
<b>% Change FY 2014 to FY 2015</b>	<b>5%</b>	<b>5%</b>	<b>-10%</b>	<b>1%</b>
<b>% Change FY 2013 to FY 2014</b>	<b>-4%</b>	<b>-4%</b>	<b>-1%</b>	<b>-3%</b>

## AWARDS

**Figure 10: Distribution of Award Dollars Accepted from Federal Agencies  
FY 2015  
Total Costs - \$100,389,003**



## AWARDS

**Table 10**

### **Awards Accepted from Commonwealth of MA FY 2015**

#### **Commonwealth of Massachusetts**

MA Clean Energy Center	2	175,864	19,136	195,000
MA Coastal Zone Management	1	78,052	20,294	98,346
MA Cultural Council	4	13,300	0	13,300
MA Department of Energy Resources	1	4,761,904	1,203,181	5,965,085
MA Dept of Agricultural Resources	7	321,505	78,695	400,200
MA Dept of Business Development	2	1,194,762	9,524	1,204,286
MA Dept of Conservation and Recreation	8	163,373	33,275	196,648
MA Dept of Education	3	453,826	114,104	567,930
MA Dept of Elementary & Secondary Ed	5	1,002,269	222,251	1,224,520
MA Dept of Environmental Protection	1	22,222	5,778	28,000
MA Dept of Fish and Game	2	256,201	20,208	276,409
MA Dept of Higher Education	1	35,971	4,029	40,000
MA Dept of Public Health (DPH)	6	424,110	14,275	438,385
MA Dept of State Police	2	119,047	30,952	149,999
MA Dept of Transitional Assistance	1	2,624,974	602,803	3,227,777
MA Dept of Transportation	8	7,983,903	1,958,216	9,942,119
MA Division of Energy Resources	2	220,718	0	220,718
MA Division of Fisheries and Wildlife (DFW)	2	375,765	28,690	404,455
MA Division of Marine Fisheries	3	308,367	41,169	349,536
MA Emergency Management Agency	2	332,360	0	332,360
MA Exec Off of Energy & Environ Affairs	1	31,086	7,463	38,549
MA Exec Off of Public Safety	1	71,480	18,585	90,065
MA Exec Off of Public Safety & Security	2	135,797	35,219	171,016
MA Gaming Commission	3	3,134,464	282,757	3,417,221
MA Highway Department	1	158,090	41,077	199,167
MA Life Sciences Center	1	18,100,000	0	18,100,000
<b>Commonwealth of MA - Total FY 2015</b>	<b>72</b>	<b>\$42,499,410</b>	<b>\$4,791,681</b>	<b>\$47,291,091</b>
Commonwealth of MA - Total FY 2014	56	\$27,965,937	\$1,216,534	\$29,182,471
Commonwealth of MA - Total FY 2013	73	\$12,357,095	\$1,648,539	\$14,005,634
<b>% Change FY 2014 to FY 2015</b>	<b>29%</b>	<b>52%</b>	<b>294%</b>	<b>62%</b>
<b>% Change FY 2013 to FY 2014</b>	<b>-23%</b>	<b>126%</b>	<b>-26%</b>	<b>108%</b>

## AWARDS

**Table 11**

### **Awards Accepted from Other States & Local Govts FY 2015**

#### **Other States and Local Governments**

Amherst Town of	1	241,656	0	241,656
City of New Bedford	1	1,064	628	1,692
City of Philadelphia	1	66,418	33,582	100,000
City of Worcester	1	5,975	1,525	7,500
CT Agricultural Experiment Station	2	48,235	4,823	53,058
New England Transportation Consortium	3	386,880	93,597	480,477
Pioneer Valley Planning Commission	1	50,000	5,000	55,000
Pueblo Economic Development Corporation	1	31,337	18,645	49,982
Randolph Public Schools	1	26,984	7,016	34,000
Springfield City of	1	9,158	842	10,000
Town of Dalton	1	13,933	3,479	17,412
Upper Blackstone WPAD	1	84,202	21,893	106,095
VT Agency of Transportation	1	4,138	2,273	6,411
<b>Other States &amp; Local Gov - Total FY 2015</b>	<b>16</b>	<b>\$969,980</b>	<b>\$193,303</b>	<b>\$1,163,283</b>
Other States & Local Gov - Total FY 2014	12	\$428,654	\$82,344	\$510,998
Other States & Local Gov - Total FY 2013	22	\$1,056,242	\$280,339	\$1,336,581
<b>% Change FY 2014 to FY 2015</b>	<b>33%</b>	<b>126%</b>	<b>135%</b>	<b>128%</b>
<b>% Change FY 2013 to FY 2014</b>	<b>-45%</b>	<b>-59%</b>	<b>-71%</b>	<b>-62%</b>

