| **University’s Legal Name:** | **University of Massachusetts Amherst**  
The University of Massachusetts Amherst is a state university within the Commonwealth of Massachusetts established under Chapter 75, as amended, and Chapter 142 of the Acts of 1991. |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| **University’s Address:**   | **Proposals:**  
c/o Office of Pre-Award Services  
Venture Way Center, 100 Venture Way, Suite 201, Box 16  
Hadley, MA 01035-9450  
pre-award@umass.edu  
Phone 413-545-0699  
Fax 413-545-1202  

**Awards:**  
c/o Office of Post-Award Management  
Venture Way Center, 100 Venture Way, Suite 201, Box 7  
Hadley, MA 01035-9450  
opam@umass.edu  
Phone 413-545-0442  
Fax 413-545-1595 |
| **University’s Authority to Enter into Contractual Agreements:** | Massachusetts General Laws Chapter 75 (as amended), Sections 1 and 11 |
| **Authorized Representative:** (Authority to accept grants, execute contracts for Sponsored Programs, and sign/submit proposals) | Alene Denson, Director, Office of Post-Award Management (OPAM)  
**OR**  
Marcia L. Day, Director, Office of Pre-Award Services (OPAS)  
Kathryn Ellis, Director, UMass Innovation Institute (UMII) |
| **Political Subdivision:** | 2nd Congressional District of Massachusetts, Hampshire County (MA-002) |
| **Cognizant Audit Agency:** | Department of Health and Human Services (DHHS)  
Michael Stanco, HHS Representative  
26 Federal Plaza, Rm. 41-122  
New York, NY 10278  
Phone (212) 264-0920  

**Defense Contract Audit Agency (DCAA):** (for DOD and NASA projects)  
Office of Naval Research (ONR)  
Draper, 495 Summer Street, Room 103  
Boston, MA 02210-2109  
Phone: 617-753-4640 |
| **Tax Exempt Status** | The University is tax-exempt under section 115 of the Internal Revenue Code and/or under the doctrine of intergovernmental tax immunity |
| **Research website for additional information** | [https://www.umass.edu/research/research-administration](https://www.umass.edu/research/research-administration) |

**Additional Information – University of Massachusetts Amherst:**

| Federal Employer Identification Number | 043-167-352  
1043167352B5 (HHS Proposals ONLY) |
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>State Vendor Code</strong></td>
<td>VC6000178133 [AD001]</td>
</tr>
<tr>
<td><strong>Federal Supply Code / CAGE Code</strong></td>
<td>1KVP4</td>
</tr>
<tr>
<td><strong>NSF/UM/Amherst Institution Code</strong></td>
<td>0022210000</td>
</tr>
</tbody>
</table>
### Fringe Benefit Rates for FY2023 (7/1/2022 – 6/30/2023)

Fringe benefits applicable to direct salaries and wages are treated as direct costs. They are the rates identified in the Massachusetts Statewide Cost Allocation Plan. This rate is comprised of Group Insurance and Retirement. The combined rate must be applied to all benefited personnel on any awards. Rates below are proposed and under review by DHHS.

#### Benefited Positions:

<table>
<thead>
<tr>
<th>Description</th>
<th>Rate details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fringe 7/1/22 - 6/30/23 All Benefited Personnel</td>
<td>40.00% + .19% Workers Comp + 1.5% (UI, UHI, MTX) + 0.39% Paid Family Medical Leave (PFML) = <strong>42.08%</strong></td>
</tr>
<tr>
<td>Health &amp; Welfare</td>
<td>$16.50 weekly = $858 annually</td>
</tr>
<tr>
<td>Sick Leave Bank</td>
<td>0.20% (not assessed on Faculty Salaries)</td>
</tr>
<tr>
<td>Blended Kuali Fringe Rate – AY/Calendar Faculty &amp; Staff</td>
<td>43.08%</td>
</tr>
</tbody>
</table>

Health and Welfare (H & W) for all benefited positions is $16.50 per week ($858) annual FTE (pro-rate on part-time positions). However, as with the Fringe Benefit Rate, for split-funded personnel, the Health & Welfare must be charged to each account on a pro-rated basis.

#### Fringe Applicable to Faculty Academic Year Release Time:
(see Benefited Positions)

Faculty Academic Year Ad Comp: Not Allowable in Most Cases – Refer To:
- [https://www.umass.edu/research/policy/ay-salary-and-summer-ad-comp](https://www.umass.edu/research/policy/ay-salary-and-summer-ad-comp)
- [https://www.umass.edu/research/sites/default/files/additional_compensation_guidelines.pdf](https://www.umass.edu/research/sites/default/files/additional_compensation_guidelines.pdf)

