

**Office of Grant and Contract Administration (OGCA)  
University of Massachusetts, Amherst Campus**

**Fact Sheet (rev 07/28/2018)**

**University's Legal Name: University of Massachusetts Amherst**

The University of Massachusetts Amherst is a state university within the Commonwealth of Massachusetts established under Chapter 75, as amended, and Chapter 142 of the Acts of 1991.

**University's Address:** c/o Office of Grant and Contract Administration  
Venture Way Center, 100 Venture Way, Suite 201,  
Hadley, MA 01035-9450  
OGCA@research.umass.edu Phone 413-545-0698 Fax 413-545-1202

**University's Authority to Enter into Contractual Agreements:** Mass. Gen. Laws Chapter 75 (as amended), Sections 1 and 11.

**Authorized Representative:** (Authority to accept grants, execute contracts for Sponsored Programs, and sign/submit proposals)

Carol P. Sprague, Director **OR**  
James B. Ayres, Assistant Director  
Theresa W. Girardi, Assistant Director  
Nancy E. Stewart, Assistant Director

**Political Subdivision:** 2nd Congressional District of Massachusetts, Hampshire County (MA-002)

**Cognizant Audit Agency:** DHHS, Michael Stanco, HHS Representative, 26 Federal Plaza, Rm. 41-122, New York, NY 10278. Phone (212) 264-0920

**Contract Administration Office (DOD and NASA projects):** Office of Naval Research/ONR Draper, 495 Summer Street, Room 103, Boston, MA 02210-2109. Phone: 617-753-4640

**Indirect Cost:**

**Federal** Indirect Cost Rate Agreement with the Department of Health and Human Services (DHHS) effective immediately. <https://www.umass.edu/research/policy/college-and-university-rate-agreement-fy-2018>. These Predetermined Rates should be used respectively to calculate the indirect cost rate for all research proposals submitted within each applicable period. On/off campus policy is located at under the Research Policies under the title: On and Off Campus Indirect Cost Rates Determination Policy: <https://www.umass.edu/research/policy/and-campus-indirect-cost-rates-determination-policy>.

Research	On-campus	59.5% MTDC	7/1/2015 - 6/30/2018
	Off-campus	26% MTDC	7/1/2013 - 6/30/2018
Instruction	On-campus	49% MTDC	Off-campus 26% MTDC 7/1/2013-6/30/2018
Other sponsored activities	On-campus	31.5% MTDC	Off-campus 26% MTDC 7/1/2014-6/30/2018
SBIR/STTR Phase 1		26% MTDC	
SBIR/STTR Phase 2		Federal On-Campus Research Rate as identified above	

**Definitions of Project Types for F&A purposes,** <https://www.umass.edu/research/guidance/project-types-fa-purposes>

**Non-federal** Indirect Cost Rates:

Industry and Non-Mass. gov't. agencies	59.5% MTDC	7/1/2015 – 6/30/2018
Foundations and non-profits	20% TDC or Published Sponsor Policy Rate	
Comm. of Mass. & local gov't. agencies	26% MTDC	
Unrestricted grants (\$1000 & over)	10% TDC	

**Definition of an Unrestricted Grant:** <https://www.umass.edu/research/awards/set/what-are-awards>

**Benefited Positions / Fringe Rates:**

<b>Fringe</b> 7/1/18 - 6/30/19	34.89% + workers comp 0.30%, + UI, UHI, MTX 1.73% = <b>36.92%</b>
<b>Health &amp; Welfare</b>	\$16.50 weekly = \$858 annually*
<b>Sick Leave Bank</b>	0.58% not assessed on Faculty Salaries

**Fringe** benefits applicable to direct salaries and wages are treated as direct costs. They are the rates identified in the Massachusetts Statewide Cost Allocation Plan approved by DHHS. This rate is comprised of Group Insurance and Retirement. The combined rate must be applied to all benefited personnel on any awards.

**Health and Welfare** (H & W) for all benefited positions is \$16.50 per week (\$858) annual FTE (prorate on part-time positions). However, as with the Fringe Benefit Rate, for split-funded personnel, the H & W must be charged to each account on a prorated basis.

\*With union contracts pending, it's recommended to budget \$17/week for planning purposes.

**Non Benefited Positions:**

Workers Compensation, UI, UHI, MTX **2.03%**

**Post Doctoral Fellows:**

**Minimum Salary Requirement from the contract expiring on 3/31/19**

- a. Effective December 1, 2016, the minimum full-time equivalent salary shall be \$47,476. All postdocs on the payroll and in the bargaining unit on or after this date shall be paid a salary that is not less than the minimum full-time salary.
- b. Effective December 1, 2016, postdocs who are in the bargaining unit and on payroll on this date and were in the bargaining unit and on payroll the preceding September 1, shall have their salary increased to the appropriate salary minimum shown in the I-DEC-16 column below or in the amount of two percent (2%), whichever is greater. Years of experience shall be based on total time as a postdoc, including appointments at the University of Massachusetts Amherst and all prior postdoctoral appointments.
- c. Effective December 1, 2017, postdocs who are in the bargaining unit and on payroll on this date and were in the bargaining unit and on payroll the preceding September 1, shall have their salary increased to the appropriate salary minimum shown in the 1-DEC-17 column below or in the amount of two percent (2%), whichever is greater. Years of experience shall be based on total time as a postdoc, including appointments at the University of Massachusetts Amherst and all prior postdoctoral appointments.
- d. Effective December 1, 2018, postdocs who are in the bargaining unit and on payroll on this date and were in the bargaining unit and on payroll the preceding September 1, shall have their salary increased to the appropriate salary minimum shown in the 1-DEC-18 column below or in the amount of two percent (2%), whichever is greater. Years of experience shall be based on total time as a postdoc, including appointments at the University of Massachusetts Amherst and all prior postdoctoral appointments.