## AWARDS

**Table 12**

### Awards Accepted from the Private Sector FY 2015

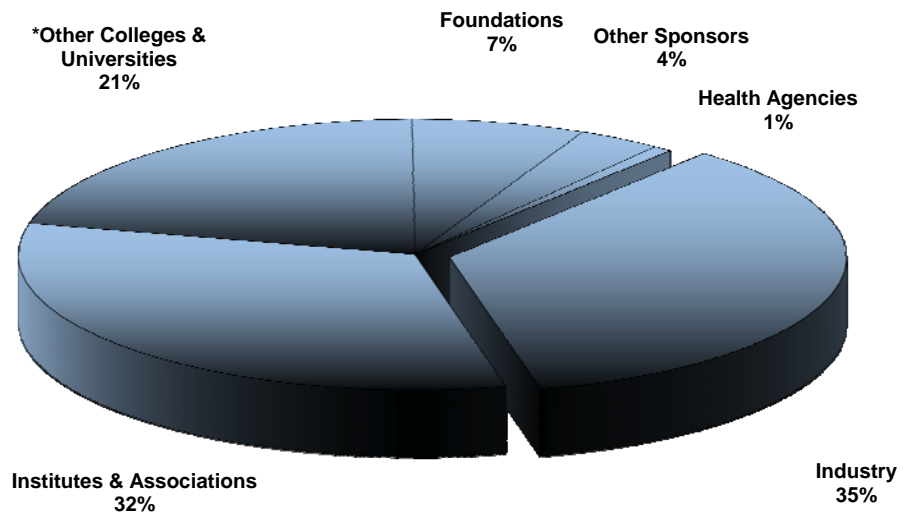
#### Private Sector

##### Non - Profit

Foundations	62	2,572,755	85,295	2,658,050
Health Agencies	6	209,781	76,101	285,882
Institutes and Associations	90	10,233,257	1,935,369	12,168,626
Other Colleges and Universities	117	5,764,214	2,199,332	7,963,546
Other Sponsors	22	1,094,186	187,741	1,281,927
<b>Total FY 2015 -- Non-Profit</b>	<b>297</b>	<b>19,874,193</b>	<b>4,483,838</b>	<b>24,358,031</b>
Total FY 2014 -- Non-Profit	327	18,902,060	4,851,680	23,753,740
Total FY 2013 -- Non-Profit	314	15,090,000	3,634,535	18,724,535
<b>% Change FY 2014 to FY 2015</b>	<b>-9%</b>	<b>5%</b>	<b>-8%</b>	<b>3%</b>
<b>% Change FY 2013 to FY 2014</b>	<b>4%</b>	<b>25%</b>	<b>33%</b>	<b>27%</b>
<b>Total FY 2015-- Industry</b>	<b>273</b>	<b>9,558,130</b>	<b>3,686,867</b>	<b>13,244,997</b>
Total FY 2014-- Industry	260	9,323,025	3,488,860	12,811,885
Total FY 2013-- Industry	221	9,128,046	3,241,626	12,369,672
<b>% Change FY 2014 to FY 2015</b>	<b>5%</b>	<b>3%</b>	<b>6%</b>	<b>3%</b>
<b>% Change FY 2013 to FY 2014</b>	<b>18%</b>	<b>2%</b>	<b>8%</b>	<b>4%</b>
<b>Total FY 2015 -- Private Sector</b>	<b>570</b>	<b>29,432,323</b>	<b>8,170,705</b>	<b>37,603,028</b>
Total FY 2014 -- Private Sector	587	28,225,085	8,340,540	36,565,625
Total FY 2013 -- Private Sector	535	\$24,218,046	\$6,876,161	\$31,094,207
<b>% Change FY 2014 to FY 2015</b>	<b>-3%</b>	<b>4%</b>	<b>-2%</b>	<b>3%</b>
<b>% Change FY 2013 to FY 2014</b>	<b>10%</b>	<b>17%</b>	<b>21%</b>	<b>18%</b>
<b>Cumulative Total FY 15</b>	<b>1,072</b>	<b>\$151,641,100</b>	<b>\$34,805,305</b>	<b>\$186,446,405</b>
Cumulative Total FY 14	1,050	\$131,829,572	\$33,655,378	\$165,484,950
Cumulative Total FY 13	1,043	\$115,832,136	\$33,047,623	\$148,879,759
<b>% Change FY 2014 to FY 2015</b>	<b>2%</b>	<b>15%</b>	<b>3%</b>	<b>13%</b>
<b>% Change FY 2013 to FY 2014</b>	<b>1%</b>	<b>14%</b>	<b>2%</b>	<b>11%</b>