Faculty Summer Salary - The summer period may begin the first Sunday after graduation and ends August 31 every year. Summer effort on all federal and Commonwealth of Massachusetts projects is limited to 2.5 summer months unless the sponsor has more restrictive requirements (e.g. NSF). For more information on faculty summer appointments: [https://www.umass.edu/research/guidance/ay-salary-recovery-and-summer-ad-comp](https://www.umass.edu/research/guidance/ay-salary-recovery-and-summer-ad-comp)

#### Fringe Applicable to Faculty Summer Salary:

Workers Compensation 0.19% + UI, UHI, MTX, Paid Family Medical Leave (PFML) 1.89% = **2.08%**

#### Non Benefited Positions:

Workers Compensation 0.19% + UI, UHI, MTX, Paid Family Medical Leave (PFML) 1.89% = **2.08%**

#### Post Doctoral Fellows:

<table>
<thead>
<tr>
<th>Description</th>
<th>Rate details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fringe 7/1/22 - 6/30/23 Post Doctoral Fellows</td>
<td>10.48% Health + .19% Workers Comp + 1.89 % (UI, UHU, MTX, PFML) + 1.1% Terminal Leave = <strong>13.66%</strong></td>
</tr>
<tr>
<td>Health &amp; Welfare</td>
<td>$20.00 weekly = $1041 annually</td>
</tr>
<tr>
<td>Blended Kuali Fringe Rate – Post Docs</td>
<td>15.66%</td>
</tr>
</tbody>
</table>
Minimum Salary Requirement from the contract in effect from 4/1/19 through 3/31/22

The Agreement provides for an increase to the salary minimum. Postdoctoral researchers will be brought to the new floor for their experience level on December 1, 2019. There are no retroactive increases and postdoctoral researchers who are at or above the new minimum will not receive a salary increase. Appointing authorities may continue to compensate postdocs at salary rates above the salary minimum at the time of appointment, reappointment, anniversary date, and/or as a merit increase at any time.

Effective December 1, 2019, the salary minimums are as follows:

<table>
<thead>
<tr>
<th>Years of experience:</th>
<th>Prior to 12/1/2019</th>
<th>12/1/2019</th>
<th>12/1/2020</th>
<th>12/1/2021</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-2</td>
<td>$47,476</td>
<td>$50,004</td>
<td>$51,004</td>
<td>$52,024</td>
</tr>
<tr>
<td>3-4</td>
<td>$50,382</td>
<td>$52,896</td>
<td>$53,954</td>
<td>$55,033</td>
</tr>
<tr>
<td>5-7</td>
<td>$51,390</td>
<td>$56,880</td>
<td>$58,018</td>
<td>$59,178</td>
</tr>
</tbody>
</table>

**Students:**

- **Kuali Salary Guide:** Use of the Kuali Salary Guide calculator for calculation of student salary, fringe and tuition is encouraged. See [https://www.umass.edu/research/kuai-ERA-system](https://www.umass.edu/research/kuai-ERA-system) and Kuali Salary Guide (Excel).

**GEO Health Deferment Rate 7/1/22 - 6/30/23**

- 15.63% of academic and summer wages

**Graduate Student Health & Welfare**

- $17.00 weekly must be assessed to all Graduate Student appointments.

**Summer Student Payroll:**

- ALL STUDENTS (excluding Post Docs and Fellows) employed for the summer and not enrolled in classes

- 1.69% UI, UHI, MTX on the summer salary

**Campus Minimum Salary Rate Graduate Student Assistant (GRA) AY 2021-2022**

- $30.33/hour, per collective bargaining agreements

**Undergraduate Student Appointments Minimum Wage**

- Commonwealth of MA minimum wage laws apply. Effective January 1, 2021: $13.50/hour; January 1, 2022: $14.25/hour; January 1, 2023: $15.00/hour

**Blended Kuali Fringe Rate – AY Grad Students**

- 18.43%

**Blended Kuali Fringe Rate – Summer Grad Students**

- 20.12%

**Undergraduate or Grad Hourly Fringe - AY**

- 0%

**Undergraduate or Grad Hourly Fringe – Summer**

- 1.69%

- **Graduate student assistantships:** [https://www.umass.edu/graduate/form/assistantship-information](https://www.umass.edu/graduate/form/assistantship-information)
- **Graduate student fellowships:** [https://www.umass.edu/research/graduate-student-fellowships](https://www.umass.edu/research/graduate-student-fellowships)
- For further questions related to graduate assistantships, please use the Contact Form at [https://www.umass.edu/graduate/contact-us](https://www.umass.edu/graduate/contact-us) or email gradappt@grad.umass.edu

**Graduate Student Tuition Charge:**

Effective 7/1/2021, the FY2022 academic year GEO Graduate Tuition charge to grants with a proposal date of July 1, 2017 or later, will increase to **$14,778** per GEO FTE on a single account, which is the current in-state tuition fee. The rate is assessed for all grad appointment types for GEO members paid during the pay periods from August 29, 2021 through May 21, 2022. As outlined in Article 2 of the GEO/University contract, a full-time FTE is equal to 760 hours or more of employment for the year, or $388.89 per week for 20 hours of work. Appointments less than the 760 FTE shall use the rate of $19.445 per hour to assess the rate. If the student is
employed on more than one project, the charge is pro-rated to each project based on the number of hours worked on each project. Summer appointments are not subject to tuition charges. Tuition Charges are exempt from indirect costs calculations.