<b>SALARY MINIMA</b>			
Years of experience	I-DEC-16	I-DEC-17	1-DEC-18
0-2	\$47,476.00	\$47,476	\$47,476
3-4	\$47,476.00	\$49,394	\$50,382
5+	\$47,476.00	\$50,382	\$51,390

**Post-Doc Fringe:**

The fringe benefit rate for Post-Docs is effective 7/1/16.

<b>Fringe</b> 7/1/18 - 6/30/19	9.85% Health + Workers comp 0.30%, + UI, UHI, MTX 1.73% + Terminal Leave 3.20% = <b>15.08%</b>
<b>Health &amp; Welfare</b>	\$16.50 weekly = \$858 annually*

\*With union contracts pending, it's recommended to budget \$17/week for planning purposes.

**Faculty Summer Salary** - The summer period may begin the first Sunday after graduation and ends August 31 every year. Summer effort on all federal and Commonwealth of Massachusetts projects is limited to 2.5 summer months unless the sponsor has more stringent restrictions such as NSF. Please refer to this site for more information on faculty summer appointments: <http://www.umass.edu/research/summer-add-comp-faqs>

**Fringe Applicable to Faculty Summer Salary:**

Workers Compensation, UI, UHI, MTX

2.03%

**Fringe Applicable To Faculty Academic Year Release Time:** (see Benefited Positions)

**Faculty Academic Year Ad Comp: Not Allowable in Most Cases – Refer To:**

[https://www.umass.edu/research/sites/default/files/additional\\_compensation\\_guidelines.pdf](https://www.umass.edu/research/sites/default/files/additional_compensation_guidelines.pdf)

**Students:**

**Summer Student Payroll:** ALL STUDENTS (excluding Post Docs and Fellows) employed for the summer and not enrolled in classes are to be assessed 1.73% UI, UHI, MTX on the summer salary.

**Graduate Student Stipend** – current hourly minimum RA salary rates, per collective bargaining agreements:

AY 2018-2019: \$28.59/hour

AY 2019-2020: \$30.33/hour

For more information, see <http://www.umass.edu/gradschool/funding-support/graduate-assistantship-office>

**Graduate Student Fee Calculator:** Save yourself some time and use the OGCA student fee calculator located:

[https://jgams.research.umass.edu/calculators/GS\\_fringe\\_calc\\_a.jsp](https://jgams.research.umass.edu/calculators/GS_fringe_calc_a.jsp)

**Graduate Student Health & Welfare** \$16.50/wk must be assessed to all Graduate Student appointments.

(\$17/week as of 9/4/2018)

**Graduate Student Tuition Charge:**

Effective 7/1/17, a change to the Tuition Charge came into effect on Graduate Student appointments. The rate charged effective 7/1/18 is \$11,975.00 per FTE on a single account. As outlined in Article 2 of the GEO/University contract, a full-time FTE is equal to 760 hours or more of employment for the year, or \$315.13 per week for 20 hours of work. Appointments less than the 760 FTE shall use the rate of \$15.757 per hour to assess the rate. *Each additional year should be inflated by \$1000.* (FY19 \$11,975, FY20 \$12,975, FY21 \$13,975, FY22 \$14,975 unless notified of other rate increase) Summer appointments are not subject to tuition charges. *Tuition Charges are exempt from indirect costs calculations.* Proposals that were submitted prior to 7/1/17, and active awards currently in non-competing status will be grandfathered at their current rate (with 5% annual COLA) until a competitive application or, a supplement that was not in the original scope of a project, is submitted.

**GEO Health Deferment Rate** - The rate is 19.27% of wages of academic and summer.

**Tuition Waivers:** Cost Sharing of tuition waivers, *if allowable* under federal Cost Accounting Standards, (see <https://www.umass.edu/research/policy/cost-sharing-policy>) use the blended rate of \$2,500 per RA semester. (Under review. Please use this rate until amended.)

**Miscellaneous Pertinent Information:**

Federal Employer Identification Number	043-167-352 1043167352B5 (HHS Proposals ONLY)
State Vendor Code	VC6000178133 [AD001]
Tax Exempt Status	The University is tax-exempt under section 115 of the Internal Revenue Code and/or under the doctrine of intergovernmental tax immunity
Federal Supply Code / CAGE Code	1KVP4
NSF/UM/Amherst Institution Code	0022210000
DUNS Number / CCR #	153926712
NAICS # (N. American Industry classification sys. #)	611310
ASAP Recipient ID	1125882
Institution Human Subject Assurance ID#	FWA00003909
Institution Animal Welfare Assurance ID#	D16-00337
Approx. # of employees (non-students)	7,842
Approx. student enrollment	22,445
Research website for additional information_	<a href="https://www.umass.edu/research/research-administration">https://www.umass.edu/research/research-administration</a>