## AWARDS

**Figure 11: Distribution of Award Dollars Accepted from the Private Sector FY 2015**  
**Total Costs - \$37,607,989**



\* A Significant portion of these awards are prime Federal Funds

## AWARDS

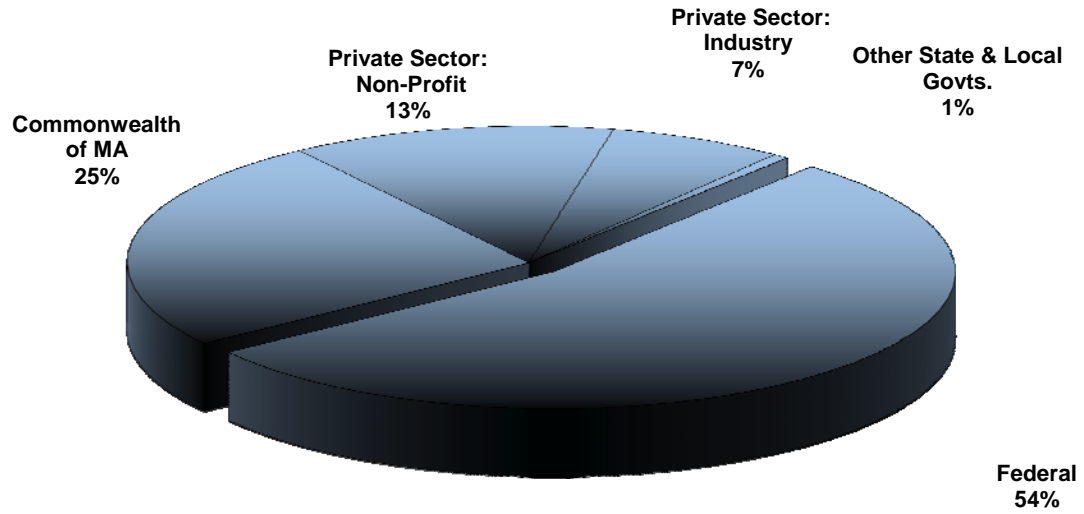
**Table 13**

**Summary of Awards Accepted by Sponsor Category FY 2015**

<b>Sponsor</b>	<b>Awards</b>	<b>Direct</b>	<b>Indirect</b>	<b>Total</b>
Federal	414	78,739,387	21,649,616	100,389,003
Commonwealth of Massachusetts	72	42,499,410	4,791,681	47,291,091
Other States & Local Governments	16	969,980	193,303	1,163,283
Private Sector -- Industry	273	9,558,130	3,686,867	13,244,997
Private Sector -- Non-Profit	297	19,874,193	4,483,838	24,358,031
<b>Total Fiscal Year 2015</b>	<b>1,072</b>	<b>\$151,641,100</b>	<b>\$34,805,305</b>	<b>\$186,446,405</b>

## AWARDS

**Figure 12: Distribution of Awarded Dollars by Sponsor Category FY 2015**  
**\$186,446,405**



## AWARDS

**Table 14**

**Summary of Project Characteristics & Award Types by Sponsor Category FY 2015**

	Proj. Type	Award Type	# of Awards	\$ Amt Awards
<b>Federal</b>	Research	Grant	317	\$77,297,610
	Instruction	Grant	1	\$68,000
	Other	Grant	18	\$6,529,964
	Research	Co-Op	43	\$8,495,031
	Instruction	Co-Op	0	\$0
	Other	Co-Op	10	\$3,283,405
	Research	Contract	23	\$4,137,850
	Instruction	Contract	1	\$44,750
	Other	Contract	2	\$532,393
<b>Commonwealth of MA</b>	Research	Grant	0	\$0
	Instruction	Grant	0	\$0
	Other	Grant	0	\$0
	Research	Co-Op	0	\$0
	Instruction	Co-Op	0	\$0
	Other	Co-Op	0	\$0
	Research	Contract	41	\$22,146,120
	Instruction	Contract	5	\$1,013,419
	Other	Contract	26	\$24,131,552
<b>Other States &amp; Local Gov't</b>	Research	Grant	2	\$117,095
	Instruction	Grant	0	\$0
	Other	Grant	0	\$0
	Research	Co-Op	0	\$0
	Instruction	Co-Op	0	\$0
	Other	Co-Op	0	\$0
	Research	Contract	12	\$749,532
	Instruction	Contract	1	\$55,000
	Other	Contract	1	\$241,656