**Tuition Credit:**
For Cost Sharing of tuition credit, **if allowable** under federal Cost Accounting Standards, (see [https://www.umass.edu/research/policy/cost-sharing-policy](https://www.umass.edu/research/policy/cost-sharing-policy)) use the blended rate of $2,500 per RA semester.

**Cost of Living Adjustment (COLA):**
If a COLA is applied to the budget, the same COLA should be applied to all applicable budget items, including salaries and tuition charges. For more information, see: [https://www.umass.edu/research/proposal-preparation-guide/cost-living-adjustment](https://www.umass.edu/research/proposal-preparation-guide/cost-living-adjustment)

**Indirect Cost (F&A):**
*Use the University’s predetermined F&A rates as applicable to the sponsor, project type, and whether project is on- or off-campus to calculate the indirect cost rate for all research proposals submitted within each applicable period, unless the sponsor has a published policy requiring another F&A rate.*

<table>
<thead>
<tr>
<th>Sponsor Type</th>
<th>Project Type</th>
<th>On-campus Rate</th>
<th>Off-Campus Rate</th>
<th>Funding Periods*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal</td>
<td>Research</td>
<td>59.5% MTDC</td>
<td>26% MTDC</td>
<td>7/1/2018-6/30/2021</td>
</tr>
<tr>
<td>Federal</td>
<td>Instruction</td>
<td>49% MTDC</td>
<td>26% MTDC</td>
<td>7/1/2018-6/30/2021</td>
</tr>
<tr>
<td>Federal</td>
<td>Other sponsored activities</td>
<td>31.5% MTDC</td>
<td>26% MTDC</td>
<td>7/1/2018-6/30/2021</td>
</tr>
<tr>
<td>Federal - SBIR/STTR Phase 1</td>
<td>Research</td>
<td>26% MTDC</td>
<td>26% MTDC</td>
<td>7/1/2018-6/30/2021</td>
</tr>
<tr>
<td>Federal - SBIR/STTR Phase 2</td>
<td>Research</td>
<td>59.5% MTDC</td>
<td>59.5% MTDC</td>
<td>7/1/2018-6/30/2021</td>
</tr>
<tr>
<td>Industry and Non-Mass. gov’t. agencies</td>
<td>All</td>
<td>59.5% MTDC</td>
<td>59.5% MTDC</td>
<td>7/1/2018 – 6/30/2021</td>
</tr>
<tr>
<td>Foundations and non-profits</td>
<td>All</td>
<td>20% TDC*</td>
<td>20% TDC*</td>
<td>All</td>
</tr>
<tr>
<td>Commonwealth of Mass. &amp; local gov’t. agencies</td>
<td>All</td>
<td>26% MTDC</td>
<td>26% MTDC</td>
<td>All</td>
</tr>
<tr>
<td>Unrestricted grants ($1000 &amp; over)</td>
<td>All</td>
<td>10% TDC*</td>
<td>10% TDC*</td>
<td>All</td>
</tr>
</tbody>
</table>

- **Federal Negotiated Indirect Cost Rate Agreement** (NICRA) with the Department of Health and Human Services (DHHS) dated 1/14/2019 (currently in effect). **Provisional Rates:** Rates remain in effect until new agreement is established. [https://www.umass.edu/research/policy/college-and-university-rate-agreement](https://www.umass.edu/research/policy/college-and-university-rate-agreement)
- **Federal rates** apply for all Federal Sponsors or when Federal Sponsor is Prime Funder unless specified by the sponsor’s published guidelines.
- **Modified Total Direct Costs** (MTDC): See link to NICRA for details on items excluded from indirect cost calculations. [https://www.umass.edu/research/policy/college-and-university-rate-agreement](https://www.umass.edu/research/policy/college-and-university-rate-agreement)
- **Total Direct Costs** (TDC): Calculate percentage on total direct project costs.
- **Tuition Charges** are always excluded from indirect cost (IDC) calculations.
- **On and Off Campus Indirect Cost Rates Determination Policy:** [https://www.umass.edu/research/policy/and-campus-indirect-cost-rates-determination-policy](https://www.umass.edu/research/policy/and-campus-indirect-cost-rates-determination-policy)
- **Definitions of Project Types for F&A purposes:** [https://www.umass.edu/research/policy/and-campus-indirect-cost-rates-determination-policy](https://www.umass.edu/research/policy/and-campus-indirect-cost-rates-determination-policy)
- **Definition of an Unrestricted Grant:** [https://www.umass.edu/research/awards/set/what-are-awards](https://www.umass.edu/research/awards/set/what-are-awards)