What shipments need to be screened for export controls?

• All international shipments and certain domestic shipments must be screened for export controls
  – Export controls apply even if the item is being shipped to the country where it was manufactured

• Key questions to assess:
  – Is the item controlled for export to the destination country?
  – Is the recipient on a U.S. government restricted-party list?
  – Is the destination country subject to U.S. government sanctions?
    • Sanctions may be targeted related to specific goods or entities
    • Sanctions may also be comprehensive (Iran, Syria, North Korea, Cuba, Crimea region of Ukraine)
Flow chart for Ofc Research Compliance approval of shipments

1. Is the item a research material (e.g., newly-synthesized material) or hazardous (e.g., lithium-ion battery)?
   - Yes: Use eShip Global
     - ORC is automatically notified based on the information you provide (e.g., material, destination)
     - ORC screens and approves through eShip (~1-24 hours; longer if we have questions)
   - No: Is this an international shipment?
     - Yes: Use eShip Global
       - Request ORC screening before contacting Mail Services (~1-48 hours; Ellie Kurth: gkurth@umass.edu)
     - No: Use Mail Services (ORC screening not needed)
     - No: Use Mail Services (ORC screening not needed)
How can I speed up the screening process?

• When using eShip Global:
  – Provide a clear, specific description of the item or material to be shipped and its use
  – If you think additional clarification might help, email ORC separately to explain the item and use

• When using Mail Services
  – Contact ORC prior to contacting Mail Services and provide:
    • Recipient (person and organization)
    • Destination address
    • Item to be shipped and intended use
    • For equipment, provide the name, model #, and value