## AWARDS

**Table 14 (cont'd)**

**Summary of Project Characteristics & Award Types by Sponsor Category FY 2015**

	<b>Proj. Type</b>	<b>Award Type</b>	<b># of Awards</b>	<b>\$ Amt Awards</b>
<b>Non-Profit Private</b>	Research	Grant	93	\$4,684,119
	Instruction	Grant	8	\$385,729
	Other	Grant	24	\$588,509
	Research	Co-Op	8	\$591,516
	Instruction	Co-Op	0	\$0
	Other	Co-Op	3	\$5,528,876
	Research	Contract	140	\$10,386,116
	Instruction	Contract	8	\$502,844
	Other	Contract	12	\$1,690,322
<b>Industry</b>	Research	Grant	72	\$1,892,149
	Instruction	Grant	0	\$0
	Other	Grant	32	\$140,475
	Research	Co-Op	2	\$267,900
	Instruction	Co-Op	0	\$0
	Other	Co-Op	0	\$0
	Research	Contract	155	\$10,682,594
	Instruction	Contract	0	\$0
	Other	Contract	12	\$261,879
<b>Total FY 2015</b>			<b>1,072</b>	<b>\$186,446,405</b>

## NOTES

### ***1. Reporting Costs, Awarded vs. Recovered***

There is often a significant difference between the funds reported in the OGCA Report of Sponsored Activities (RSA) as awarded during the fiscal year to the Amherst Campus, and the University's financial accounting system, of funds expended and indirect costs earned during the same fiscal year. This discrepancy can be especially problematic in projecting indirect cost.

To Clarify:

A. The OGCA Report of Sponsored Activities lists the amount of firm commitments awarded by outside sponsors to the University during the period of the fiscal year being reported. This does not necessarily coincide with the effective dates for incurring expenditures and recovering indirect costs. Figures 11 and 12 illustrate the differences between \$ awarded and \$ expended over a ten year period.

B. If a multiple year award is issued, the firm commitment of funds is reported in the RSA. For example, if a three year award is issued with either incremental funding or firm commitment for the first year only, we report only the amount of the increment or the first year (generally the effective dates will reflect only the first period). The remainder of the funds and effective dates are reported when the sponsor authorizes or releases the additional funds. If the Sponsor authorizes the full amount with no contingencies for a multiple year period, then the total amount for the full project period is reported in the same year it was awarded.

C. The University Financial System reports expenditures and indirect cost recovery during the period that the awards are active. Indirect costs are charged and recovered monthly, based on the current month's actual expenditures of each account/award.

As a result of the two reporting functions, awarded vs. earned indirect costs in any given period cannot be reconciled exactly. However, the data from both systems are valuable in the University's analyses and projections.

### ***2. Award Type Definitions:***

GRANT: An award instrument issued to the University in support of a particular activity, but usually not requiring a "product" other than technical progress reports. A grant contains terms and conditions associated with the awarding of funds and is therefore termed "restricted". (A few sponsors award "unrestricted" grants).

CONTRACT: A bilateral award agreement which specifies work to be performed, deliverables, and specific requirements. Also, any bilateral document specifying terms and conditions agreed to by both parties.

COOPERATIVE AGREEMENT: A type of contract which outlines involvement of both parties to the agreement.

### ***3. Proposal Reports only Contain New proposal data.***

Please note we are now only reporting New proposals in our reports, and thus include Project totals, not just the first year. This means that any proposal that is part of an ongoing project will not be added into the number of applications nor the dollar totals.

### ***4. New Table 1: 5 Year New Proposal Success Rate Trend, New proposals being awarded.***

We have expanded this table to include the breakout by sponsor category. It now shows the success rate of Federal Agencies, Commonwealth of Massachusetts, Other States and Local Governments, and the Private Sector.